

1 **Life-cycle assessment of a microalgae-based fungicide under a biorefinery**
2 **approach**

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25 **Abstract**

26 **The aim of this work was to perform a life-cycle analysis of the production process**
27 **of a fungicide based on amphidinols.** Two scenarios were evaluated: (1)
28 biorefinery process -biofungicide, fatty acids and carotenoids were considered as
29 co-products-, and (2) biofungicide as only product. Inventory data were taken and
30 scaled-up from previous work on pilot-scale reactors, as well as lab-scale
31 downstream equipment. A yearly production of 22,000 L of fungicide, was
32 selected as the production objective. Despite, photosynthetic biomass is a sink of
33 anthropogenic CO₂, harvesting and downstream processing have large carbon
34 footprints that exceed the biomass fixed carbon. Producing the biofungicide
35 resulted in 34.61 and 271.33 ton of CO_{2e} (15 years) for the Scenarios 1 and 2,
36 respectively. Different commercial agricultural fungicides were compared with the
37 microalgal fungicide. A lower impact of the microalgal product for most of the
38 indicators, including carbon footprint, was shown.

39 **Keywords:** life-cycle analysis, microalgae, bioprocess, fungicide

40 **1. Introduction**

41 The production of food of agricultural origin requires systems that resist the
42 attack of insects, fungi, or other pests. In developed countries, national authorities
43 are progressively reducing the number of authorized **mineral** chemical compounds
44 to face pests and plant diseases. For example, European Union (EU) is constantly
45 restricting the number of authorized chemical phytosanitary substances (at present
46 128 available) and is introducing more and more substances of biological origin (at
47 present 25) (Commission Implementing Regulation (EU) 2019/716 of 30 April
48 2019). These are more often considered as low risk substances (Commission
49 Regulation (EU) 2017/1432). Integrated pest management would avoid or greatly
50 reduce the use of **mineral** chemicals. This strategy relies on a combination of
51 techniques such as biological control, habitat manipulation, or use of resistant
52 strains. This is the current standard in certain locations where the advantages have
53 been demonstrated (i.e., greenhouse cultivation in South-East Spain). Despite that,
54 the use of pesticides continues to be the most widely used strategy worldwide
55 (Baker et al., 2020). Moreover, in the case of fungal pests, the use of **mineral**
56 **chemical or bio-sourced substances is mandatory**. Although the effectiveness of
57 these products is high, their negative impacts on the environment are also
58 remarkable, especially in the case of pesticides without a biological origin (García
59 Cruz et al., 2022).

60 Different fungicides have been used for hundreds or even thousands of years,
61 most being simple formulations or even one-ingredient products. More recently,
62 synthetic organic compounds have been applied (Zhao et al., 2020). Among them,
63 there are products based on heavy metals, organophosphorus compounds,
64 halogenated hydrocarbons, etc. Organophosphorus compounds are dangerous in

65 the short term (Shukla et al., 2017). Its incorrect handling is associated with many
66 acute intoxications in humans. For instance, its continued use triggers the
67 cholinergic syndrome and is associated with multiple chronic complications, being
68 delayed neuropathy one of the most common (Ganie et al., 2022). On the other
69 hand, the use of non-selective fungicides based on heavy metals poses a great
70 environmental risk, due to their known ability to bioaccumulate and their impact
71 on other non-target species (Tchounwou et al., 2012). In recent decades, special
72 attention has been paid to the search for alternative products, preferably **bio-**
73 **sourced**, with less impact on the environment and on human health.

74 Microalgae have been proposed for several applications. For food/feed
75 products, their advantage is their higher efficiency per unit area than that of
76 agricultural crops (Aransiola et al., 2014). Also, microalgae are primary producers
77 of valuable **polyunsaturated fatty acids (PUFAs)**, antioxidant pigments and high-
78 value metabolites with applications in nutrition, pharmacy, cosmetic industry, and
79 others (Ketzer et al., 2018; Mehariya et al., 2021). **Microalgal-based bioprocesses**
80 **have a low environmental impact, including a lower carbon footprint** (Chowdhury
81 et al., 2012; Ketzer et al., 2018). Microalgal growth can be considered a CO₂,
82 Nitrogen and Phosphate sink. (Chandra et al., 2018) or nutrients from wastewaters
83 (Li et al., 2022). A phototrophic production process of a biofungicide would
84 contribute to several sustainable development goals (SDGs), (e.g., Goals 9, 12 and
85 13) of the 2030 Agenda for Sustainable Development, adopted by all United
86 Nations Member States in 2015.

87 Among microalgae, dinoflagellates stand out for being an impressive source of
88 new bioactives **with pharmacological and agro-industrial interest** (Assunção et al.,
89 2017; García Camacho et al., 2007). However, their intensive culture must cope

90 with a number of difficulties (Gallardo Rodríguez et al., 2012; Assunção et al.,
91 2017). These problems have been tackled in recent years through the systematic
92 application of bioprocess engineering methodologies (García Camacho et al. 2011;
93 López Rosales et al., 2018; Molina Miras et al., 2018). Among the bioactives-
94 producer strains with potential for mass cultivation, the dinoflagellate
95 *Amphidinium carterae* is one of the most promising (Molina-Miras et al., 2018;
96 Fuentes-Grünewald et al., 2016). *A. carterae* can produce a series of secondary
97 polyketide metabolites (López Rodríguez et al., 2019) among which are
98 amphidinols. Amphidinols elicit antitumor, antifungal, and hemolytic bioactivities
99 (Satake et al., 1991, Abreu et al., 2019). Their ability to interact with membrane
100 sterols and permeabilize membranes by forming pores has allowed to patent the
101 use of *Amphidium* extract for the production of a biofungicide (De La Crouee and
102 Yann, 2017).

103 Although microalgal bioprocesses have apparently less environmental impact
104 than **chemical** processes, for their proposal to replace technologies and products on
105 the market, it is necessary to carry out rigorous studies of their environmental
106 footprint (Reijnders, 2020). As is known, there are different methodologies for this,
107 among which the **Life-Cycle** Assessment (LCA) is the one with the greatest
108 analytical value. **Besides, it can be integrated in the development of products,**
109 **processes, and services through environmental design** (Reijnders, 2020).

110 In this work, a process for manufacturing a microalgae-based agricultural
111 fungicide is proposed. The feasibility of the process in terms of environmental
112 impacts associated with all the stages of its **life-cycle** is assessed.

113 **2. Materials and methods**

114 The Figure 1 summarized the followed methodology. SuperPro Designer v.7
115 (Intelligent USA) software was used for the process design step. The software was
116 able to provide estimates of consumptions of energy, water and other services for
117 the non-specific equipment. Different alternatives of production including a
118 debottlenecking process were evaluated. The objective was to avoid underused
119 equipment and to perform a sizing optimization.

120 *2.1. Goal and Scope definition*

121 A LCA study of the production of a microalgal bio-based fungicide was carried
122 out using Air.e LCA v3. 12.0.10 software (Solid Forest, Madrid, Spain). The
123 production of the biofungicide was investigated under two process scenarios: (1)
124 under a biorefinery approach considering two valuable co-products (fatty acids and
125 carotenoids) (Figure 2A); (2) under a single-product process (Figure 2B). **The**
126 **analyzed process chain relates to a hypothetical system that is based on**
127 **extrapolation from laboratory and pilot-scale experiments (described in the next**
128 **section). The system boundaries for the process were established to encompass all**
129 **the essential processes that are directly utilized in the production. Foreground**
130 **system was separated into several sections as can be seen in Figure 2. 1 L of**
131 fungicide was taken as the functional unit.

132 *2.2. Life cycle inventory (LCI)*

133 The inventory data for the LCA collected below includes **mineral** chemical
134 products (such as nutrients, solvents, washing agents, etc.) and electrical
135 consumption for a production unit of the fungicide. Inventory was included in
136 Table 1, where all the collected data have been calculated using the material and
137 energy balances made in SuperPro Designer. As was indicated, all the data
138 referring to the environmental impacts of the different mineral chemical products

139 used, from cradle to door, including therefore transport, have been taken from the
140 Ecoinvent database (v.3.7.1). There is no entry for vitamins in Ecoinvent, so we
141 estimate the values for **biotin, thiamine and cobalamin** assimilating them to those
142 of vitamin D3 (Morales González et al., 2019). **Pure CO₂** has been considered
143 because the effect of the impurities of flue gases in *A. carterae* is still unknown.
144 Technical specifications related to the RW-PBR and other microalgal-specific
145 equipment and processes were provided from previous publications where
146 laboratory and pilot scale results were reported (Molina-Miras et al., 2018,
147 Morales-Amador, et al., 2018, López-Rodríguez et al., 2019, 2020, 2021).

148 The following assumptions were adopted. (i) Nutrients of the culture medium
149 **were** based on commercial agricultural fertilizers. No differences have been
150 observed between lab-scale cultures grown with control chemically defined
151 medium and that equivalent based on fertilizers (unpublished data). Nutrients **were**
152 completely consumed by recycling the exhausted culture medium (Molina-Miras et
153 al., 2020). (ii) Electricity supply **came** from the Spanish energy mix. (iv) The
154 production facility **was** located near the Mediterranean coast, so seawater **was**
155 available through pumping. (v) The process of applying the fungicide in their final
156 destination, that is, in crops, was not considered because it is similar for liquid
157 fungicides.

158 **2.2.1. Microalga and fungicide**

159 The marine dinoflagellate microalga *A. carterae* BMCC33 (strain named as
160 Dn241EHU in previous publications), which produces amphidinol A and
161 amphidinol B (Abreu et al., 2019), was chosen for this study. The percentage of
162 amphidinols in its biomass is dependent on the culture conditions. Thus, a
163 percentage of up to 0.69 % on dry weight of biomass has been reported (López-

164 Rodríguez et al., 2021). The minimum concentration of amphidinols present in the
165 formulation that provides an effective fungicidal activity (inhibition of 100 %) was
166 64 mg L⁻¹ (own determination on *Fusarium melonis*). The proposed formulation
167 for sale to farmers should be 200 times more concentrated, this is, 12.8 g L⁻¹.
168 Depending on the type of crop, the application rate of commercial liquid
169 insecticides and fungicides generally varies between 1.5 and 2.5 L ha⁻¹. A 22 m³
170 volume of concentrated fungicide would be approximately needed to treat an
171 agricultural area between 8,000 and 14,000 ha **per** year.

172 *2.2.2. Process description*

173 **Considering** a conservative concentration of amphidinols in the biomass of
174 0.2% (**dry weight**), 4400 m³ of *A. carterae* culture **are** needed to produce 22 m³ of
175 concentrated fungicide. A total of 12 raceway PBRs of 10 m³ each **were** chosen
176 after studying alternatives for optimizing the use of downstream equipment (**see**
177 **supplementary materials**). This number of **photobioreactors (PRBs)** operated in
178 semicontinuous mode **was** expected to provide around 245 annual batches. For the
179 step of cultivation of *A. carterae* in the photobioreactor, the culture medium **was**
180 prepared using Mediterranean seawater pumped from a well and filtered with a
181 membrane filter of 0.25 µm pore. The composition of the culture medium is given
182 by that of the f/2 medium recipe multiplied by 3 (López Rosales, et al., 2018).
183 Concentrated medium stocks **were** dissolved in deionized water. The filtered
184 seawater **was** sterilized in situ by chlorination (López Rosales et al., 2018). For
185 this, 3 mL of a commercial bleach solution (4.7% of active chlorine) **were** added
186 for each liter of seawater. After mixing for 5 hours in the PBR, seawater **was**
187 neutralized adding concentrated solution of sodium thiosulfate (250 g L⁻¹) at a ratio
188 of 1 mL per 4 mL of bleach. Next, nutrient stocks and vitamins **were** added to the

189 seawater at the required concentrations. Then *A. carterae* seed was added; the
190 inoculum volume being a 10% (v/v) of the whole PBR culture volume. During the
191 period of each batch (12 days), air was supplied continuously at 0.05 vvm and CO₂
192 was supplied on demand with pH control (pH = 8.3) (Molina-Miras, et al., 2018).
193 Microalgal biomass was harvested and treated every 12 days (Fig. 2A). The broth
194 was firstly pre-concentrated by means of a lamellar settler for 2 hours. The diluted
195 sludge obtained was then pelleted by continuous centrifugation, removing around
196 85% of the water. Before discharge, the biomass pellet was subjected to a washing
197 step with deionized water to remove salts. Next, the biomass was spray-dried. In
198 saponification step, a volume of tricomponent solvent mixture (87.5/6.5/6 of 96°
199 ethanol, hexane, and water ratio, respectively) was added to the dried biomass at a
200 ratio of 40:1 (v/w), together with 40% w/w of KOH, relative to dry biomass.
201 Saponification reaction was carried out at 60°C for 30 minutes. The crude soap
202 from the saponification reaction and resulting solid residue were then separated by
203 filtration. The solid residue was extracted once more with an additional volume of
204 fresh tricomponent solvent mixture at a 16:1 (v/w) ratio (López-Rodríguez et al.,
205 2021). The whole crude soap obtained from the saponification reaction consisting
206 of two immiscible filtered liquid phases, one hexane and the other hydroalcoholic,
207 was subjected to a carotenoid-oriented extraction step:

208 i) Extraction of carotenoids: The resulting liquid phase was introduced into
209 an evaporator. The pellet (the mixture resulting from evaporation) was resuspended
210 with hexane, at a 4:1 v:v ratio. It was stirred for 1 hours. Once decanted, the
211 hexane phase undergoes evaporation, from which we obtain a fraction of apolar
212 carotenoids, as well as recover part of the hexane used. The pellet was again
213 subjected to solid-liquid extraction, this time using 2.5 kg of 99:1 acetone water

214 solution, thus extracting the remaining carotenoids (polar carotenoids). It **was**
215 stirred again for 5 minutes (López-Rodríguez et al., 2021). After decantation, the
216 light fraction **was evaporated**, obtaining more carotenoids and recovering the
217 solvents. Both currents of carotenoids **were** then mixed in a stirred tank, where
218 they **were** stabilized with olive oil. Final carotenoids percentage **was** 2%.

219 ii) Extraction of saponifiable lipids (free fatty acids): The heavy phase from
220 the previous stage **was** fed to a stirred tank, where we will add a mixture of water-
221 ethanol (72.8% ethanol) in a ratio of 10:1 (v/p dry biomass). It **was** then mixed
222 with hydrochloric acid to hydrolyze the previously formed soaps. For lipid
223 extraction, hexane **was** added in a simple liquid/liquid extraction at a ratio of 10:1
224 (v/w dry biomass). The resulting mixture **was** introduced into a decanter. The light
225 phase, rich in hexane, **was** subjected to evaporation, recovering the lipids. The
226 heavy phase **passed** to a distillation stage, where the ethanol **was** recovered. **The**
227 bottom of the distillation **passed** to the stage of formulating the fungicide.

228 iii) Fungicide formulation: The heavy phase obtained in the vacuum
229 distillation of the previous stage **iv contained** amphidinols (0.049%). **The fungicide**
230 **was effective** at 0.0085% (**dilution was made with water**). Extract amphidinol
231 content was 0.426 mg/L. This concentration was for the applied product (already
232 diluted). **Thus, commercial product was** 200 times more concentrated.

233 **Scenario 2:** The fungicide production process without a biorefinery is simpler.
234 The first stages of the process are maintained, modifying the process after spray-
235 drying **with the following operations:**

236 iv) Extraction of active fungicidal fraction: After drying, the biomass **was**
237 suspended in methanol, at a rate of 20 mL/g of biomass, and at 60°C (the

238 bioactivity **was** kept until 90°C (De La Crouee & Thiebeauld, 2017). After this, the
239 methanolic solution **was** subjected to distillation for the recovery of methanol.

240 v) Formulation of amphidinols: the extract rich in amphidinols from the
241 previous stage **was** finally dissolved in water until it reaches the required
242 concentration.

243 *2.3. Life cycle impact assessment (LCIA)*

244 Allocation procedure was required since Scenario 1 involved the production of
245 different compounds. According to ISO 14040/44, allocation was avoided by
246 considering sub-processes that only contributed to one product. For indivisible
247 subprocesses, the environmental burdens were allocated between the co-products
248 on a mass-based allocation approach. The following methodologies were chosen:
249 PAS 2050, ILCD and ISO 14046. The ReCiPe midpoint method was used for the
250 impact assessment. The database for Air.e was Ecoinvent (v3.7.1;
251 www.ecoinvent.org). Calculations from SuperPro Designer software for the
252 processes design were used for the LCA.

253 *2.4. Interpretation*

254 The LCA findings were presented based on the principles and
255 recommendations outlined in the ISO standards. For interpretation purposes,
256 results were compared with those obtained for several commercial fungicides
257 considering only their composition (RevyCare[®], Priaxor[®], Delan[®] Pro, Cabrio[®]
258 WG, Sercadis[®] and Serifel[®], all of them from the German manufacturer, BASF).
259 Due to the reliance on background Ecoinvent uncertainties and models in
260 databases, an uncertainty analysis was carried out. It involved running 1000
261 simulations using the "Monte Carlo analysis" method. This approach utilized a
262 pedigree matrix to assess the uncertainty across various midpoint impact categories

263 (Ciroth et al., 2016) (see supplementary materials). Outcomes of this analysis
264 included the calculated mean value and standard deviation (SD). Unpaired t test
265 with Welch's correction were conducted to compare the results.

266 3. Results and Discussion

267 Although in recent years there has been an increase in the number of LCAs
268 carried out for microalgal processes, these are still scarce and, in some cases,
269 unreliable, given the enormous variability of results and even their inconsistency
270 (Reijnders, 2020). Furthermore, most LCAs found in the literature are focused on
271 microalgal biodiesel production (Bradley et al., 2015; Ketzer et al., 2018; Li et al.,
272 2022; Reijnders, 2020; Silva et al., 2015; van Boxtel et al., 2015).

273 Notwithstanding, a few of them have been focused on high value microalgal
274 products (Mehariya et al., 2021; Porcelli et al., 2020; Reijnders, 2020), for example
275 feed for aquaculture, proteins, fatty acids, phycocyanin, etc.

276 Environmental impacts can be classified as intermediate (direct effect) or final
277 (cumulative impacts over the entire life cycle), although the difficulty in estimating
278 the long-term effect of emissions on the environment makes more common the use
279 of intermediate impact methods. The ReCiPe method was used in this work due to
280 its popularity in bioprocesses, including microalgae-based ones. For agriculture
281 fungicides, based on the results obtained from the ReCiPe MidPoint method, the
282 impacts of greatest interest were: Marine Water Ecotoxicity (MET), Human
283 Toxicity (HT), Global Warming Potential (GWP), Water Resource Depletion
284 (WD), Mineral Resource Depletion (MD), and Fossil Resource Depletion (FD).
285 The diagram of the amphidinol production process for Scenarios 1 and 2 are
286 identical up to the spray-dryer stage, from which stage 2 is simpler since a single
287 product is obtained using fewer steps (see Figure 2 and supplementary materials).

288 The total impacts, expressed in mass or volume of equivalent resources, for the
289 proposed process were included in Figure 3. In this **Figure 3** we can see that the
290 production of the fungicide **and the co-products**, under a biorefinery approach,
291 generates higher impact per functional unit than the single-product process. This is
292 the obvious result of **producing 3 co-products**. **Error bars in Figure 3 are standard**
293 **deviations obtained from the uncertainty analysis. Significant differences were**
294 **obtained for all the impacts ($p < 0.001$)**. In the Figure 4, the contribution of the
295 different stages to the impact indicators have been plotted. **For Scenario 1,**
296 **Carotenoids Extraction and, to a lesser extent, the Saponifiable Lipids Extraction**
297 **stage were the most contributing stages. For Scenario 2, Inoculation and Culturing**
298 **caused most of the impacts. Since the biomass production is the same for both**
299 **Scenarios, it can be concluded that the inputs required for lipids and carotenoids**
300 **were the greatest source of the environmental impacts. Similar results were**
301 **obtained for other bioprocesses** (Adesanya et al., 2014; Ishizaki et al., 2020; Pérez-
302 López et al., 2017; Porcelli et al., 2020).

303 ***3.1. Global Warming Potential (GWP)***

304 One of the most studied impact indicators in LCA, for any type of process or
305 service, is the **GWP100**. This indicator is used to characterize the impact of climate
306 change. The main **GHG** is CO₂, whose emissions (along with those of other GHGs
307 and the disposal of by-products and waste products) are used to calculate the
308 carbon footprint of a process (Mata et al., 2018). In processes in which microalgae
309 are used, it is necessary to provide CO₂ for photosynthesis, generating biomass
310 with this reaction. The concentration of CO₂ in the atmosphere, although
311 increasing, is approximately 0.04 ppm, which is insufficient for an optimized
312 process. For this reason, the injection of pure CO₂ **gas** is common. It is important to

313 consider that if we keep the culture pH above 8, CO₂ losses can decrease (Collet et
314 al., 2014), although the optimum pH for cultivation is species-specific. The
315 consumption of CO₂ during the cultivation stage, in biomass production, can make
316 the net production of this gas negative, although it largely depends on the
317 efficiency of the process to really act as a carbon sink (Mata et al., 2018). The CO₂
318 used in our study has not been distilled from air but was produced by a chemical
319 process. Therefore, this also influences the depletion of mineral resources.

320 **Although the use of CO₂ from a combustion stream could be a potential**
321 **alternative, pure CO₂ has been considered because the effect of the impurities of**
322 **flue gases in *A. carterae* is still unknown.**

323 Electrical consumption has a very important relevance in the operating costs of
324 microalgal bioprocesses (Acién Fernández et al., 2017). It also has a great
325 environmental impact (they directly affect the carbon footprint and GWP) (Pérez-
326 López et al., 2014). Pérez-López et al. estimated a consumption of 1.42 kWh per
327 kg of microalgae during the cultivation stage based on real industrial-scale data. In
328 our study, the calculated consumption was 1.40 kWh per kg of biomass (based on
329 estimations from real pilot-scale data). If we consider the entire process, from the
330 sterilization stages to the end of the downstream, the consumption measured by
331 Pérez-López et al. (2014) was 30.45 kWh. Our electrical demand was 29.67 kWh.
332 Therefore, the methodology followed seems correct. In most of the studies carried
333 out with microalgae cultures, the upstream section is the one with the greatest
334 impact on the GWP100 (Adesanya et al., 2014; Ishizaki et al., 2020; Pérez López
335 et al., 2017; Porcelli et al., 2020). This is due to the energy consumption invested
336 in the mixing, filtration, CO₂ supply and, in the harvesting, (for example,
337 centrifugation). Therefore, even using PBRs with low energy consumption, the

338 stage that most contributed to climate change was also inoculation and cultivation
339 (Scenario 1).

340 The production of the culture medium ingredients (although they were
341 fertilizers rather than technical grade reagents) is an important impact contributor
342 since mining, chemical processes and transport are energy-intensive activities
343 (Mata et al., 2018). One of the solutions proposed to reduce the demand for
344 fertilizers is the use of wastewater. Diniz et al. (2017) evaluated the environmental
345 advantages of using wastewaters, concluding that it depended largely on the
346 required pretreatments. Besides, their use is restricted to applications non-related to
347 direct human consumption (food or health).

348 In this work, electrical consumption was comparatively lower than in other
349 studies, given the use of raceway PBRs (0.002 kW/m³) (Acién Fernández et al.,
350 2017; Chandra et al., 2018), as well as lamellar settlers to pre-concentrate the
351 biomass. Thus, the use of a combination of different technologies can significantly
352 help reduce this impact. Adesanya et al. (2014) exemplified this by implementing a
353 hybrid culture system, with open and closed photobioreactors, achieving 42%
354 reduction in global warming potential.

355 *3.2. Marine water ecotoxicity (MET) and human toxicity (HT)*

356 The biomass treatment stages, in which biomass undergoes saponification and
357 solvent extraction, were the one that most influenced MET and HT. For these
358 stages, great amounts of organic solvents were used (for the functional unit, 119 kg
359 of hexane and 171 kg of ethanol for Scenario 1; 62.5 kg of methanol for Scenarios
360 1 and 2). While simple alcohols like methanol and ethanol, as well as alkanes such
361 as heptane and hexane, are considered environmentally preferable solvents
362 (Capello et al., 2007), their petrochemical production, use, and disposal involve

363 toxicity-related impacts. The utilization of water-organic solvent mixtures (Amelio
364 et al., 2014) or deep eutectic solvents (some of which can be derived from
365 renewable resources) could be an attractive alternative, although industrial-scale
366 data for this specific process are not yet available.

367 *3.3. Depletion of fossil (FD), water (WD), and mineral (MD) resources.*

368 The FD in our process was mainly due to the production of electrical energy.
369 The electric energy consumed in these processes was supplied from the Spanish
370 electricity grid, whose data in Ecoinvent for the Spanish mix corresponded to the
371 period 2008-2015. During this period, the energy produced in Spain came mainly
372 from non-renewable energy sources (coal, fuel/gas, combined cycle, etc.). The
373 percentage of non-renewables during this period varied between 57.2% in 2014
374 and 78.4% (Red Eléctrica de España, 2015). Renewable energies, as well as
375 nuclear, present CO_{2e} emission factors equal to zero. For their part, coal and fossil
376 fuel power plants have an emission factor around 20 and 75 tCO_{2e} for MWh
377 produced (REData, accessed 21st April 2023). This explains this great impact on
378 our processes, although, looking at the geopolitical panorama that Europe faces in
379 2022, in addition to the upward trend in the increase in energy generation through
380 renewable energies, it is expected that the energy mix of all countries, including
381 Spain, be less dependent on fossil fuels in favor of cleaner energy sources.

382 Microalgal cultivation requires water (seawater or fresh water). Due to the
383 shading of light by the biomass, concentrations of few grams per liter are expected
384 (in industrial PBRs typically less than 1 g per liter). This means that to produce 1
385 kg of biomass, 1 m³ of water is necessary only for the culture medium, although
386 the water can be used in different cycles after being recovered in the centrifugation
387 and/or sedimentation stages. In our case, when using a marine species, the water

388 requirements for cultivation have less impact than those corresponding to
389 freshwater species for obvious reasons. In addition, fresh water is commonly used
390 as a cooling fluid, as well as for washing steps. Besides, water expense is implicit
391 to all raw materials, as well as to electricity production (Mu et al., 2017). In the
392 case of the processes studied here, it was one of the greatest impacts, since in
393 addition to a significant amount of water used during the process, it was associated
394 to other inputs. The WD can be reduced if wastewaters are used, not only for the
395 water itself but also for the N and P contained in it, that would reduce the
396 dependency on synthesized nitrate, phosphate, etc. (provided that the products
397 obtained are not intended for human consumption). However, if the $\text{NH}_3/\text{NH}_4^+$ (or
398 other components) concentration is too high, it will be necessary to carry out a
399 dilution or even pretreatments (López Rosales et al., 2022). In addition, the use of
400 wastewater makes it necessary to clean the equipment more frequently, which in
401 turn is done with water (Mu et al., 2017). The use of seawater in processes such as
402 cooling is not recommended since it is cause of major corrosion issues in pipes and
403 pumping equipment. MD occurred mainly in the Inoculation & Culturing stage,
404 due to the use of mineral fertilizers such as zinc sulfate, copper sulfate, or some
405 phosphates, which create great impacts during the extraction of their raw materials
406 (Ponomarenko et al. 2021; Valero, 2011).

407 *3.4. Carbon footprint*

408 For the evaluation of the carbon footprint, it has been considered that the CO_2
409 used for biomass production acted as a biogenic carbon source, **thus treating the**
410 **cultivation stage as a CO_2 sink**. The carbon footprint values of each element used
411 in Air.e LCA were collected as kg of CO_{2e} in Table 2. The results of the LCA
412 showed that the production process of 1 L of fungicide has a carbon footprint of

413 104.87g CO_{2e}. **If technical grade reagents instead of fertilizers had been used, the**
414 **carbon footprint would have increased by only 0.24%. This impact (2.13 kg CO_{2e}**
415 **in Scenario 1)** was distributed among the different co-products being the impact of
416 the fungicide the lowest. The carbon footprint obtained for the single-product
417 fungicide in the Scenario 2 was 836.67 g CO_{2e}. **This difference is associated with**
418 **the allocation of burdens among the co-products based on their respective mass**
419 **fractions, resulting in upstream stages contributing less to the functional unit.** In
420 **Table 2** some carbon footprint values appear as negative figures. The reason is that
421 impacts corresponding to the co-products, acted with respect to the fungicide as
422 carbon sinks.

423 *3.5. Comparison with commercial and non-commercial fungicides.*

424 Regarding agriculture biofungicides, García-Cruz et al. (García Cruz et al.,
425 2022) evaluated a fungicide obtained from the waxy residues of the production of
426 orange essential oils. They made an LCA considering 1 m³ of fungicide produced
427 as functional unit. For comparative purposes we have normalized their results to
428 our unit (1 L). In addition, LCAs have been carried out for different commercial
429 fertilizers, based on the data provided by the maker in the safety data sheets (**see**
430 **supplementary materials**). For all the fungicides in the comparison, 1 L of
431 fungicide has been taken as the functional unit, and it has been recalculated, based
432 on their recommended application concentration for one hectare of crop. In our
433 case we have formulated our fungicide to dissolve 1 L of product in 200 L of broth.
434 This is the amount of broth estimated to treat one hectare. This standardization is
435 necessary since they have different concentrations and effectiveness. In this way,
436 approximate estimates of their impacts evaluated under the different indicators are
437 obtained. The values obtained are shown in Figure 5A. In the work of García-Cruz

438 et al. (2022), the impact of ME was not calculated, so it is not represented in the
439 Figure 5A. The case of the wax-based fungicide is also notable. A priori, a low
440 impact would be expected due to its biological origin. However, it is not effective
441 in low doses. Therefore, its use at high doses provides high values of **GWP**, **GWP**,
442 **HT and MD for the products with the lower impact were plotted in the Figure 5B**.
443 It was clearly showed that the fungicide produced in Scenario 1 was the one with
444 the least environmental impact being at the level of Serifel, which is classified as a
445 biological fungicide. **Significant differences were obtained for the impacts ($p <$**
446 **0.001)**. **Serifel** is composed entirely of kaolin, a clay mineral that hardly requires
447 post-processing in the manufacture of this product. The fungicide produced in
448 Scenario 2 presented the **greatest** impacts in HT and MD. For traditional, chemical-
449 based fungicides, the impacts related to toxicity, such as MET and HT, are much
450 greater than for alternative fertilizers of biological origin, especially for the
451 fungicide obtained in our Scenario 1. It is important to note that, to calculate these
452 environmental data for commercial fungicides, their LCA has been carried out
453 considering only their composition, obtained from their safety data sheets.
454 Therefore, the actual impacts for this product are most probably greater since part
455 of the manufacturing processes (formulation or packing) were not considered.

456 **4. Conclusions**

457 The proposed microalgal biofungicide under a biorefinery approach would
458 imply the release of 34.61 t CO_{2e} **during the useful life of the plant (15 years;** total
459 carbon footprint would be 703.91 t CO₂). The one-product scenario showed a
460 larger carbon footprint (271.33 t CO_{2e}). When compared to commercial and non-
461 commercial fungicides, the amphidinols-**biobased** fungicide showed a lower
462 environmental footprint. **The reduction in GWP was 82-98%**. Especially

463 significant was the reduction in the figures of the toxicity-related impacts,
464 compared to **commercial** fungicides. Thus, from an environmental perspective,
465 microalgal bioprocess are interesting alternatives with benefits that go beyond the
466 reduction of the greenhouse gases.

467 **E-supplementary data for this work can be found in e-version of this paper online.**

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474 **Declaration of Generative AI and AI-assisted technologies in the writing**

475 **process:** During the preparation of this work the author(s) did not used any AI and
476 AI-assisted technology.

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637 **Figure Legends**

638 **Figure 1.** Scheme of the methodological procedure and data sources used to
639 produce 22,000 L/year of biofungicide from the culture of the marine
640 dinoflagellate microalga *A. carterae*.

641 **Figure 2.** Overview of the process and system boundaries for Scenario 1 (A) and 2
642 (B). Background system groups raw materials and utilities whose environmental
643 impacts were obtained from EcoInvent 3.7. Foregrounds system includes the
644 environmental impact directly linked to the projected process.

645 **Figure 3.** Total impact of the scenarios evaluated for producing an amphidinol-
646 based fungicide. **Scenario 1 includes impacts of 3 co-products. Impacts of Scenario**
647 **2 are only referred to the biofungicide. Fossil Resource Depletion (FD), Mineral**
648 **Resource Depletion (MD), Water Resource Depletion (WD), Global Warming**
649 **Potential (GWP), Human Toxicity (HT), Marine Water Ecotoxicity (MET), Marine**
650 **Eutrophication (ME) and Fresh Water Eutrophication (FE). Bars are standard**
651 **deviations.**

652 **Figure 4.** Comparison of contributions of the different process stages to the total
653 impact for producing an amphidinol-based fungicide. **Scenario 1 includes impacts**
654 **of 3 co-products. Impacts of Scenario 2 are only referred to the biofungicide. Fossil**
655 **Resource Depletion (FD), Mineral Resource Depletion (MD), Water Resource**
656 **Depletion (WD), Global Warming Potential (GWP), Human Toxicity (HT), Marine**

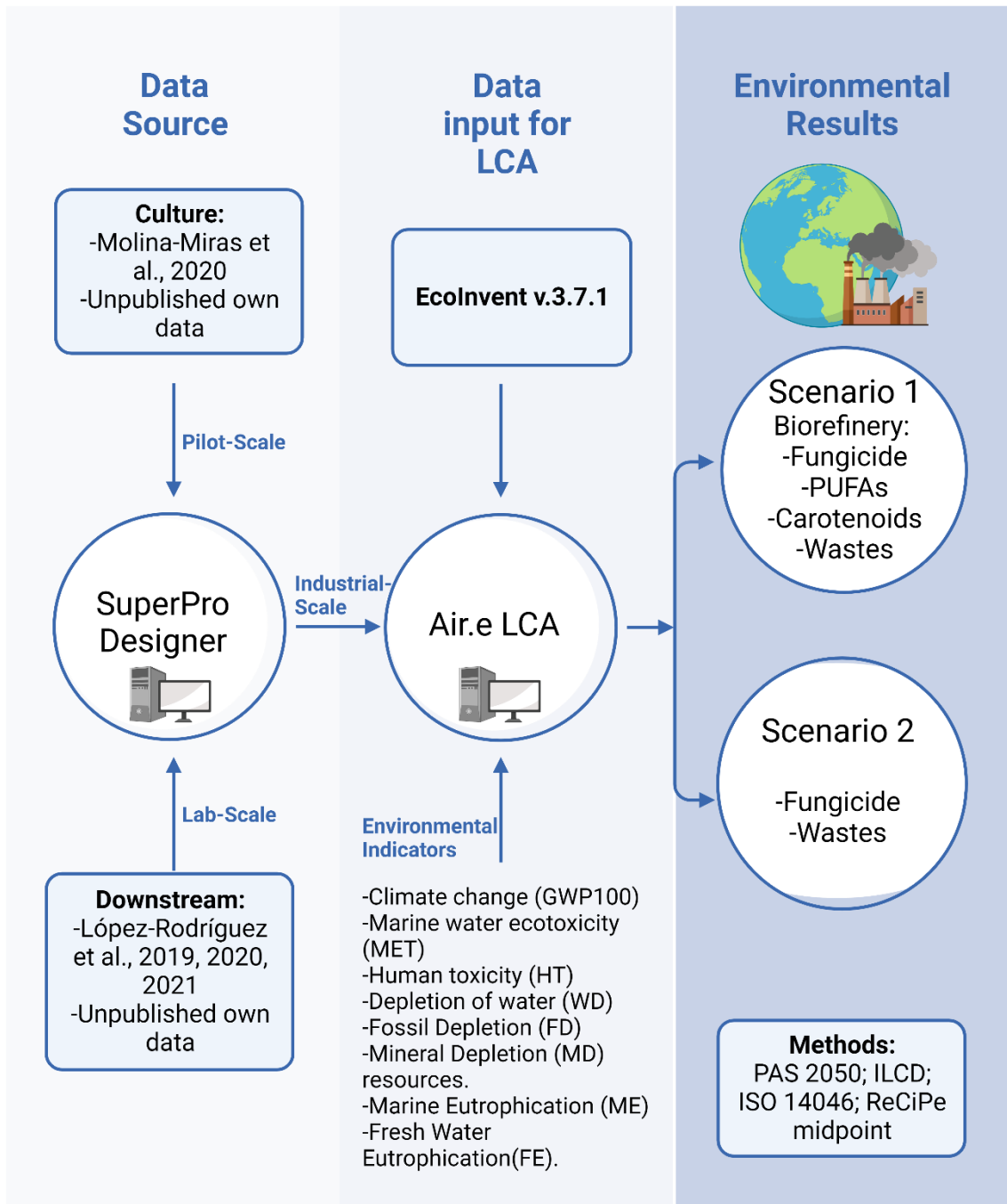
657 Water Ecotoxicity (MET), Marine Eutrophication (ME) and Fresh Water
658 Eutrophication (FE).

659 **Figure 5.** A) Environmental results of the fungicides evaluated **normalized per**
660 **treated ha.** B) GWP, HT and MD for the 4 fungicides with lower impact. Scenario
661 1 and Scenario 2 are only referred to the biofungicide. Fossil Resource Depletion
662 (FD): kg Oil_e, Mineral Resource Depletion (MD): kg Cu_e·10⁷, Water Resource
663 Depletion (WD): m³, Global Warming Potential (GWP): kg CO_{2e}, Human Toxicity
664 (HT): kg 1,4-DB_e, Marine Water Ecotoxicity (MET): kg 1,4-DB_e, Marine
665 Eutrophication (ME): kg N_e and Fresh Water Eutrophication: kg P_e. Bars are
666 **standard deviations.** *García-Cruz et al., 2022.

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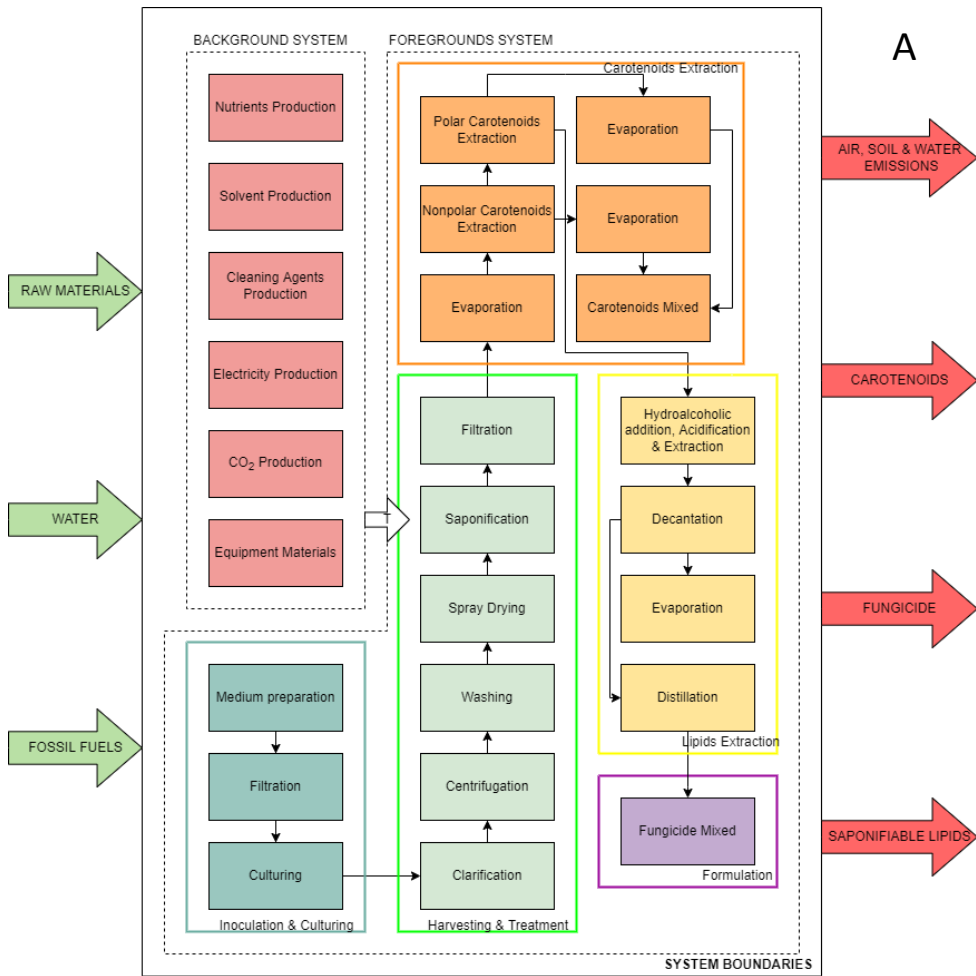


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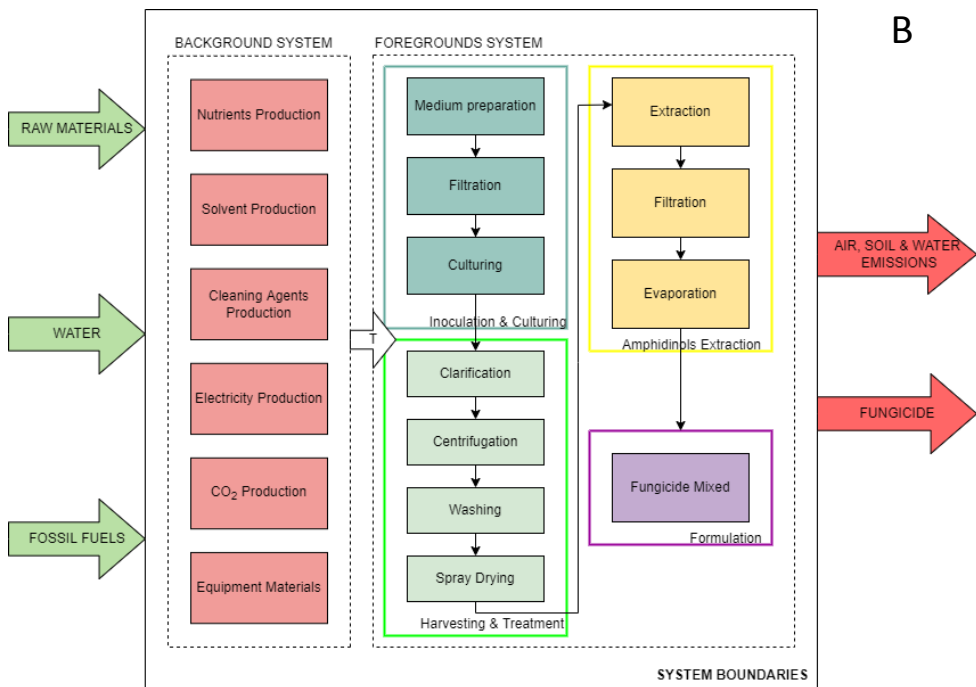
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Figure 1



Legend: T - transport activities

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Legend: T - transport activities

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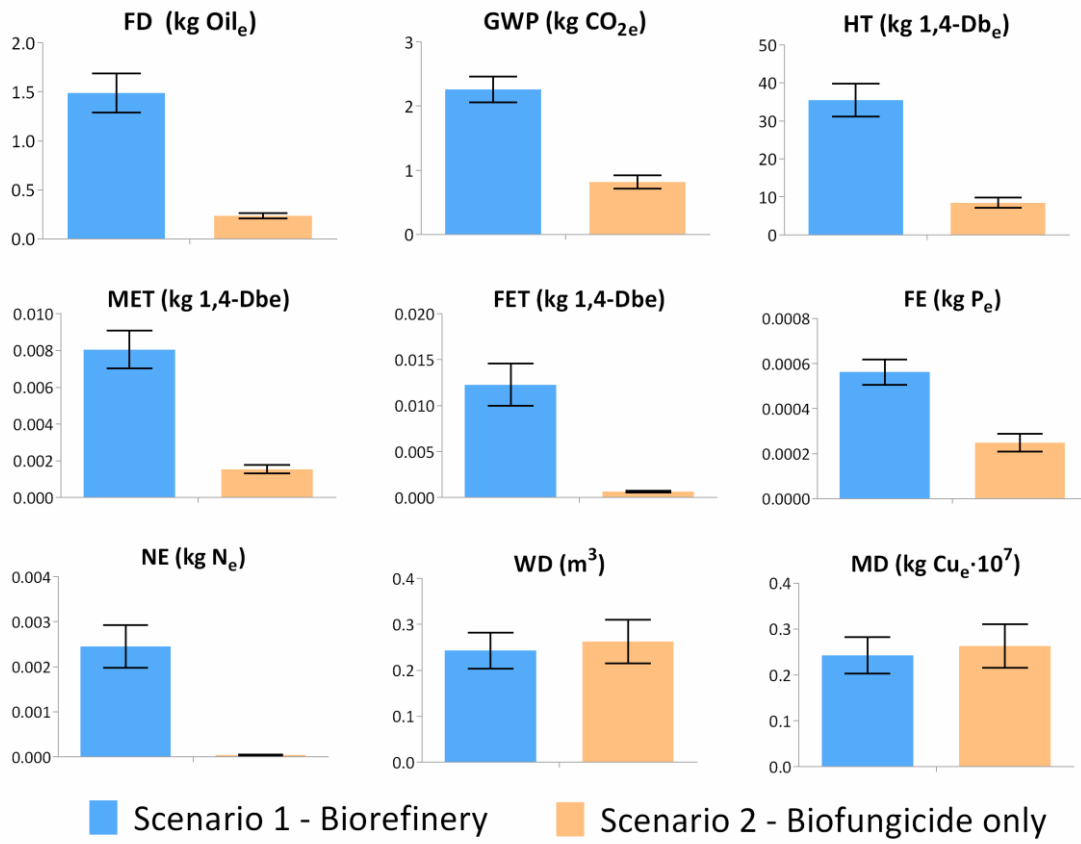
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Figure 2

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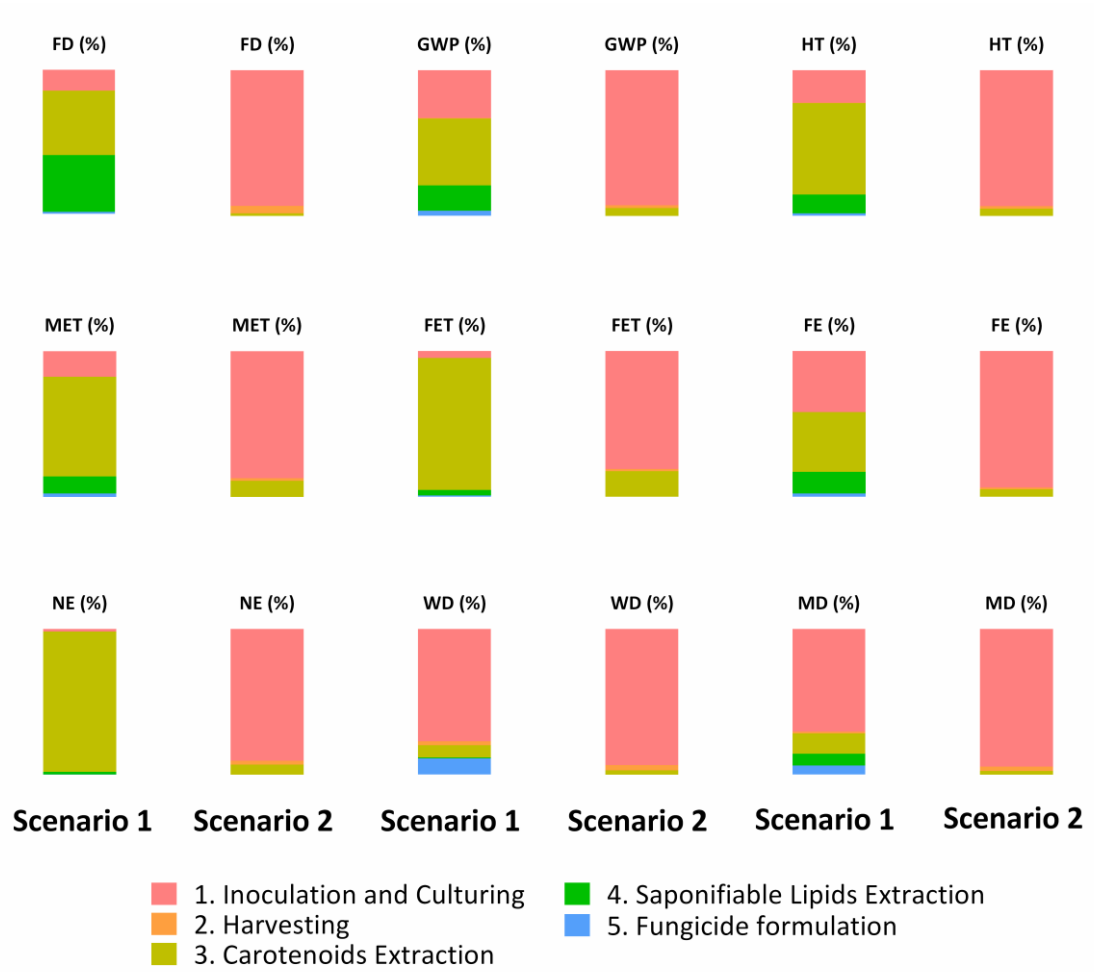
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Figure 3

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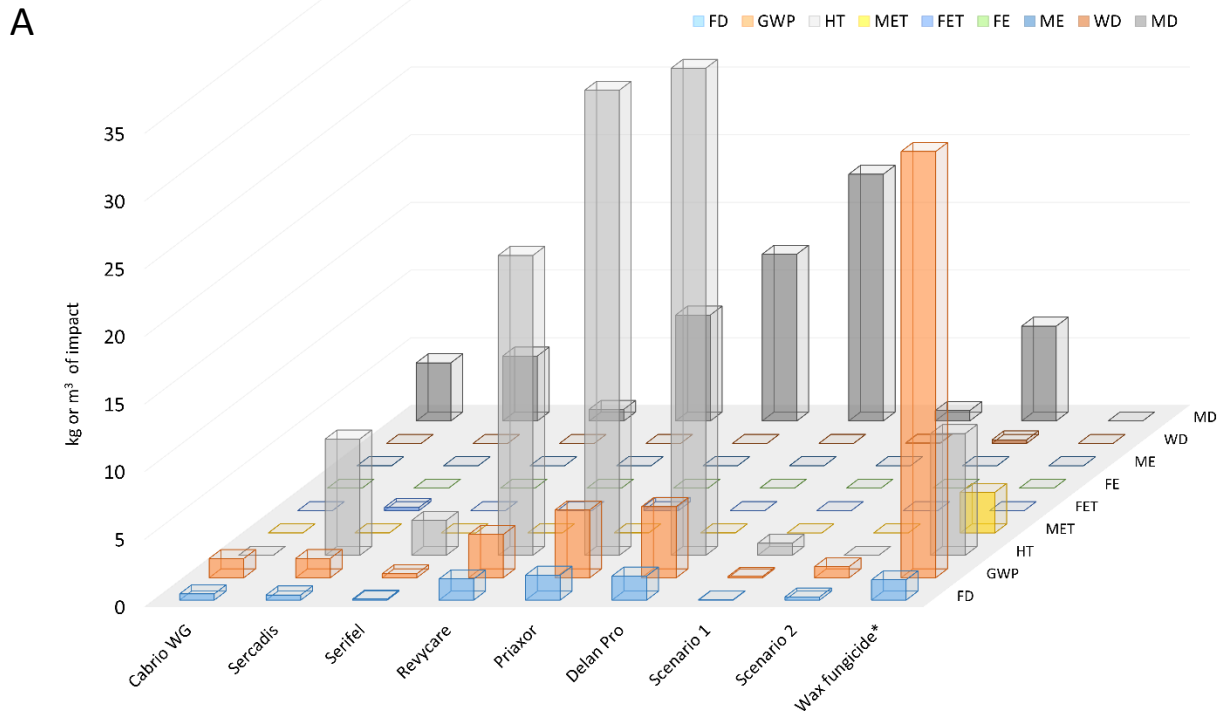
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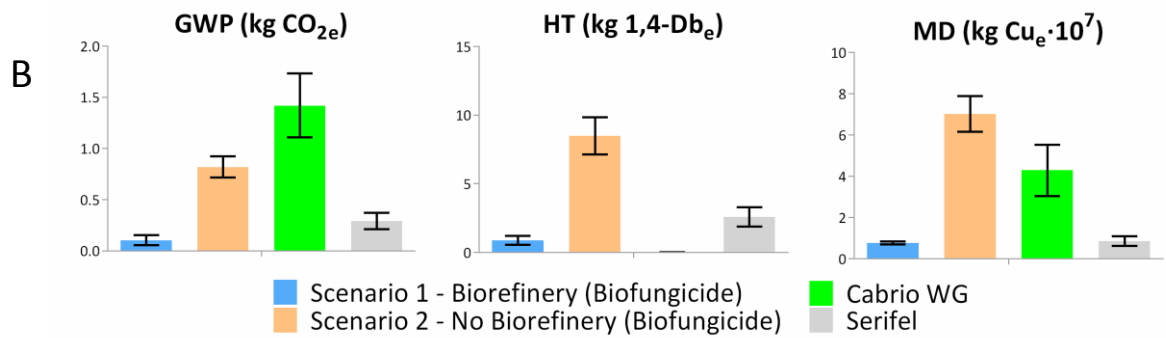
Figure 4

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Figure 5

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| Table 1. Inventory data for the amphidinol-based fungicide production process | | | | |
|--|--|-----------------|-------------------------------------|-----------------|
| Process | Inputs | Quantity | Outputs | Quantity |
| Common to both scenarios | | | | |
| Inoculation & Culturing | Sea water (L) | 8790 | Purge (kg) | 984.28 |
| | Bleach (L) | 30 | Culture medium (L) | 9611.03 |
| | Thiosulfate (L) | 7.5 | | |
| | Reagents (L) | 107.78 | | |
| | Vitamins (g) | 5.65 | | |
| | Inoculum biomass (g) | 630 | | |
| | Sea water inoculum (L) | 1000 | | |
| | Air (vvm) | 0.5 | | |
| | CO ₂ (kg) | 643.66 | | |
| | Electricity (kWh) | 95.09 | | |
| Scenario 1 | | | | |
| Harvesting & Treatment | Culture medium (L) | 9611.03 | Water (L) | 9697.7 |
| | Deionized Washing water (L) | 104.41 | Biomass (L) | 14.03 |
| | Potassium hydroxide (Kg) | 1.58 | Purge (kg) | 0.24 |
| | Ethanol (kg) | 154.65 | Solid waste (kg) | 5.17 |
| | Hexane (kg) | 8.85 | Mix to treat (kg) | 229.62 |
| | Electricity (kWh) | 9.56 | | |
| Carotenoid Extraction | Inlet stream from previous step (kg) | 229.62 | Recovered tricomponent mixture (kg) | 176.66 |
| | Hexane (kg) (Nonpolar extraction) | 52 | Recovered hexane (kg) | 49.4 |
| | Acetone: Water (kg) (Polar extraction) | 2.50 | Heavy pase (kg) | 4.6 |
| | Water (g) | 30 | Recovered Acetone: Water (kg) | 2.49 |
| | Olive oil (L) | 5.57 | Stabilized carotenoids (L) | 5.65 |
| | Electricity (kWh) | 14.302 | | |
| Lipid Extraction | HCl (L) | 2.01 | Heavy phase-residue (L) | 3.81 |
| | Hexane (L) | 88.5 | Recovered hexane (L) | 60.05 |
| | Ethanol: Water (L) | 39.6 | Recovered ethanol: water (L) | 28 |
| | Electricity (kWh) | 2.51 | Lipids (L) | 0.71 |
| Fungicide Formulation | Heavy pase-residue (L) | 16.42 | Fungicide (L) | 92.78 |
| | Water (L) | 76.45 | | |
| Scenario 2 | | | | |
| Harvesting | Culture medium (L) | 9611.03 | Water (L) | 9699.26 |
| | Washing water (L) | 100 | Biomass (L) | 12.47 |
| | Electricity (kWh) | 5.24 | | |
| Amphidinols Extraction | Methanol (kg) | 62.53 | Purge (kg) | 0.13 |
| | Biomass (L) | 12.47 | Solid waste (kg) | 3.96 |
| | Electricity (kWh) | 5.725 | Recovered methanol (kg) | 60.89 |
| | | | Broth rich in amphidinols (kg) | 10.33 |
| Fungicide Formulation | Broth rich in amphidinols (kg) | 10.33 | Fungicide (L)* | 91.24 |
| | Water (L) | 80.65 | | |

* The fungicide obtained in scenario 2 is more impure than that of scenario 1, given the presence of other compounds that have not been separated, such as saponifiable lipids and carotenoids.

692

Table 2. Carbon footprints (kg CO_{2e}) for an amphidinol-based fungicide process

| Scenario 1 | | Scenario 2 | |
|--|-------------------------|----------------------------|-------------------------|
| Elements | kgCO _{2e} | Elements | kgCO _{2e} |
| Inoculation & Culturing | | | |
| Reagent | 44.9·10 ⁻³ | Reagent | 45.13·10 ⁻³ |
| Vitamins | 22.1·10 ⁻³ | Vitamins | 22.1·10 ⁻³ |
| Electricity | 2.79·10 ⁻¹ | Electricity | 6.42·10 ⁻¹ |
| Compressed CO ₂ | 62.41·10 ⁻³ | Compressed CO ₂ | 64.21·10 ⁻³ |
| Harvesting & Treatment // Amphidinols extraction | | | |
| Water for washing | 369·10 ⁻⁶ | Water for washing | 378.73·10 ⁻⁶ |
| - | - | Methanol | 5.28·10 ⁻³ |
| Electricity | 9.28·10 ⁻³ | Electricity | 1.96·10 ⁻² |
| Carotenoids Extraction | | | |
| Saponification | 338.72·10 ⁻³ | | |
| Reagents | 1.09 | | |
| Olive oil | 15.1·10 ⁻³ | | |
| Cellulose | 170·10 ⁻⁶ | | |
| Cardboard boxes | 2.42·10 ⁻³ | | |
| Labels | 2.02·10 ⁻³ | - | - |
| Electricity | 12.15·10 ⁻³ | - | - |
| Saponifiable lipids Extraction | | | |
| Reagents | 369.27·10 ⁻³ | - | - |
| Electricity | 1.33·10 ⁻³ | - | - |
| Cellulose | 21.6·10 ⁻⁶ | - | - |
| Cardboard boxes | 902.64·10 ⁻⁶ | - | - |
| Labels | 7.5·10 ⁻⁴ | - | - |
| Fungicide formulation | | | |
| Water | 327·10 ⁻⁶ | Evaporation | 12.36·10 ⁻³ |
| Electricity | 4.22·10 ⁻² | Water | 303.81·10 ⁻⁶ |
| Plastic bottles | 24.5·10 ⁻³ | Electricity | 0.92·10 ⁻⁶ |
| - | - | Plastic bottles | 24.55·10 ⁻³ |
| Labels | 3.71·10 ⁻³ | Labels | 3.73·10 ⁻³ |
| Cardboard boxes | 4.67·10 ⁻³ | Cardboard boxes | 5.04·10 ⁻³ |
| Carbon footprint/FU | 2.46 | Carbon footprint/FU | 0.85 |

693

694

CRediT statements

E. López-Herrada: Investigation, Writing- Original draft preparation

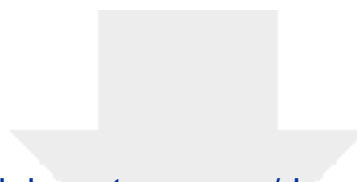
J. J. Gallardo-Rodríguez: Conceptualization, Methodology, Writing- Original draft preparation,
Writing- Reviewing and Editing

L. López-Rosales: Methodology, Writing- Reviewing and Editing

M.C. Cerón-García: Methodology, Writing- Reviewing and Editing

A. Sánchez-Mirón: Conceptualization, Supervision

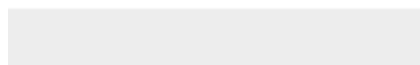
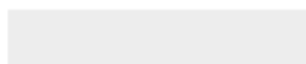
F. García-Camacho: Conceptualization, Supervision, Writing- Reviewing and Editing



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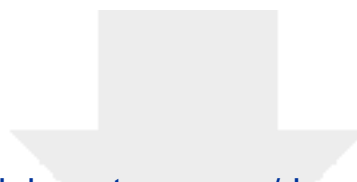


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\$\$\$ Supplementary Material 2 Flow diagram

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