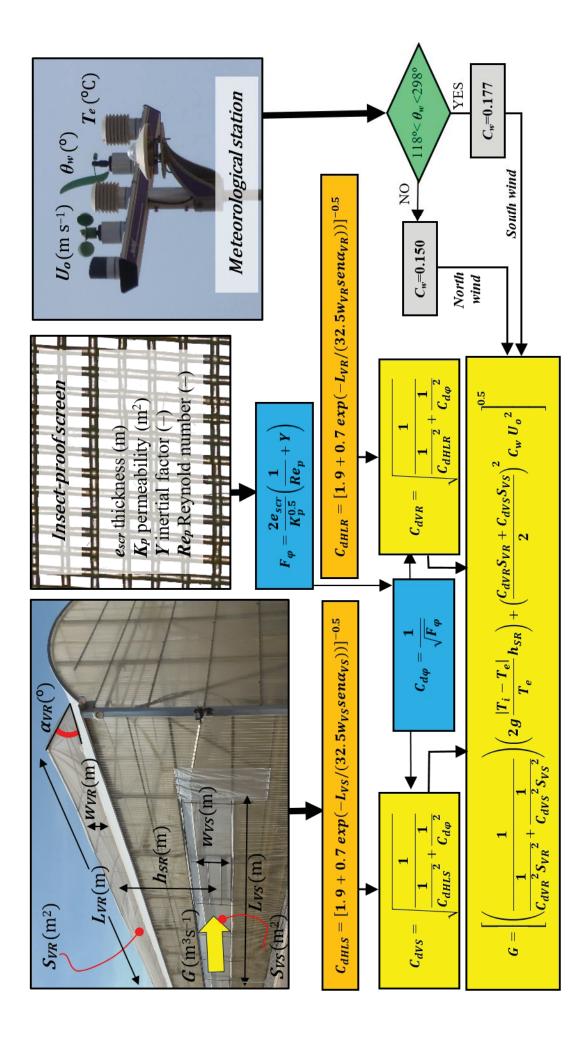
# **Manuscript Details**

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### Abstract

In this study, a semi-empirical dynamic model of energy balance was developed to predict temperatures (air, plants, greenhouse cover and soil) in a naturally ventilated greenhouse with a polypropylene mulch covering the soil in a Mediterranean climate. The model was validated using experimental data of 5 non-successive periods of 5 days throughout the crop season in the province of Almería (Spain). During the evaluation period, the transmissivity of the cover ranged between 0.44 and 0.80 depending on whitening, and the leaf area index of the tomato crops growing inside the greenhouse varied from LAI=0.74 to 1.30 m2 m-2. The model mainly consists of a system of 6 non-linear differential equations of energy conservation at inside air, greenhouse plastic cover, polypropylene mulch and three layers of soil. We used multiple linear regressions to estimate the crop temperature in a simple way that allows a reduction in the number of parameters required as input. The main components of the energy balance in warm climate conditions are the solar radiation, the heat exchanged by natural ventilation and the heat stored in the soil. To improve the estimation of the heat exchanged by ventilation, different discharge coefficients were used for roof CdVR and side openings CdVS. Both coefficients changed throughout the time as a function of the height and opening angle of the windows and of the air velocity across the insect-proof screens. The model also used different wind effect coefficients Cw for Northeast or Southwest winds, to take into account the different obstacles (a neighbouring greenhouse at the south and a warehouse at the north). A linear regression of the wind direction angle 0w was used as correction function for the volumetric ventilation flux G. The results showed that the accuracy of the model is affected mainly by errors in the cover transmissivity on cloudy days (when diffuse radiation prevails) and errors in the temperature of air exiting the greenhouse on windy days (when hot air stagnated near roof openings, that were closed by the climate controller to avoid wind damage). In general, the results of validation comparing calculated values with those measured on 25 days (with relative root mean square errors below 10%), show sufficient accuracy for the model to be used to estimate air, crop, plastic cover, polypropylene mulch and soil temperatures inside the greenhouse, and as a design tool to optimise the ventilation system characteristics and control settings.

Keywords	Greenhouse; dynamic model; natural ventilation; thermal analysis; plastic mulch
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#### **1** Development of a single energy balance model for prediction of temperatures inside a naturally

#### 2 ventilated greenhouse with polypropylene soil mulch

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6

# 7 Abstract

8

9 In this study, a semi-empirical dynamic model of energy balance was developed to predict temperatures (air, 10 plants, greenhouse cover and soil) in a naturally ventilated greenhouse with a polypropylene mulch covering the 11 soil in a Mediterranean climate. The model was validated using experimental data of 5 non-successive periods of 12 5 days throughout the crop season in the province of Almería (Spain). During the evaluation period, the 13 transmissivity of the cover ranged between 0.44 and 0.80 depending on whitening, and the leaf area index of the 14 tomato crops growing inside the greenhouse varied from  $L_{AI}=0.74$  to 1.30 m<sup>2</sup> m<sup>-2</sup>. The model mainly consists of a 15 system of 6 non-linear differential equations of energy conservation at inside air, greenhouse plastic cover, 16 polypropylene mulch and three layers of soil. We used multiple linear regressions to estimate the crop temperature 17 in a simple way that allows a reduction in the number of parameters required as input. The main components of 18 the energy balance in warm climate conditions are the solar radiation, the heat exchanged by natural ventilation 19 and the heat stored in the soil. To improve the estimation of the heat exchanged by ventilation, different discharge 20 coefficients were used for roof  $C_{dVR}$  and side openings  $C_{dVS}$ . Both coefficients changed throughout the time as a 21 function of the height and opening angle of the windows and of the air velocity across the insect-proof screens. 22 The model also used different wind effect coefficients  $C_w$  for Northeast or Southwest winds, to take into account 23 the different obstacles (a neighbouring greenhouse at the south and a warehouse at the north). A linear regression 24 of the wind direction angle  $\theta_w$  was used as correction function for the volumetric ventilation flux G. The results 25 showed that the accuracy of the model is affected mainly by errors in the cover transmissivity on cloudy days 26 (when diffuse radiation prevails) and errors in the temperature of air exiting the greenhouse on windy days (when

27	hot air s	tagnated near roof openings, that were closed by the climate controller to avoid wind damage). In general,
28	the resu	lts of validation comparing calculated values with those measured on 25 days (with relative root mean
29	square e	errors below 10%), show sufficient accuracy for the model to be used to estimate air, crop, plastic cover,
30	polypro	pylene mulch and soil temperatures inside the greenhouse, and as a design tool to optimise the ventilation
31	system	characteristics and control settings.
32		
33	Keywor	ds: Greenhouse, dynamic model, natural ventilation, thermal analysis, plastic mulch.
34		
35		
36		
37	Nomen	clature
38	Alphabe	etic symbols
39	$C_{dVR}$	roof vent discharge coefficients (-)
40	$C_{dVS}$	side vent discharge coefficients (-)
41	$C_{dHLj}$	discharge coefficient of the unscreened openings $j$ (-)
42	$C_{d\varphi}$	discharge coefficient of the insect proof screens (-)
43	C <sub>pa</sub>	specific heat of the air inside the greenhouse (J kg <sup>-1</sup> K <sup>-1</sup> )
44	C <sub>pc</sub>	specific heat of the greenhouse cover material (J kg <sup>-1</sup> K <sup>-1</sup> )
45	$\mathcal{C}_{sjk}$	specific heat of the soil between deeps $z_j$ and $z_k$ (J kg <sup>-1</sup> K <sup>-1</sup> )
46	$C_{spm}$	specific heat of the polypropylene mulch (J kg <sup>-1</sup> K <sup>-1</sup> )
47	$C_w$	wind effect coefficient (-)
48	$D_r$	thread density or number of thread per centimetre in each direction (threads $cm^{-1} \times threads cm^{-1}$ )
49	e <sub>c</sub>	cover thickness (m)
50	$e_{sjk}$	soil layer thickness between depth $z_j$ and $z_k$ (m)
51	$e_{scr}$	insect-proof screen thickness (m)
52	$e_{spm}$	polypropylene mulch sheet thickness (m)
53	$E_{xy}$	precision of measurement of the thickness (µm)

54	$f_G$	ventilation flux	correction	coefficient (–)
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- $F_{\varphi}$  pressure loss coefficient of the insect-proof screen (-)
- g gravitational constant (m s<sup>-2</sup>)
- G volumetric ventilation flow (m<sup>3</sup> s<sup>-1</sup>)
- $h_{ci}$  convective heat transfer coefficient between interior air and greenhouse cover (W m<sup>-2</sup> K<sup>-1</sup>)
- $h_{co}$  outside air-cover convective coefficient (W m<sup>-2</sup> K<sup>-1</sup>)
- $h_{si}$  inside air-cover convective coefficient (W m<sup>-2</sup> K<sup>-1</sup>)
- $H_{SR}$  vertical distance between the midpoint of side and roof openings (m)
- $h_{vi}$  convective heat transfer coefficient between interior air and plant leaves (W m<sup>-2</sup> K<sup>-1</sup>)
- $k_L$  extinction coefficient for conical leaves distribution (–)
- $K_p$  insect-proof screen permeability (m<sup>2</sup>)
- $k_s$  extinction coefficient of plants for shortwave radiation (–)
- $k_{sjk}$  thermal conductivity of soil layer between depth  $z_j$  and  $z_k$  (W m<sup>-1</sup> K<sup>-1</sup>)
- **67**  $L_{AI}$  leaf area index (m<sup>2</sup> m<sup>-2</sup>)
- **68**  $L_b$  mean path length of solar beam radiation (m)
- $L_{cl}$  characteristic leaf length (m)
- $L_{V_i}$  length of the opening j (m)
- *n* number of measurements (–)
- $P_e$  pressure outside the greenhouse (Pa)
- **73**  $P_v$  proportion of area covered by plants (m<sup>2</sup> m<sup>-2</sup>)
- $q_{ac}$  solar radiation absorbed by the greenhouse cover (W m<sup>-2</sup>)
- $q_{aspm}$  solar radiation absorbed by the soil mulch (W m<sup>-2</sup>)
- $q_{rcNET}$  net thermal radiation rate at the greenhouse cover (W m<sup>-2</sup>)
- $q_{rsNET}$  net thermal radiation rate at the soil (W m<sup>-2</sup>)
- $q_{sc}$  heat conducted beneath the polypropylene mulch (W m<sup>-2</sup>)
- $q_{sjk}$  soil heat conducted in the soil layer between depth  $z_j$  and  $z_k$  (W m<sup>-2</sup>)
- $q_{sky}$  downward longwave atmospheric irradiance (W m<sup>-2</sup>)

81	R	specific gas constant, 287 (J kg <sup>-1</sup> K <sup>-1</sup> )
82	Re <sub>p</sub>	Reynold number (-)
83	$R_g$	outside global solar radiation flux density (W m <sup>-2</sup> )
84	RMSE	Root Mean Square Error (°C)
85	RMSPE	Root Mean Square Percentage Error (%)
86	$R_{Si}$	inside global solar radiation flux density (W m <sup>-2</sup> )
87	$R_{Hi}$	inside air relative humidity (%)
88	$R_{sz0}$	thermal resistance of the polypropylene mulch (m <sup>2</sup> K $W^{-1}$ )
89	$S_c$	surface area of greenhouse cover (m <sup>2</sup> )
90	$S_s$	surface area of soil (m <sup>2</sup> )
91	$S_{VR}, S_{VS}$	roof and the side openings' surface areas (m <sup>2</sup> )
92	t	time (s)
93	$T_i$	interior air temperature (K)
94	$T_e$	exterior air temperature (K)
95	$T_{v}$	vegetation temperature (K)
96	$T_c$	average greenhouse cover temperature (K)
97	T <sub>sky</sub>	temperature of sky (K)
98	$T_{spm}$	temperature of the polypropylene mulch (K)
99	$T_{sk}$	temperature of the soil at depth $k$ (K)
100	и	air velocity inside the greenhouse (m s <sup>-1</sup> )
101	$U_0$	wind speed (m s <sup>-1</sup> )
102	$V_g$	greenhouse volume (m <sup>3</sup> )
103	$v_V$	air velocity through the greenhouse vents (m $s^{-1}$ )
104	$w_{Vj}$	height of the opening $j$ ( $_R$ for roof and $_S$ for side openings) (m)
105	$X_j$	value predicted by the model at time $j$ (K)
106	$X_M$	mean of values predicted by the model (K)
107	Y	insect-proof screen inertial factor (–)

108	$Y_j$	value measured at time $j(K)$
109	$Y_M$	mean of values measured (K)
110	$Z_k$	depth in the soil (m)
111		
112	Greek s	symbols
113	$\alpha_{ct}$	cover absorptivity of thermal radiation (-)
114	$\alpha_{_{CW}}$	absorptivity of the whitened greenhouse cover to global solar radiation (-)
115	$\alpha_{Lpm}$	long wave radiation absorptivity of the polypropylene mulch covering the soil (-)
116	$\alpha_{Ls}$	soil surface absorptivity of thermal radiation (-)
117	$\alpha_{pp}$	polypropylene absorptivity of solar radiation (-)
118	$\alpha_{spm}$	fraction of the incident solar radiation that is absorbed by the polypropylene mulch covering the soil (-
119		)
120	$\alpha_{Vj}$	angle of opening (°)
121	$\delta_a$	air density (kg m <sup>-3</sup> )
122	$\delta_c$	greenhouse cover material density (kg m <sup>-3</sup> )
123	$\delta_{\scriptscriptstyle sik}$	average density of the soil between depth $z_i$ and $z_k$ (kg m <sup>-3</sup> )
124	$\delta_{spm}$	polypropylene density (kg m <sup>-3</sup> )
125	$\mathcal{E}_c$	emissivity of greenhouse cover (-)
126	$\mathcal{E}_{spm}$	emissivity of the polypropylene mulch covering the soil (-)
127	$ heta_{G}$	angle of incidence of wind (°)
128	$ heta_w$	wind direction (°)
129	$\mu_a$	dynamic viscosity of the fluid (kg s <sup>-1</sup> m <sup>-1</sup> )
130	$ ho_\infty$	reflectance of a dense stand (-)
131	$ ho_{cs}$	downward effective reflectance of the covers (-)
132	$ ho_{cw}$	reflectance of the whitened cover to solar radiation (-)
133	$ ho_L$	reflectance of the tomato leaf tissue (-)
134	$ ho_{pl}$	effective reflectance of the plant layer to solar radiation (–) 5

135	$ ho_{spm}$	reflectance of the polypropylene mulch (-)
136	σ	Stefan–Boltsman constant (W m <sup>-1</sup> K <sup>-4</sup> )
137	φ	insect-proof screen porosity (%)
138	$ au_{cs}$	downward effective transmittance between the greenhouse cover and the soil (-)
139	$ au_{\scriptscriptstyle CW}$	transmittance of the whitened cover to solar radiation (-)
140	$ au_{cLW}$	transmittance of the whitened cover to long wave radiation (-)
141	$ au_{ha}$	transmittance of the humid air due to absorption of water vapour to global solar radiation (-)
142	$ au_L$	transmittance of the leaf tissue (-)
143	$ au_{Lpl}$	tomato transmittance for diffuse longwave radiation (-)
144	$ au_{pl}$	transmittance of the plant layer to solar radiation (-)
145	$ au_{Spl}$	canopy transmittance for diffuse shortwave radiation (-)
146		
147	1. Intro	duction
148		
149	Gree	nhouses currently constitute the main system to produce high-yield and high-quality horticultural crops
150	almost a	Il year round in the Mediterranean region. Mild winter climatic conditions have allowed the development
151	of more	than 278,000 ha of low-plastic tunnel and greenhouses in the Mediterranean region (FranceAgriMer, 2013;
152	Tüzel aı	nd Öztekin, 2015), making this the second largest zone in the world after Asia, which is come to more than
153	4.7 mill	ion ha of protected vegetable (Kang et al., 2013; Yang et al., 2014).

Spain had about 52,325 ha of greenhouses in 2014, 21,042 ha of which were occupied by tomato crops 154 155 (MAGRAMA, 2014). The greatest concentration of greenhouses in the Mediterranean region is located in the province of Almería on the southeast coast of Spain, where a recent satellite imagery analysis put the greenhouse 156 surface area at 30,007 ha (CAPDR, 2016). 157

Average tomato production in Almería's unheated greenhouses is around 17 kg m<sup>-2</sup>, with some growers 158 reaching yields of about 21 kg m<sup>-2</sup> (Valera et al., 2016). These values are below the 55 kg m<sup>-2</sup> obtained in the high-159 tech greenhouses of Northern Europe or America (with heating systems), and below the 20-35 kg m<sup>-2</sup> reached in 160 161 solar greenhouses in China (Costa et al., 2004).

162 One of the main problems confronted in the Mediterranean Basin is reduced ventilation resulting in excessively 163 high inside temperatures, typically occurring from May to August (Pardossi et al., 2004). During this period, 164 improving the capacity and control of natural ventilation is a crucial factor in maintaining suitable values of 165 temperature, humidity and CO<sub>2</sub> concentration inside the greenhouse and, therefore, in increasing crop production.

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# 167 *1.1. Microclimate simulations*

Modelling of microclimatic parameters is essential to optimise climatic conditions inside greenhouses during different stages of plant growth (Sethi et al., 2013). Simulation models are a good tool to optimize greenhouse ventilation systems, allowing us to predict the inside temperature as a function of outside climatic parameters and greenhouse characteristics.

A greenhouse is a multi-input and multi-output (MIMO) system subject to strong perturbations produced by sudden meteorological variations that need to be taken into account in the models (Lafont et al., 2015). However, it is impossible to describe all internal factors as a function of all external influences, and consequently developing a model requires selection of the relevant system parameters to be estimated and the necessary external data (Bot, 1989a).

Models applied to greenhouses can be divided into two categories: static models for the design of new greenhouses and dynamic models for the climate control of existing structures (Kano and Sadler, 1985). Sethi et al. (2013) reviewed numerous static and dynamic thermal models which describe the microclimate of greenhouses and have been validated in different locations and climates, as well as with different crops. On the other hand, a detailed analysis of advantages and disadvantages of the different control theories applied in greenhouse climate control systems can be found in Duarte-Galvan et al. (2012).

Greenhouse models can also be classified as physical or phenomenological models (white box), purely theoretical and empirical models (black box), which establish relationships between input (outside climate parameters) and output variables (inside climate parameters) without physical significance (Krauss et al., 1997). Classic models of stationary energy balance (Walker, 1965; Kimball, 1973) generally used few parameters as input, whereas modern dynamic models use multiple parameters to describe the greenhouse as they are computerbased, and mathematical optimisation procedures are used to determine the values.

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### 190 *1.2. Physical or phenomenological models*

Kindelan (1980) simulated the environment inside a small hydroponic greenhouse by the energy balance method, dividing the system into four elements (soil, plant, inside air and cover). The evolution of temperatures over time was obtained considering only the heat storage in the upper 0.3 or 0.4 m of soil, where the temperature oscillations were appreciable. Bot (1980; 1989b) also developed a dynamic physical model of greenhouse climate based on the energy, water vapour and  $CO_2$  balances of the crop-greenhouse system, using sub-models of radiation transmission and ventilation.

197 Garzoli (1985) developed a simplified energy balance model based on a linear function of wind speed to 198 estimate the ventilation rate of a greenhouse in Australia. Boulard and Baille (1987) used a single stationary energy 199 balance equation to analyse the thermal performance of two greenhouses (with fan ventilators), based on solar 200 efficiency and including the effect of thermal inertia in the canopy and the soil. This simple thermal balance model 201 was later used to estimate the energy requirement by the decision making system SERRISTE, developed to 202 generate daily climate set points for greenhouse grown tomatoes (Tchamitchian et al., 2006).

Subsequently, Boulard and Baille (1993) improved their first model by incorporating the effects of natural ventilation and evaporative cooling (fog-system). This model was used in warm climate conditions to estimate temperature and relative humidity inside naturally ventilated greenhouses in Argentina (Bouzo et al., 2006) and northern Mexico Reyes-Rosas et al. (2012), involving a thorough investigation into how the parameters used to estimate the renovation rate affect the model's accuracy.

The Gembloux Greenhouse Dynamic Model (GGDM), a dynamic comprehensive one-dimensional thermodynamic energy balance model that calculates heat and mass transfers in greenhouses taking into account the conductive, convective, radiative (solar and thermal) and latent heat energy exchanges between the cover, interior air, crop and four soil layers, resulting in seven differential equations (Deltour et al., 1985), was initially used to compare two types of covers in passive and heated greenhouses (de Halleux et al., 1985).

Some years later, Pieters et al. (1997) enhanced the model by describing in great detail the condensation and evaporation phenomena inside the greenhouse. Subsequently, Wang and Boulard (2000) improved calculations of natural ventilation flux by introducing an experimental non-dimensional ventilation function, validating it in a plastic multispan greenhouse with only roof vents. Recently, this model, extensively validated for tomato crops in European greenhouses, was adapted by Mashonjowa et al. (2013), who included an equation for ventilation in greenhouses with continuous side and roof vents (Kittas et al., 1997) to simulate the microclimate in a naturally ventilated greenhouse containing a rose crop in Zimbabwe.

Fatnassi et al. (2013) developed a dynamic semi-empirical model of the climate of a large naturally ventilated commercial greenhouse in Morocco with tomato crop, based on energy and humidity balances. This model considered the combinations of buoyancy and wind effects to calculate the ventilation flux in greenhouses equipped with insect-proof screens over the vent openings.

224 Similar models have been developed in recent years to adapt it to the particular conditions of each country. 225 Thus, Abdel-Ghany and Kozai (2006) developed a dynamic simulation model for heat and water vapour transfers 226 in a naturally ventilated greenhouse in Japan, applying unsteady-state energy balances to the four greenhouse 227 components (plastic cover, inside moist air, potted tomato plants and the greenhouse soil mulched with a black 228 plastic sheet). Unlike the models mentioned above, which use functions based on Bernoulli's equation, this model estimated the ventilation rate from the energy balance under steady-state conditions (Mihara and Hayashi, 1978). 229 Another mathematical model (MICGREEN), based on energy balances in the four same greenhouse 230 231 components, was developed by Singh et al. (2006) to simulate the microclimate inside a non-ventilated greenhouse 232 in India. Ganguly and Ghosh (2009) developed a single energy balance model to predict the microclimate inside 233 a greenhouse combining side and roof ventilation and growing flowers in India, concluding that inside temperature 234 was significantly influenced by the intensity of solar radiation, the wind speed and the distance between the side 235 and roof vents. The SIMICROC model developed by Briceño-Medina et al. (2011) integrated the two models of 236 Abdel-Ghany and Kozai (2006) and Singh et al. (2006) in a set of five ordinary differential nonlinear first order 237 equations, used to determine the energy and mass balances in a naturally ventilated greenhouse in Venezuela.

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## 239 1.3. Empirical models

On the other hand, empirical models can be based on simplifications of theoretical models (using optimization techniques to obtain the values of parameters) or in neural networks (establishing a large number of connections between parameters characterising the model) without a theoretical basis. In recent years, considerable attention has been paid to the optimization techniques that reduce the differences between the measured and calculated values of climatic variables. These techniques modify some of the empirical parameters included in the models (such as minimum and maximum stomatal conductance, transmission coefficient of the greenhouse, discharge coefficient  $C_d$  or wind effect coefficient  $C_w$ ).

Boulard et al. (1996) developed an empirical model, based on a complex dynamic model (Deltour et al., 1985) and more simplified models (Garzoli, 1985; Boulard and Baille, 1987), using a system of four mathematical equations representing the heat and water vapour balances. Hasni et al. (2011) used a digital simulation based genetic algorithm (GA) and a particle swarm optimization (PSO) procedure to improve the physical sizes of the model of Boulard et al. (1996). Lammari et al. (2012) also employed the GA technique to optimize a nonlinear model of an environmental greenhouse.

In the same way, Blasco et al. (2007) used GAs to adjust parameters of a non-linear model-based predictive control (MBPC), incorporating energy and water consumptions. Kumar et al. (2010) used a GA optimization technique to adapt the model developed by Boulard and Baille (1993) to a new greenhouse with a Gerbera crop, observing that the width of the side opening and the angle of the roof vent influenced the model's performance considerably.

Seginer et al. (1994) and Seginer (1997) used an artificial neural network (NN), and subsequently Linker and Seginer (2004) modelled the temperature of the greenhouse by using sigmoid neural networks and hybrid models. NN models can be used for environmental control in greenhouses with the advantage of unnecessary explicit evaluation of transfer coefficients (Seginer et al., 1994). He and Ma (2010) developed a NN model based on the Principal Component Analysis (PCA) technique for modelling air humidity inside a greenhouse in northern China during the winter period.

However, results of NN models cannot be extrapolated from one greenhouse to another as they require measurements in each greenhouse to establish the relationship between input and output, and therefore they cannot be used for design purposes. On the contrary, energy balance models can be used to compare several configurations of a greenhouse in different environmental scenarios, for instance the simplified greenhouse environment model incorporated into a web-based application by Fitz-Rodríguez et al. (2010). 269 Taki et al. (2016) compared a physical dynamic model with a NN model (using inside soil temperature and 270 inside air humidity as inputs) to predict inside air and roof temperatures and energy loss in a semi-solar greenhouse 271 in Iran, obtaining better results with the NN model. More recently, Castañeda-Miranda and Castaño (2017) have also developed a NN model for smart frost control in greenhouses in the central Mexico, with highly accurate 272 273 temperature predictions (standard error of below 3%). One of the input variables used in these two models was 274 relative air humidity, which depends on inside temperature (and others parameters) and is subsequently considered 275 as a secondary boundary condition. The use of secondary boundary conditions improves the accuracy of 276 estimations but reduces the predictive capacity. To predict the evolution of climate parameters over time inside a 277 specific greenhouse as a function of outside climatological parameters, it is convenient to use a model based only 278 on primary boundary conditions (environmental conditions that are easily measurable and unaffected by the 279 existence of the greenhouse) while also including the heat storage capacity of the soil (Kindelan, 1980).

Thus, the objective of the present work was to develop a dynamic model of energy balance to predict temperatures of air, greenhouse cover and soil coupled with the empirical linear regression obtained by Wang and Deltour (1999) to predict crop temperature using only primary boundary conditions. To estimate these temperatures accurately inside a naturally ventilated greenhouse equipped with screened roof and side vents, the model includes a novel method to calculate the ventilation airflow using variable discharge  $C_d$  and wind effect  $C_w$ coefficients. The model takes into account the effect of velocity across the screened vents and the wind direction.

286

#### 287 2. Materials and methods

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#### 289 2.1. Experimental set-up

For validation of the climate model experimental measurements were conducted in a  $24 \times 45$  m (area  $S_s=1080 \text{ m}^2$  and volume  $V_g=6156 \text{ m}^3$ ) three-span greenhouse (Fig. 1a) oriented in a NW–SE direction (Fig. 1b). This greenhouse is located on the northern limit of the UAL-ANECOOP Foundation's Innovation and Technology Centre "Eduardo Jesús Fernandez Rodriguez" of the University of Almería (longitude: 2°17' W, latitude: 36°51' N and altitude: 90 m above mean sea level). The greenhouse was equipped with three roof windows and two side vent openings with maximum surfaces,  $S_{VRmax}=116.4 \text{ m}^2$  and  $S_{VSmax}=84 \text{ m}^2$ , respectively (approximately 10.8% and

296 7.8% of the soil surface area, respectively), all covered with insect-proof screens whose characteristics are given

in Table 1.

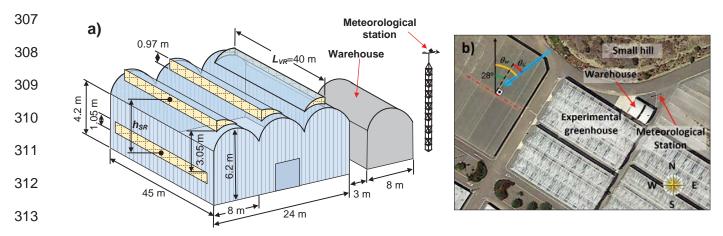
- 298 Table 1.
- 299 Geometric and aerodynamic characteristics of the insect-proof screen (López et al., 2016).

Parameter	Value
Thread density, $D_r$ (threads cm <sup>-1</sup> × threads cm <sup>-1</sup> )	9.6 × 20.3
Porosity, $\varphi$ (%)	36.0
Screen thickness, $e_{scr}$ (m)	$508.1 \times 10^{-6}$
Permeability, $K_p$ (m <sup>2</sup> )	$4.215 \times 10^{-9}$
Inertial factor, Y	0.184

300

301 Vent openings were opened automatically when air temperature exceeded 20°C, and closed when wind speed
302 surpassed 8 m s<sup>-1</sup> by means of the Multima advanced control computer using Synopta software (Hortimax S.L.,
303 El Ejido, Spain). The Almería region is characterised by two prevailing winds directed by the Mediterranean basin:
304 the *Levante*, a warm dry north-east wind blowing from the land to the sea and the *Poniente*, a cold damp wind
305 from south-west (Kuciauskas et al., 1998).





314

Fig. 1. (a) Dimensions of the experimental greenhouse and (b) neighbouring obstacles.

Natural ventilation was affected by different obstacles surrounding the experimental greenhouse (López et al., 2011). The southern side and the eastern end of the greenhouse were only 3 m away from other multispan greenhouses (6.75 m maximum height, 4.6 m at the gutters). The eastern part of the northern side faced a small warehouse while the western part is located 10 m away from a small hill (Fig. 1b). The greenhouse ceiling (with

an area of  $S_{cl}$ =1198 m<sup>2</sup>) was covered with a 0.2 mm thick three-layer co-extruded film (composed of a layer of ethylene vinyl acetate inserted between two polyethylene films) and the walls ( $S_{c2}$ =687.6 m<sup>2</sup>) were covered with polycarbonate corrugated sheets.

The greenhouse had an '*arenado*' sand mulch soil, typical of Almería greenhouses (Valera et al. 2016), covered with a black polypropylene sheet (0.225 mm thickness). Woven black polypropylene mulches are often used for weed control (Andersen et al., 2013) and to reduce soil water evaporation (Farina et al., 2003). During the 2014/15 season two soilless tomato crops (*Solanum Lycopersicum* L.) were grown inside the greenhouse on coconut fibre substrate: an autumn-winter crop (cv. Racymo) from September 2014 to January 2015 and a spring-summer crop (cv. Bermello) from February to July 2015.

Different sensors were installed outside and inside the greenhouse (Fig. 2) to measure outside climatic variables used as primary boundary conditions model inputs (solar radiation, air temperature, wind speed and direction), and inside microclimatic parameters used to validate the model. The data from 24 sensors were recorded by three Microloggers CR3000 (Campbell Scientific Spain S.L., Spain).

Climatic parameters outside the greenhouse were recorded by a meteorological station at a height of 10 m (Fig. 1a). The meteorological station incorporated a BUTRON II (Hortimax S.L., Almería, Spain) measurement box with temperature and humidity sensors. The station also included solar radiation and wind speed and direction sensors. To measure inside air temperature  $T_i$  and humidity  $R_{Hi}$  (Table 2), two sensors were located under each span ridge (at 1 m and 2 m height), two close to the roof windows and two in the middle of the side openings (Fig. 2). Air velocity was measured in the middle of the greenhouse and in the centre of the two side openings with sonic anemometers.

Greenhouse cover, plant and soil temperatures were measured with 12 thermistors. On the outside and inside surfaces of the greenhouse cover, sensors were attached to the plastic surface and protected with a radiation shield (Abdel-Ghany et al., 2006). The sensor used to measure soil surface temperature  $T_{spm}$  was attached to the upper face of the polypropylene mulch and the thermistor was covered with a flexible polyethylene sheet to insulate it thermally from inside air. Soil temperature was measured at three different depths (0.01, 0.05 and 0.15 m). Two more thermistors were located between two tomato leaves.

# 347 Table 2

348 Technical characteristics of sensors to measure climate parameters.

Parameter	Sensor	Manufacturer	Range	Accuracy	
$T_i$ – Inside air temperature	10 00010		5 °C -40 °C	±0.4 °C	
$R_{Hi}$ – Relative humidity	$12 \times CS215$	Campbell Scientific Spain S.L.,	0-100 %	±4%	
$R_{Si}$ – Inside solar radiation		Barcelona, Spain			
$R_g$ – Outside solar radiation	$2 \times SP1110$ pyranometer		350-1100 nm	±5%	
$T_c$ – Greenhouse cover temperature					
$T_{spm}$ – Soil surface temperature	10 × Betatherm 100K6A	Measurement Specialties, Inc.,	5 0 5 0 5 0 5	10.40.00	
$T_{s0}$ – Soil temperature at 0.01 m	thermistor	Galway, Ireland	−5 °C-95 °C	±0.49 °C	
$T_{sl}$ – Soil temperature at 0.05 m					
$T_{s2}$ – Soil temperature at 0.15 m	TCAV thermocouple	Campbell Scientific Spain S.L.	–40 °C-375 °C	±1.5 °C	
$q_{sc}$ – heat flux beneath the mulch		Hukseflux Thermal Sensors B.V.,	· 2000 W/ 2	15 . 50/	
$q_{s12}$ – soil heat flux (0.1 m depth)	$2 \times \text{HFP01}$	Delft, The Netherlands	$\pm 2000 \text{ W m}^{-2}$	-15 +5%	
u – air velocity inside greenhouse	$3 \times 2D$ Windsonic	Gill Instruments, Lymington, UK	0-60 m s <sup>-1</sup>	±2%	
$U_o$ – Outside wind speed	Meteostation II -Cup anemometer		0-40 m s <sup>-1</sup>	±5%	
$\theta_w$ – Outside wind direction	Vane	Hortimax S.L., Almería, Spain	0-360°	±5°	
$T_e$ – Outside air temperature	Pt1000-BUTRON II	Hortimax S.L., Almería, Spain	−25 °C-75 °C	±0.01 °C	
		Measurement Specialties,			
$T_v$ – Leaf temperature	$2 \times Betatherm 100K6A$ thermistor	Galway, Ireland	−5 °C-95 °C	±0.49 °C	

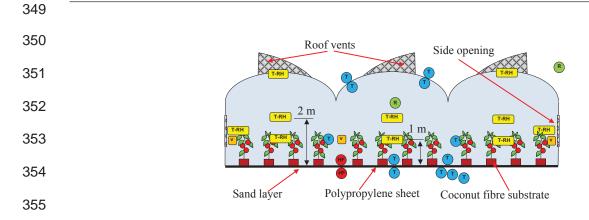


Fig. 2. Distribution of sensors located inside and outside the greenhouse for the measurement of climatic
parameters: Temperature and relative humidity sensors (T-RH), pyranometers (R), heat flux plate (HF),
thermocouples (T) and wind sonic anemometers (v).

The heat flow by conduction toward the ground was recorded using two soil heat flux plates, one was placed between the plastic mulch and the soil (to measure the heat flux beneath the mulch  $q_{sc}$ ) and the other at 0.1 m depth (to measure soil heat flux  $q_{s12}$ ). Solar radiation inside,  $R_{Si}$ , and outside the greenhouse,  $R_g$ , were measured with two pyranometers (Table 2), which allowed calculation of the cover transmissivity. The thickness of the polypropylene

300 GL non-contact optical measurement device (TESA SA, Switzerland) with a resolution of 0.05 μm. Precision

mulch film,  $e_{spm}$ , the greenhouse cover,  $e_c$ , and the insect-proof screen,  $e_{scr}$ , were measured using a TESA-VISIO

- 366  $E_{xy}=2.9+10 \cdot e/1000 \ (\mu m)$  depend on the thickness  $e \ (mm)$  of the measured sample.
- 367

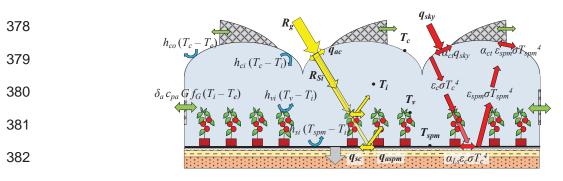
364

359

#### 368 2.2. Description of the model

369 The dynamic model developed in this work was based on energy balances in the seven components of the 370 greenhouse system (crop, cover, polypropylene mulch, three soil layers and inside air). This model took into 371 account conductive, convective and radiative (solar and thermal) heat transfers between these greenhouse 372 components and mass transfer by natural ventilation and transpiration (Fig. 3). The evolution over time of air, 373 greenhouse cover, polypropylene mulch and soil temperatures ( $T_i$ ,  $T_c$ ,  $T_{spm}$ ,  $T_{s0}$ ,  $T_{s1}$  and  $T_{s2}$ , respectively) was 374 obtained by coupling six energy balance differential equations with an empirical linear regression to predict crop 375 temperature,  $T_{y}$  (Wang and Deltour, 1999). This model only uses primary boundary conditions as input parameters: 376 outside solar radiation  $R_g$ , outside air temperature  $T_e$ , outside wind speed  $U_o$  and direction  $\theta_w$ .

377



**Fig. 3**. Heat flux between the different components of the greenhouse model: solar ( $\square$ ) and thermal ( $\blacksquare$ ) radiations transmitted and reflected ( $\rightarrow$ ) or absorbed ( $\leftrightarrow$ ), conduction ( $\square$ ), convection ( $\blacksquare$ ) and ventilation ( $\blacksquare$ ).

386 Besides the greenhouse component temperatures, the model allows the following output data to be obtained: 387 inside solar radiation,  $R_{Si}$ , heat flux beneath the mulch,  $q_{sc}$ , soil heat flux,  $q_{s12}$  and air velocity through the 388 greenhouse vents,  $v_V$ . The model considered the combination of buoyancy and wind effects to estimate the ventilation flow G, calculating different discharge coefficients for roof,  $C_{dVR}$ , and side,  $C_{dVS}$ , vents as a function of 389 390 the geometry of the opening, the aerodynamic characteristics of the insect-proof screen (Table 1) and the average 391 velocity of air across the openings (in the previous time interval). The model also took into account the effect of 392 wind direction by using different wind effect coefficients, C<sub>w</sub> for Levante (NE) and Poniente (SW) winds and a 393 coefficient  $f_G$  calculated as a function of the wind direction  $\theta_w$ .

The incident solar energy on the greenhouse  $R_g$  is absorbed by the cover  $q_{ac}$ , by the water vapour inside the greenhouse, by the plants and by the polypropylene mulch  $q_{aspm}$  (Fig. 3). The remaining portion of  $R_g$  is lost due to reflection on the external cover surface, on the canopy and on the polypropylene mulch surface. The energy absorbed by the greenhouse cover  $q_{ac}$  and the soil mulch  $q_{aspm}$  were determined as a function of the geometry considering the multiple reflections for the transmitted radiation between the greenhouse components as proposed by Abdel-Ghany and Al-Helal (2011), rather than constant absorptions of the greenhouse components.

400 In this model, the following assumptions were made:

a) The air in the greenhouse is well mixed and its temperature is uniform and air exiting the greenhouse by theopenings is equal to the average inside air temperature.

- b) Air entering the greenhouse is at the same temperature as the outside air, measured at the meteorological station.
- 404 c) No evaporation occurs from the soil because of the use of soilless crop and polypropylene mulch.
- d) Crop temperature can be estimated accurately from solar radiation and temperature inside the greenhouse.
- 406 e) The coconut fibre's contribution to heat storage is negligible.
- 407 f) Soil temperature at 0.5 m depth is constant throughout the year.
- 408
- 409 *2.2.1. Crop temperature*

410 A reasonable approximation of the vegetation temperature  $T_v$  can be obtained from the interior air temperature 411  $T_i$  and the inside global solar radiation  $R_{Si}$  using the multiple linear regression model proposed by Wang and 412 Deltour (1999):

$$T_v = -2.05 + 1.01T_i + 0.00425R_{Si}$$

414 Inside global solar radiation flux density was calculated from the outside global solar radiation flux density  $R_g$ 415 and the transmissivity  $\tau_{cw}$  of the greenhouse cover as:

(1)

(2)

416 
$$R_{Si} = \tau_{cw} R_{g}$$

The experimental greenhouse cover was whitened on different dates, and as a result the value of  $\tau_{cw}$  changed over the crop season (Table 3). This is the most frequently used technique to reduce inside temperature in Spanish greenhouses due to its low cost (Valera et al., 2016).

420 Table 3

421 Values of the leaf area index  $L_{AI}$  and transmissivity of the greenhouse cover  $\tau_{cw}$  in five different time periods.

422 Maximum and minimum values of the measured inside air temperature  $T_i$ .

423

413

	Period	$L_{AI}$ (m <sup>2</sup> m <sup>-2</sup> )	$ au_{\scriptscriptstyle CW}$	$T_{imax}(^{\circ}\mathrm{C})$	$T_{imin}(^{\circ}\mathrm{C})$
1	21-25 Dec 2014	1.30	0.82	22.2	6.9
2	5-9 Jan 2015	0.74	0.82	23.3	6.3
3	15-19 Apr 2015	0.94	0.42	29.1	11.9
4	29 Apr – 3 May	1.10	0.47	31.9	13.4
5	1-5 Jun 2015	0.92	0.47	36.9	17.2

424

### 425 *2.2.2. Greenhouse cover temperature*

426 The greenhouse cover temperature  $T_c$  was calculated by means of a first-order differential equation (Joudi and 427 Farhan, 2015):

428 
$$\delta_c c_{pc} e_c^{\frac{dT_c}{dt}} = q_{ac} + q_{rcNET} - h_{ci} (T_c - T_i) - h_{co} (T_c - T_e)$$
(3)

where  $\delta_c$  is the greenhouse cover material density (kg m<sup>-3</sup>),  $c_{pc}$  the specific heat of the greenhouse cover material (J kg<sup>-1</sup> K<sup>-1</sup>),  $e_c$  the cover thickness (m),  $q_{ac}$  the solar radiation absorbed by the greenhouse cover (W m<sup>-2</sup>) and  $q_{rcNET}$ the net thermal radiation rate at the greenhouse cover (W m<sup>-2</sup>). The last two terms are the energy transferred by convection between the greenhouse cover and the inside and outside air, respectively (Fig. 3). 433 According to Joudi and Farhan (2015) the solar radiation absorbed by the greenhouse cover can be calculated434 using the following equation:

435 
$$q_{ac} = \alpha_{cw} R_g (1 + (1 - \alpha_{spm}))$$
(4)

436 where  $\alpha_{cw}$  is the absorption coefficient of the whitened greenhouse cover (Table 4).

437 Considering multiple reflections of the transmitted fraction  $\tau_{cs}$  between the soil surface and the lower surface 438 of the greenhouse covers, the absorbed fraction of solar radiation in the polypropylene mulch covering the 439 greenhouse soil can be calculated as (Abdel-Ghany and Al-Helal, 2011):

440 
$$\alpha_{spm} = \frac{(1 - \rho_{spm})\tau_{cs}}{(1 - \rho_{spm}\rho_{cs})}$$
(5)

441 where the reflectance of the polypropylene mulch  $\rho_{spm}$ =0.05 was calculated from its absorptivity  $\rho_{spm}$ =1- $\alpha_{pp}$  (Table 442 4).

The downward effective transmittance between the greenhouse cover and the soil was calculated as (Abdel-Ghany and Al-Helal, 2011):

445  $\tau_{cs} = \frac{\tau_{cw}\tau_{ha}\tau_{pl}}{(1 - \rho_{pl}\rho_{cw}\tau_{ha}^2)}$ (6)

446 The reflectance of the greenhouse cover to global solar radiation was calculated as:

447

$$\rho_{cw} = 1 - \alpha_{cw} - \tau_{cw} \tag{7}$$

448 where the absorptivity of the whitened greenhouse cover to global solar radiation  $\alpha_{cw}$  was considered as constant 449 (Table 2) and the transmissivity changed depending on the whitening level (Table 3).

450 Water vapour is the most important absorber in air (Nwoye et al., 2014), and humid air transmissivity  $\tau_{ha}$  can 451 be calculated as a function of length of beam  $L_b$  [m] and relative air humidity  $R_{Hi}$  [%] as (Brzustowski and Sommer, 452 1973):

453 
$$\tau_{ha} = 0.79 \left(\frac{3280}{R_{Hi}L_b}\right)^{\frac{1}{16}}$$
(8)

Although the length of beam inside the greenhouse changes throughout the day depending on the angle of incidence of solar radiation on the greenhouse cover, we have used a constant value of  $L_b=15.1$  m (average of the maximum height and width of the greenhouse). With a view to using only primary boundary conditions in the model, we have calculated a constant value of  $\tau_{ha}=0.86$ , corresponding to an inside relative humidity of  $R_{H}=60\%$ . 458 In future, this model could be coupled to a water vapour balance model, thus allowing the use of Eq. (8) with

459 variable values of  $R_{Hi}$ .

- 460
- 461 Table 4

# 462 Values of the model parameters used in the simulation.

	Parameter (Unit)	Symbol	Value	Source
	Plastic thickness (m)	ec	0.002	Measured
	Absorptivity of PE-EVA-PE to solar radiation	$\alpha_{cw}$	0.03	Nijskens et al., 1984
Greenhouse cover Polypropylene mulch Soil	Emissivity of cover to long wave radiation	$\varepsilon_c = \alpha_{ct}$	0.59	Feuilloley et al., 1990
Greenhouse cover	Cover transmissivity to long wave radiation	$ au_{cLW}$	0.38	Feuilloley et al., 1990
	Cover specific heat (J Kg <sup>-1</sup> K <sup>-1</sup> )	olar radiation $a_{cw}$ 0.03         e radiation $\varepsilon_c = a_{ct}$ 0.59         re radiation $\tau_{cLW}$ 0.38 $c_{pc}$ 2302 $\delta_c$ 1150         n) $e_{spm}$ 0.0025 $\delta_{c}$ 1150         n) $e_{spm}$ 0.0025 $\delta_{spm}$ 890       gg <sup>-1</sup> K <sup>-1</sup> ) $c_{spm}$ 1881         r radiation $a_{pp}$ 0.95 $\epsilon_{spm}$ 0.95         ation $a_{Lpm}$ 0.95 $\epsilon_{spm}$ 0.95         ation $a_{S23}$ 1500 $c_{p12}$ 1900 $c_{p12}$ 1900 $c_{p23}$ 1696 $c_{r1}$ $c_{p23}$ 1696 $c_{r1}$ $c_{r2}$ $c_{r1}$ </td <td>Zarandi and Bioki, 2013</td>	Zarandi and Bioki, 2013	
	Cover density (kg m <sup>-3</sup> )	$\delta_c$	0.002Measure $0.03$ Nijsken $4ct$ $0.59$ Feuillol $w$ $0.38$ Feuillol $w$ $0.38$ Feuillol $1150$ Sengar $1150$ Sengar $n$ $0.0025$ Measure $n$ $0.0025$ Measure $n$ $0.0025$ Measure $n$ $0.95$ Kurzbör $n$ $0.95$ Yannas $n$ $0.95$ Yannas $n$ $0.95$ Yannas $n$ $0.95$ Measure $24$ Estimat $24$ Estimat $21450$ Measure $21450$ Measure $31500$ Measure $4800$ Hamdha $20148$ Abu-Ha $31696$ Hamdha $40.27$ Hamdha $40.20$ Stanghe $0.20$ Stanghe $0.20$ Stanghe $0.20$ Stanghe $0.12$ Stanghe	Sengar and Kothari, 2008
	Polypropylene sheet thickness (m)	e <sub>spm</sub>	0.0025	Measured
	Polypropylene density (kg m <sup>-3</sup> )	$\delta_{spm}$	890	Wypych, 2016; Puszkarz et al., 2016
Polypropylene mulch	Polypropylene specific heat (J Kg <sup>-1</sup> K <sup>-1</sup> )	$C_{spm}$	1881	Puszkarz et al., 2016
	Polypropylene absorption to solar radiation	$\alpha_{pp}$	0.95	Kurzböck et al., 2012
mulch	Emissivity of the polypropylene	$\varepsilon_{spm}$	0.95	Yannas et al., 2006
	Mulch absorption to thermal radiation	$\alpha_{Lpm}$	0.95	Assumed $\alpha_{Lpm} = \varepsilon_{spm}$
	Thermal resistance (m <sup>2</sup> K W <sup>-1</sup> )	$R_{sz0}$	0.14	Measured
	Temperature of soil at depth $z_{s3}=0.5$ m (°C)	$T_{s3}$	24	Estimated
	Density of sand-soil layer 1 (kg m <sup>-3</sup> )	$\delta_{s0l}$	1700	Measured
	Density of clay loam-soil layer 2 (kg m <sup>-3</sup> )	$\delta_{s12}$	1450	Measured
	Density of sandy clay-soil layer 3 (kg m <sup>-3</sup> )	$\delta_{s23}$	1500	Measured
Soil	Specific heat of soil layer 1 (J Kg <sup>-1</sup> K <sup>-1</sup> )	$C_{p01}$	800	Hamdhan and Clarke, 2010
5011	Specific heat of soil layer 2 (J Kg <sup>-1</sup> K <sup>-1</sup> )	$e_c$ 0.002Measured $a_{cw}$ 0.03Nijskens $\varepsilon_c = a_{ct}$ 0.59Feuilloley $\tau_{cLW}$ 0.38Feuilloley $\tau_{cLW}$ 0.38Feuilloley $c_{pc}$ 2302Zarandi a $\delta_c$ 1150Sengar and $e_{spm}$ 0.0025Measured $\delta_{spm}$ 890Wypych, $c_{spm}$ 1881Puszkarz $\alpha_{pp}$ 0.95Kurzböck $\varepsilon_{spm}$ 0.95Yannas et $\alpha_{Lpm}$ 0.95Assumed $R_{sz0}$ 0.14Measured $\delta_{s01}$ 1700Measured $\delta_{s12}$ 1450Measured $\delta_{s23}$ 1500Measured $\delta_{s23}$ 1606Hamdhan $c_{p01}$ 800Hamdhan $c_{p12}$ 1900Joudi and $c_{p23}$ 1696Hamdhan $k_{s12}$ 0.48Abu-Harr $k_{s23}$ 1.61Nikiforov $\tau_L$ 0.20Stanghell $\rho_{\omega}$ 0.12Stanghell $k_L$ 0.87Monteith	Joudi and Farhan, 2015	
	Specific heat of soil layer 3 (J Kg <sup>-1</sup> K <sup>-1</sup> )	<i>C</i> <sub><i>p</i>23</sub>	$c$ 0.002       Measured $w$ 0.03       Nijskens et al., 1984 $a_{ct}$ 0.59       Feuilloley et al., 1990 $a_{ct}$ 0.38       Feuilloley et al., 1990 $a_{ct}$ 0.38       Feuilloley et al., 1990 $a_{cc}$ 2302       Zarandi and Bioki, 2013 $b_{cc}$ 2302       Zarandi and Bioki, 2013 $b_{cc}$ 1150       Sengar and Kothari, 2008 $pm$ 0.0025       Measured $pm$ 0.0025       Measured $pm$ 0.925       Kurzböck et al., 2016 $pm$ 0.95       Yannas et al., 2006 $pm$ 0.95       Assumed $a_{Lpm} = \varepsilon_{spm}$ $az0$ 0.14       Measured $bz3$ 24       Estimated $0l$ 1700       Measured $bz3$ 24       Estimated $0l$ 1700       Measured $bz3$ 1450       Measured $bz3$ 1500       Measured $bz3$ 1696       Hamdhan and Clarke, 2010 $bz3$ 1696       Hamdhan and Clarke, 2010     <	
	Thermal conductivity of soil layer 1 (W $m^{-1} K^{-1}$ )	$k_{s01}$		Hamdhan and Clarke, 2010
	Thermal conductivity of soil layer 2 (W $m^{-1} K^{-1}$ )	<i>k</i> <sub><i>s</i>12</sub>	0.48	Abu-Hamdeh and Reeder, 2000
	Thermal conductivity of soil layer 3 (W $m^{-1} K^{-1}$ )	<i>k</i> <sub><i>s</i>23</sub>	1.61	Nikiforova et al., 2013
	Transmittance of the leaf tissue	$ au_L$	0.20	Stanghellini, 1987
	Reflectance of the tomato leaf tissue	$ ho_L$	0.28	Monteith and Unsworth, 2008
Tomato crop	Reflectance of a dense tomato stand	$ ho_\infty$	0.12	Stanghellini, 1987
	Extinction coefficient for conical leaf distribution	$k_L$	0.87	Monteith and Unsworth, 2008
	Characteristic leaf length of the tomato crop (m)	$L_{cl}$	0.14	Measured

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463
```

464 The downward effective reflectance of the greenhouse cover can be estimated as (Abdel-Ghany and Al-Helal,

**465 2011**):

466 
$$\rho_{cs} = \rho_{pl} + \frac{\rho_{cw} \tau_{spl}^2 \tau_{ha}^2}{\left(1 - \rho_{pl} \rho_{cw} \tau_{ha}^2\right)}$$
(9)

467 The effective reflectance of the crop was calculated as the reflection of radiation produced by a dense plant468 stand, resulting from the reflections of the foliage and underlying soil surface (Stanghellini, 1987):

469 
$$\rho_{pl} = \rho_{\infty} (1 - \tau_{Lpl}) + \tau_{Spl}^2 \rho_{spm}$$
(10)

470 where  $\rho_{\infty}$  is the reflectance of a dense stand and  $\rho_{spm}$  is that of the underlying soil surface covered by the 471 polypropylene mulch. For a tomato crop we have considered the value of  $\rho_{\infty}$ =0.12 used by Stangellini (1987).

The transmittance of a tomato crop for diffuse longwave radiation is affected only by the geometrical properties

473 of the canopy and can be calculated as a function of the leaf area index  $L_{AI}$  as (Stangellini, 1987):

474 
$$\tau_{Lpl} = \exp\left(-k_L L_{Al}\right) \tag{11}$$

The extinction coefficient can be calculated as a function of the leaf angle distribution. For a conical distribution with an angle of 30°  $k_L$ =0.87 (Monteith and Unsworth, 2008; Abdel-Ghany and Al-Helal, 2011).

477 The transmittance of a canopy for diffuse shortwave radiation can be represented accurately as (Stangellini,478 1987):

$$\tau_{spl} = \exp\left(-k_s L_{Al}\right) \tag{12}$$

The extinction coefficient for shortwave radiation can be estimated as a function of the optical properties of the
leaves as (Goudriaan, 1977; Stangellini, 1987):

482 
$$k_s = k_L [(1 - \tau_L)^2 - \rho_L^2]^{0.5}$$
(13)

where  $\tau_L$  and  $\rho_L$  are the transmittance and reflectance of the leaf tissue, respectively, with typical values of  $\tau_L=0.20$ (Stanghellini, 1987) and  $\rho_L=0.28$  (Monteith and Unsworth, 2008). With these values the resulting extinction coefficient for the tomato canopy was  $k_s=0.65$ .

The longwave net radiative energy flux on the greenhouse cover can be calculated as (Kittas, 1986; Singh etal., 2006; Joudi and Farhan, 2015):

488 
$$q_{rcNET} = (-\varepsilon_c \sigma T_c^4 S_c + \propto_{ct} q_{sky} S_c + \propto_{ct} \varepsilon_{spm} \sigma T_{spm}^4 S_s) / S_s$$
(14)

where  $\varepsilon_c$  represents the emissivity of the greenhouse cover (Table 4),  $\sigma$  the Stefan-Boltzmann constant (5.67×10<sup>-8</sup> W m<sup>-1</sup> K<sup>-4</sup>),  $S_c$  the surface area of greenhouse cover (m<sup>2</sup>),  $\alpha_{ct}$  the cover absorptivity of thermal radiation (Table 4),  $\varepsilon_{spm}$  the emissivity of the polypropylene mulch (Table 4),  $T_{spm}$  the temperature of the polypropylene mulch covering the soil (K) and  $S_s$  the surface area of soil (m<sup>2</sup>).

In Eq. (14), the first term represents the thermal radiation emitted by the greenhouse cover, the second is the atmospheric thermal irradiance absorbed by the cover material and the last term is the thermal radiation emitted by the polypropylene mulch and absorbed by the greenhouse cover (Fig. 3).

The downward longwave atmospheric irradiance incident on the greenhouse cover surface  $q_{sky}$  can be calculated according to the following equation (Swinbank, 1963; Pieters et al., 1997; Iziomon et al., 2003; Abdel-Ghany and Kozai, 2006):

$$q_{sky} = A_1 T_e^{-6} \tag{15}$$

500 where  $A_1 = 5.31 \times 10^{-13}$  W m<sup>-2</sup> K<sup>-6</sup>.

501 The convective heat transfer coefficient between interior air and greenhouse cover was calculated, considering 502 the inside airflow as turbulent, according to Fatnassi et al. (2013) as:

503 
$$h_{ci} = 1.75 \left| T_c - T_i \right|^{1/3}$$
(16)

The values of the convection heat transfer coefficient between outside air and greenhouse cover was calculated as a function of the wind speed  $U_0$  as (Garzoli and Blackwell, 1981):

506

$$h_{co} = 7.2 + 3.8U_0 \tag{17}$$

507 *2.2.3. Soil temperature* 

508 In the present study the greenhouse floor was covered by a black polypropylene mulch that affects heat transfer 509 in the soil. The plastic mulch increases the absorption of solar radiation and the emission of infrared radiation of 510 a bare sandy soil.

511 The temperature of the mulched top soil  $T_{spm}$  was calculated from the first-order differential equation derived 512 from the energy balance in the polypropylene mulch covering the soil:

513 
$$\delta_{spm}c_{spm}e_{spm}\frac{dT_{spm}}{dt} = q_{aspm} - h_{si}(T_{spm} - T_i) - q_{sc} - q_{rsNET}$$
(18)

514 The outside solar radiation absorbed by the polypropylene surface after multiple reflections of the transmitted 515 fraction by the greenhouse cover, the humid air and the canopy was calculated as:

516 
$$q_{aspm} = \alpha_{spm} R_{g}$$
(19)

517 The heat transferred between the soil surface and the inside air was estimated using the coefficient  $h_{si}$  calculated 518 according to the following equation (Fatnassi et al., 2013):

 $h_{si} = 1.75 |T_{spm} - T_i|^{1/3}$ 519

520 The heat conducted from the soil surface at temperature  $T_{spm}$  to the soil beneath the polypropylene mulch 521 (Fig. 4) at a depth of  $z_0=0.01$  m and temperature  $T_{s0}$  can be estimated as:

(20)

522 
$$q_{sc} = \frac{(T_{spm} - T_{s0})}{R_{sz0}}$$
(21)

The thermal resistance  $R_{sz0}$  (m<sup>2</sup> K W<sup>-1</sup>) of the polypropylene mulch and the air trapped between it and the soil 523 524 surface was experimentally determined from measurements of  $T_{spm}$ ,  $T_{s0}$  and  $q_{sc}$  (Table 2).

525 From the energy balances in the different layers of soil (Fig. 4) we can obtain the temperatures of soil  $T_{si}$  at 526 depth  $z_i$  as proposed by Joudi and Farhan (2015):

527 
$$\delta_{s01}c_{s01}e_{s01}\frac{dT_{s0}}{dt} = \frac{(T_{spm} - T_{s0})}{R_{sc,z0}} - \frac{k_{s01}(T_{s0} - T_{s1})}{e_{s01}} \ 0.01 < z \le 0.05m$$
(22)

528 
$$\delta_{s12}c_{s12}e_{s12}\frac{dT_{s1}}{dt} = \frac{k_{s01}(T_{s0} - T_{s1})}{e_{s01}} - \frac{k_{s12}(T_{s1} - T_{s2})}{e_{s12}} \ 0.05 < z \le 0.1m$$
(23)

529 
$$\delta_{s23}c_{s23}e_{23}\frac{dT_{s2}}{dt} = \frac{k_{s12}(T_{s1} - T_{s2})}{e_{s12}} - \frac{k_{s23}(T_{s2} - T_{s3})}{e_{s23}} \quad 0.1 < z \le 0.5m$$
(24)

530 where  $T_{sj}$  is the soil temperature at depth  $z_j$  (K),  $\delta_{sjk}$  the average density of the soil layer between depths  $z_j$  and  $z_k$ (kg m<sup>-3</sup>),  $c_{sjk}$  the specific heat of the soil (J kg<sup>-1</sup> K<sup>-1</sup>) and  $e_{sik}$  its thickness (m). The term  $T_{s3}$  is the temperature of 531 soil at  $z_3=0.5$  m (Table 4), at which soil temperature is considered constant throughout the year (Abdel-Ghany and 532 533 Kozai, 2006).

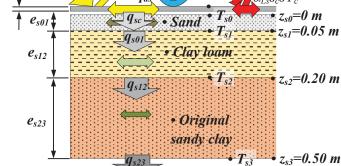




**R**<sub>Si</sub>

• Sand  $e_{s01}$ 536  $q_{s01}$ 





- 539
- 540

#### Fig. 4. Heat transfers in the greenhouse soil.

542

541

543 The net radiative heat exchange on the soil surface  $q_{rsNET}$  was calculated as (Joudi and Farhan, 2015):

544 
$$q_{rsNET} = \left(-\varepsilon_{spm}\sigma T_{spm}^{4}S_{s} + \alpha_{Ls}\varepsilon_{c}\sigma T_{c}^{4}S_{c}\right)/S_{s} \quad (25)$$

545 The soil surface absorptivity to thermal radiation was calculated as a function of the absorption coefficient of 546 the polypropylene mulch  $\alpha_{Lpm} = \varepsilon_{spm}$  (Table 4) and the transmittance of the crop for longwave radiation [Eq. (11)]:

547

# $\alpha_{Ls} = \alpha_{Lpm} \, \tau_{Lpl} \tag{26}$

548

### 549 2.2.4. Air temperature inside the greenhouse

Estimation of temperature of inside air  $T_i$  is based on the energy balance computing the sensible heat fluxes exchanged between the air and the other components of the greenhouse (Fatnassi et al. 2013):

552 
$$\delta_a c_{pa} \frac{V_g dT_i}{S_s dt} = P_v L_{AI} h_{vi} (T_v - T_i) - h_{si} (T_i - T_{spm}) - \frac{S_c}{S_s} h_{ci} (T_i - T_c) - \delta_a c_{pa} \frac{G}{S_s} f_G (T_i - T_e)$$
(27)

where  $\delta_a$  is the air density (kg m<sup>-3</sup>),  $c_{pa}$  the specific heat of the air inside the greenhouse (J kg<sup>-1</sup> K<sup>-1</sup>),  $V_g$  the greenhouse volume (m<sup>3</sup>) and  $P_v$ =0.725 the proportion of area covered by plants (m<sup>2</sup> m<sup>-2</sup>). In the experimental greenhouse there were 783 tomato plants with a distance of 2.0 m between rows and 0.5 m between plants, resulting in 783 m<sup>2</sup> of soil covered by plants, and a total surface area of soil of  $S_s$ =1080 m<sup>2</sup> (including the uncropped border and the concrete corridor in the middle of the greenhouse). The second term in Eq. (27) is the sensible heat transferred between the crop and the inside air, and the last term represents the sensible heat exchange by ventilation with the outside environment (assuming that the air exits the greenhouse with a temperature  $T_i$ ).

The convective heat transfer coefficient between inside air and plants was calculated as suggested by Fatnassiet al. (2013):

562 
$$h_{vi} = 1.4 \left(\frac{|T_v - T_i|}{L_{cl}}\right)^{0.25}$$
(28)

563 where  $L_{cl}$  is the characteristic leaf length (Table 4).

The volume flow rate exchanged between the inside and outside was calculated considering the sum of two independent pressure fields (induced by buoyancy forces and by wind forces). For a greenhouse equipped with side and roof openings we can use (Pearson and Owen, 1994; Kittas et al., 1997):

567 
$$G = \left[ \left( \frac{1}{\frac{1}{c_{dVR}^2 S_{VR}^2} + \frac{1}{c_{dVS}^2 S_{VS}^2}} \right) \left( 2g \frac{|T_i - T_e|}{T_e} H_{SR} \right) + \left( \frac{c_{dVR}^2 S_{VR} + c_{dVS}^2 S_{VS}}{2} \right)^2 c_w U_o^2 \right]^{0.5} (29)$$

where  $S_{VR}$  and  $S_{VS}$  are the roof and the side openings' surface areas, respectively (m<sup>2</sup>), *g* is the gravitational constant (m s<sup>-2</sup>),  $H_{SR}$  the vertical distance (Fig. 1a) between the midpoint of side and roof openings (m) (Appendix A) and  $U_o$  the wind speed (m s<sup>-1</sup>). We have used a wind effect coefficient  $C_w$  of 0.150 for wind coming from the North (118°> $\theta_w$ >332°) and of 0.177 for wind from the South (López, 2010). We have used different values of the roof  $C_{dVR}$  and side  $C_{dVS}$  vent discharge coefficients varying as a function of the air velocity through the vents (Appendix B).

574 The ventilation flux was adjusted using a coefficient  $f_G$  that varies from 1 for winds perpendicular to the 575 greenhouse vents ( $\theta_G=0^\circ$ ) to 0.25 for parallel winds ( $\theta_G=90^\circ$ ). An empirical correlation between  $f_G$  and the angle 576 of incidence  $\theta_G$  was deduced from data supplied by Shklyar and Arbel (2004):

577 
$$f_{G} = 4.62 \cdot 10^{-8} \theta_{G}^{4} - 9.87 \cdot 10^{-6} \theta_{G}^{3} + 0.00058 \cdot 10^{8} \theta_{G}^{2} + 0.0024 \theta_{G} + 0.247$$
(30)

578 The angle of incidence was calculated as a function of the wind direction  $\theta_w$  and the direction of the 579 greenhouse ridge (Fig. 1b):

580 
$$\theta_G = \theta_w - 28^\circ \text{ if } \theta_w > 28 \quad \theta_G = \theta_w + 332^\circ \text{ if } \theta_w < 28^\circ$$
(31)

581

#### 582 2.3. Solution Method for the Model

Equations (3), (18), (22), (23), (24) and (27) represent a system of 6 non-linear first order differential equations. The solution of these equations provides the evolution over time of temperatures of inside air  $T_i$ , greenhouse cover  $T_c$ , polypropylene mulch  $T_{spm}$  and soil  $T_s$ . The measured values of temperatures at time t=0 s were used as initial conditions. Although the climatic variables were measured inside the greenhouse every minute, the time step 587 considered in the calculation of the model was  $\Delta t=300$  s (5 minutes), used by the climatic control system installed 588 in the experimental greenhouse to measure the outside climatic variables (wind speed and direction).

589 The ordinary differential equations were solved numerically using the algorithm LSODA (Soetaert et al., 2010)

with a specific program written in the statistical code R. This code is an integrated suite of software facilities for
data management, calculation and graphical presentation of results (Venables and Smith, 2016).

592

### 593 *2.4. Statistical analysis of the model*

594 In order to predict the accuracy of the model we have calculated the coefficient of determination that in simple 595 regression is equivalent to the square of the correlation coefficient (Kottegoda and Rosso, 2008):

596 
$$R^{2} = \frac{\left[\sum_{j=1}^{n} (Y_{j} - Y_{M})(X_{j} - X_{M})\right]^{2}}{\left[\sum_{j=1}^{n} (Y_{j} - Y_{M})^{2}\right]\left[\sum_{j=1}^{n} (X_{j} - X_{M})^{2}\right]}$$
(32)

597 where *n* is the number of measurements,  $Y_M$  is the mean of the measured values,  $Y_j$  is the measured value at time *j*, 598  $X_M$  is the mean of the predicted values and  $X_j$  is the value predicted by the model at time *j*.

The most commonly used statistics to estimate deviation of the values calculated by models with respect to those measured experimentally is the Root Mean Square Error, RMSE (Kobayashi and Salam, 2000; Shcherbakov et al., 2013):

602 
$$RMSE = \sqrt{\frac{1}{n}\sum_{j=1}^{n} (Y_j - X_j)^2}$$
(33)

However, this statistic presents the drawback of scale dependence (Hyndman and Koehler, 2006), measured in
°C for temperature data. To avoid this inconvenience, the accuracy of the developed model was also evaluated
using the Root Mean Square Percentage Error (RMSPE) defined as (Shcherbakov et al., 2013):

RMSPE = 
$$\frac{100}{Y_M} \sqrt{\frac{1}{n} \sum_{j=1}^n (Y_j - X_j)^2}$$
 (%) (34)

### 607 3. Results and discussion

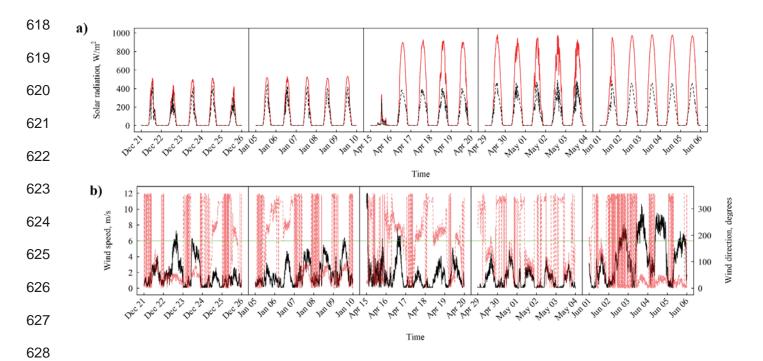
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606

### 609 *3.1. Model validation*

610 The accuracy of the model was tested by comparing calculated temperatures with values measured in the 611 experimental greenhouse (Fig. 2). The parameters characterising the five greenhouse components (air, cover, crop,

mulch and soil) and initial conditions at time *t*=0 s (Table 4) were introduced in the model formed by equations
(1) to (31). The accuracy of the model for estimating greenhouse component temperatures was evaluated over 5
non-successive periods of 5 days during the season, from 21 December 2014 to 6 June 2015. The transmissivity
of the cover varied between 0.42 and 0.82 (Table 3) for the whitened (April to June) and unwhitened covers
(December to February), respectively (Fig. 5a).



**Fig. 5.** Outside  $R_g$  (—) and inside  $R_{Si}$  (---) global solar radiation flux density during the five non-successive periods. (a). Evolution of wind speed  $U_0$  (–) and direction  $\theta_w$  (---) for the 25 days analysed, and wind-speed limit to begin to close windows (—) (b).

Whitening limits the energy supply from the solar radiation inside the greenhouse. Similar values of global solar radiation were observed inside the greenhouse in winter (21 December to 10 January) without whitening that in spring with whitening (Fig. 5a). Cover whitening is an inexpensive climate control technique that has positive effects on both microclimate and crop behaviour (Baille et al., 2001). Climatic conditions used as primary boundary conditions include a wide range of outside temperatures, from 4 to 33 °C (Fig. 6). Maximum solar radiation ranged from 514 W m<sup>-2</sup> at the beginning of the winter, to 973 W m<sup>-2</sup> at the end of the spring, with values

of 360 W m<sup>-2</sup> on cloudy days (Fig. 5a). The level of whitening changed depending on the time since application
and the loss of pigment produced by rain and wind.

Crop growth and leaf pruning resulted in different values of leaf area index in each period, ranging between 0.74 and 1.30 m<sup>2</sup> m<sup>-2</sup> (Table 3). The predictions of temperature obtained for the five greenhouse components analysed were compared with the measured data. The comparisons were made graphically to show when the differences were greatest (Figs. 6 & 7). To quantify the accuracy of the model and compare it with published greenhouse models, various statistical parameters were used, such as adjusted determination coefficient ( $R^2$ ), Root Mean Square Error (RMSE) and Root Mean Square Percentage Error (RMSPE), calculated for each period of five days and for the 25 days as a whole (Table 5).

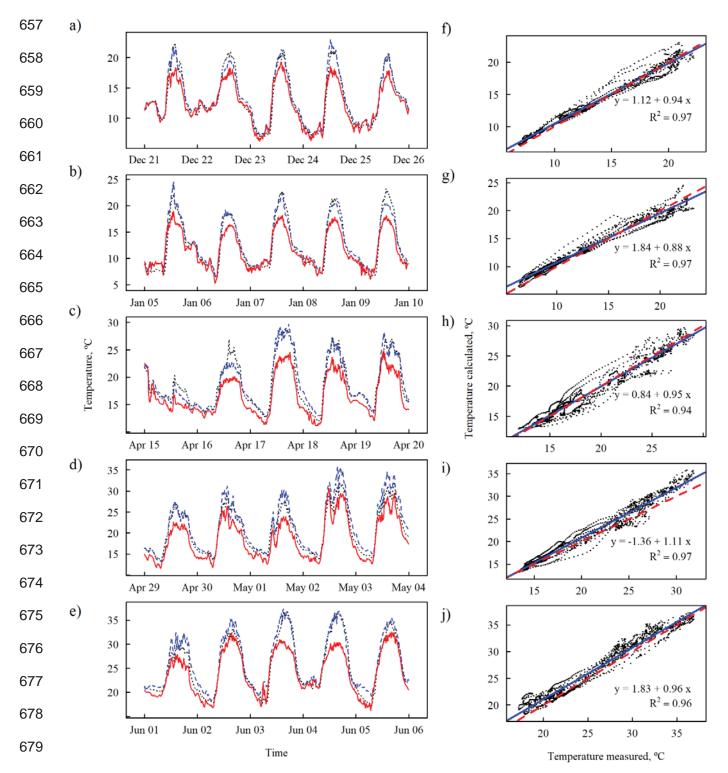
### 648 649 Table 5

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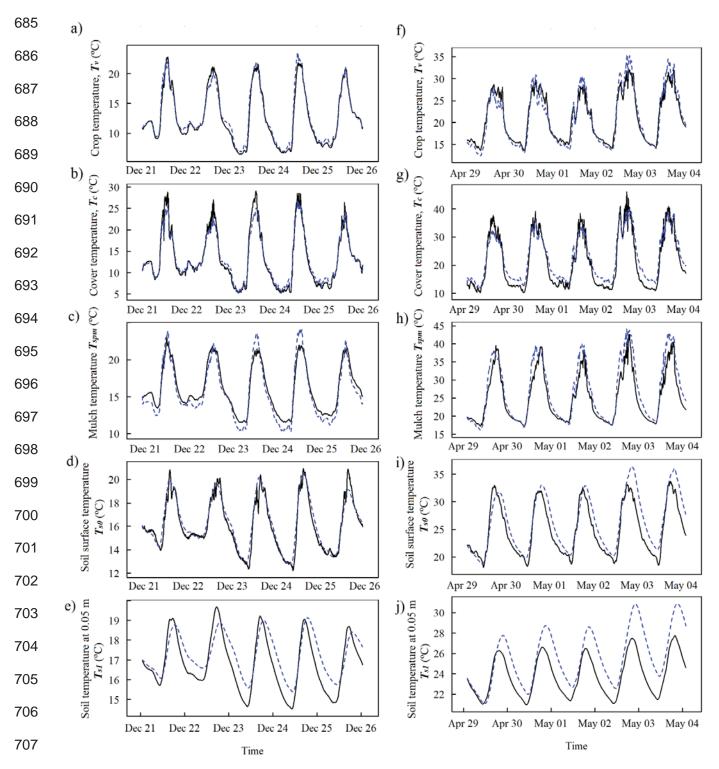
650 Slopes and coefficients of determination  $R^2$  of the correlation lines between measured and calculated values, Root 651 Mean Square Error (RMSE), Root Mean Square Percentage Error (RMSPE) for temperatures of inside air  $T_i$ , crop 652  $T_v$ , greenhouse cover  $T_c$ , polypropylene mulch  $T_{spm}$ , soil surface below the mulch  $T_{s0}$  and soil at 0.05 m depth  $T_{s1}$ 653 in the five periods analysed.

Periods		Inside air temperature – T <sub>i</sub>			C	Crop temperature – $T_v$				Greenhouse cover temperature $-T_c$			
		Slope	R <sup>2</sup>	RMSE (°C)	RMSPE (%)	Slope	R <sup>2</sup>	RMSE (°C)	RMSPE (%)	Slope	R <sup>2</sup>	RMSE (°C)	RMSPE (%)
1	21-25 Dec 2014	0.94	0.97	0.8	6.9	0.95	0.98	0.7	5.7	0.86	0.98	1.1	8.5
2	5-9 Jan 2015	0.88	0.97	1.0	10.3	0.90	0.97	1.0	7.8	0.86	0.98	1.3	10.0
3	15-19 Apr 2015	0.95	0.94	1.1	6.2	0.70	0.89	2.7	14.0	0.67	0.96	3.5	17.5
4	29 Apr-3 May 2015	1.11	0.97	1.5	6.3	1.11	0.92	1.6	7.4	0.82	0.96	2.7	12.6
5	1-5 Jun 2015	0.96	0.96	1.4	4.8	1.05	0.96	1.2	4.9	0.82	0.98	2.2	8.2
	1 - 5	1.01	0.98	1.2	6.5	0.95	0.95	1.6	8.8	0.88	0.95	2.3	12.4
		Polypi	opylen	e temperat	ure - T <sub>spm</sub>	Soil surface temperature – $T_{s0}$			Soil temperature at 0.05 $m - T_{s1}$				
1	21-25 Dec 2014	1.14	0.96	1.0	6.2	0.94	0.94	0.6	3.5	0.68	0.79	0.8	4.7
2	5-9 Jan 2015	1.06	0.92	1.3	8.1	0.98	0.93	0.8	5.3	0.79	0.84	0.9	5.8
3	15-19 Apr 2015	1.08	0.92	2.1	9.2	1.02	0.90	1.5	6.6	1.22	0.83	1.7	7.6
4	29 Apr-3 May 2015	1.16	0.95	2.8	11.2	1.01	0.87	2.3	9.3	1.20	0.79	2.2	9.4
5	1-5 Jun 2015	1.32	0.97	4.8	16.8	0.96	0.93	3.1	10.6	1.24	0.86	3.1	11.2
	1-5	1.24	0.96	2.8	12.8	1.10	0.96	1.9	8.8	1.18	0.97	1.9	9.1

655



**Fig. 6.** Evolution of the outside  $T_e$  (----) and the inside air temperatures  $T_i$  measured (----) and calculated (---) in five time periods: 21-25 December 2014 (a), 5-9 January 2015 (b), 15-19 April 2015 (c), 29 April – 3 May 2015 (d) and 1-5 June 2015 (e). Relationship between measured and calculated values ( $\circ$ ) of inside temperature  $T_i$  for each time period (f-j): The dashed line is the line of identity (---), and the solid line (---) is the linear regression line (slope, intercept and R<sup>2</sup>).



**Fig. 7.** Evolution of the measured (—) and calculated (- - -) values of temperatures of crop  $T_v$ , (a & f), greenhouse cover  $T_c$  (b & g), polypropylene mulch  $T_{spm}$  (c & h), soil surface below the mulch  $T_{s0}$  (d & i) and soil at 0.05 m depth  $T_{sl}$  (e & j), in two of the periods analysed: 21-25 December 2014 (a-e) and 29 April – 3 May 2015 (f-j).

The slopes (0.88-1.11) and intercept (-1.36-1.84), with determination coefficient  $R^2$ =0.94-0.97, for the linear regression equations relating calculated to measured values of  $T_i$  were close to the line of identity (slope=1 and intercept=0) for the five time periods analysed (Fig. 6). Wang and Boulard (2000) obtained slopes of 0.77 ( $R^2$ =0.90) and 0.91 ( $R^2$ =0.87) for the first soil layer and the interior air, respectively. Fatnassi et al. (2013) also obtained similar results, with a slope of 0.91 ( $R^2$ =0.88) for inside temperature.

Values of RMSPE (Table 5) show a good agreement between calculated and measured  $T_i$  values over a large range of outside climate conditions (Fig. 5). Mashonjowa et al. (2013) reported values of the mean standard errors between the calculated and measured air and crop temperatures of 1.8 °C and 1.9 °C, respectively, using a modified version of the Gembloux Dynamic Greenhouse Climate Model (GDGCM) to model the microclimate of a commercial greenhouse in Zimbabwe.

722 These values are similar to those of RMSE obtained in the present work of 1.2 °C for air and 1.6 °C for crop 723 temperature. These errors are greater than those obtained by other authors (between 0.5 and 1.0 °C) using the same 724 model (GDGCM) for the spring period with inside temperature ranging from 15 to 25 °C in Holland (Deltour et 725 al., 1985; Pieters et al., 1997) and France (Wang and Boulard, 2000). Baptista et al. (2010) also tested on spring days, with inside temperature ranging between 15 to 25 °C in a greenhouse in Portugal, to validate a dynamic 726 727 climate model and obtained RMSE values of 1.6 °C, 2.2 °C and 2.8 °C for temperatures of inside air, tomato crop 728 and greenhouse cover, respectively. Blasco et al. (2007) observed maximum differences between measured and 729 calculated inside temperature values of 3.5 °C for summer days with an inside temperature range of 17-33 °C.

730 In countries of Central Europe with a lower influence of the ventilation flux in the energy balance, errors in 731 estimation of this flux have a lower influence on the computation of inside air temperature. Estimation of the heat 732 flux exchanged by ventilation with Eq. (27) presents two main problems: inaccuracy in the estimation of the 733 renewal air flow G with Eq. (29) and differences between the temperature of air exiting the greenhouse (needed to 734 compute the loss of energy by ventilation) and the average temperature inside the greenhouse, which are assumed 735 to be equal in Eq. (27). Thus, the accuracy for estimating the quantity of air exchanged by ventilation is lower than 736 for other parameters characterising the greenhouse. This was also observed by Mashonjowa et al. (2013), who 737 obtained values of  $R^2=0.80-0.81$  for the calculation of air renewal rates. On the other hand, the temperature of air exiting the greenhouse via roof vents can be very different to the average inside temperature (Molina-Aiz et al.,2012).

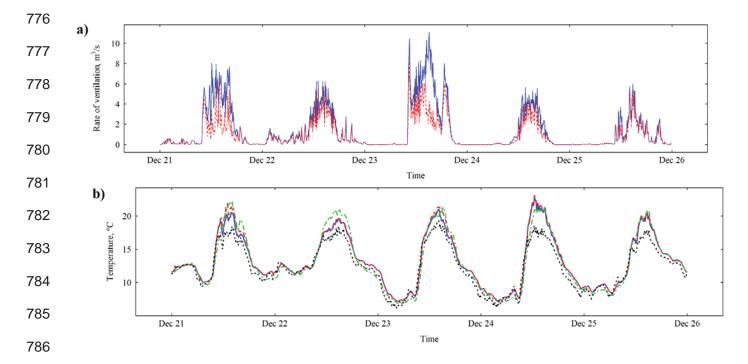
740 Results from Table 5 and Figs. 6 and 7 show an overall reasonable agreement between predicted and measured 741 temperatures for the five greenhouse components analysed. The best results were obtained for air  $T_i$  and soil surface 742 temperature  $T_{s0}$ , with RMSPE of less than 10.6% for the five time periods analysed (Table 5). Time evolution of 743 inside air temperature and experimentally measured values were similar for all five periods (Fig. 6). Estimated 744 crop temperature with the multiple linear regression reported by Wang and Deltour (1999) showed a good agreement with measured values (Fig. 7 a & f) with an overall RMSE=1.6 °C (RMSPE=8.8%) (Table 5). The use 745 746 of the regression proposed by Wang and Deltour (1999) to estimate crop temperature  $T_v$  allows a more simplified 747 model, as it does not require estimation of the stomatal and aerodynamic resistance considered in most models 748 (Baptista et al., 2010; Fatnassi et al., 2013; Mashonjowa et al., 2013).

Simulated greenhouse cover temperatures  $T_c$  showed a good agreement with measured values (Figs. 7 b & g). Calculated values of  $T_c$  on spring nights (Fig. 7g) were 1-2 °C greater than measured ones. However, this discrepancy was not observed in winter (Fig. 7b). The overall accuracy of the model in estimation of  $T_c$  was better (RMSE=2.3 °C) than those reported by other models, with RMSE=3.9 °C (Singh et al., 2006; Briceño-Medina et al., 2011).

Black polypropylene film increased the solar energy absorbed by the soil and the energy transferred to the air by convection, as a consequence of the greater solar absorption coefficient  $\alpha_{spm}$ =0.95 than the sandy bare soil  $\alpha_s$ =0.65 traditionally used in Spanish greenhouses. The black mulch therefore has a positive effect on cold autumn and winter days. During cold nights, the use of the black mulch increased the amount of heat stored in the soil that is restored to the air by convection.

However, due to the greater emissivity of the black mulch than the sandy bare soil, mulch increases the loss of energy by longwave radiation. Calculated values of polypropylene mulch  $T_{spm}$  on winter nights were about 1 °C lower than the measured ones (Fig. 7c), although in spring a good agreement can be observed (Fig. 7h). Estimated values of  $T_{spm}$  showed good agreement with measurements in the morning and evening. However, the model predicted greater temperature oscillations than those measured experimentally in the winter (Fig. 7c). Soil temperatures at 0.05 m estimated at the end of April and beginning of May (Fig. 7j) showed greater oscillation than those measured by the sensors, since a constant temperature had been assumed at 0.5 m. During this period, solar radiation was similar over the 5 days, but maximum wind speed at noon fell from 4 to 2.5 m s<sup>-1</sup> (Fig. 5b), and consequently the air temperature inside the greenhouse raised from 25 to 30 °C, increasing the heat transfer in the soil and the soil temperature at 0.05 m depth.

The model developed in the present work included the computation of ventilation rate *G* considering different discharge coefficients for roof  $C_{dVR}$  and side openings  $C_{dVS}$ . Most greenhouse temperature prediction models use the same coefficient  $C_d$  for side and roof openings (Baptista et al., 2010; Fatnassi et al., 2013; Mashonjowa et al., 2013). In the same way, we have considered different wind effect coefficients  $C_w$  for Northeast or Southwest winds, using a linear regression of the wind direction angle  $f_G$  as correction function for *G*. The use of this function  $f_G$  allowed correction of the ventilation flux *G* (Fig. 8a) and an improved estimation of  $T_i$  (Fig. 8b), reducing the RMSE by 0.05 °C and RMSPE by 0.38%.



**Fig. 8**. Evolution of the ventilation flux G (—) calculated with Eq. (29) and corrected with the wind direction factor  $f_G \cdot G$  (- - -) defined by Eq. (30) (a). Comparison of inside temperatures  $T_i$  (G) (—) computed using the ventilation flux G calculated with Eq. (29) and  $T_i$  ( $f_G$ , G) (- - -) estimated including the wind direction correction factor  $f_G$  with the measured inside (-·-·-) and outside (····) temperatures (b).

In warm or hot climatic conditions, the influence of heat flux exchanged by ventilation becomes fundamental in the energy balance of the greenhouse, with a decisive bearing on the estimation of air temperature. For this reason, the computation of this heat flux is more important than in cold areas where the quantity of air exchanged by ventilation and the outside-inside difference of temperature are lower. The developed model should be used in warm climates where strong inside-outside temperature gradients occur at noon in spring and summer.

There is no fixed criterion to determine that the accuracy of a simulation model is satisfactory, and consequently to consider it validated. Vanthoor et al. (2011a) consider that for the design of climate control systems a value of RMSPE lower or equal to 10% can be considered acceptable. However, the accuracy of a simulation model is conditioned by the thermal amplitude (difference between minimum and maximum outside temperatures), the heterogeneity of the temperature distribution inside the greenhouse (difference between hottest and coldest points) and the time period analysed.

The greater the thermal amplitude, the more difficult the estimation of inside temperature is. In the same way, the longer the period analysed, the higher the variability in parameters used as primary boundary conditions (solar radiation and wind patterns) and in greenhouse characteristics included in the model (transmissivity of the greenhouse cover and crop development). Therefore, a model validated with lower thermal amplitudes and shorter time periods should obtain greater accuracy than one validated with more heterogeneous climatic conditions over longer periods.

809 The accuracy of the models reported in the bibliography varies considerably. Thus, the model InverSim 810 validated for a 12-day period with a thermal amplitude of T=3-52°C (Bouzo et al., 2006) obtained lower accuracy 811 (*RMSE*=3.9 °C;  $R^2$ =0.88) than models validated with lower thermal amplitudes and time intervals, such as the 812 models used by Lammari et al. (2012) and Hasni et al. (2011) with climatic data for a period of a week in France, obtaining *RMSE*=1.05 °C ( $T_i$ =14-27 °C) and mean absolute error *MAE*=1.24 °C ( $T_i$ =15-32 °C). Other models, such 813 814 as *MICGREEN* (Singh et al., 2006), were validated using only one day of measurements ( $T_i$ =14-28 °C), obtaining values of RMSE=5.69 °C for inside air, RMSE=3.21 °C for soil surface temperature, RMSE=3.91 °C for cover 815 816 temperature and RMSE=3.70 °C for canopy temperature. Similar values were obtained with the SIMICROC model 817 (Briceño-Medina et al., 2011), validated using data recorded over three days ( $T_i$ =14-28°C) with RMSE=4.22 °C for inside air, *RMSE*=4.84 °C for soil temperature, *RMSE*=3.99 °C for cover temperature and *RMSE*=4.39 °C for
canopy temperature.

820 In this work, the greenhouse model was validated using 25 non-successive days with outside temperatures 821 ranging from 4 to 33 °C, and inside temperatures from 6.3 °C to 36.9 °C (Table 3), with differences inside the 822 greenhouse reaching 8 °C (Fig. 9a), on both cloudy and windy days (Fig. 9b), thus allowing the deficiencies of the 823 model to be observed, with a view to improving it in the future. The differences between measured and estimated 824 temperatures for the four components analysed were between RMSE=1.1 °C for the inside temperature and RMSE=2.8 °C for soil mulch (Table 5). However, the objective of the model is not to predict the temperature 825 826 inside a greenhouse in infrequent or unusual conditions with absolute precision. The developed model could be 827 used in the future as a design tool, analysing the effect of changes in the ventilation area, the characteristics of the 828 soil mulch or the level of whitening.

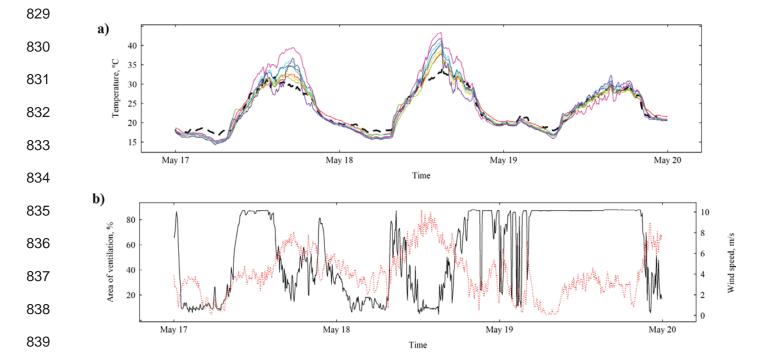


Fig. 9. Comparison on three spring days with similar outside temperatures between inside air temperatures  $T_i$ calculated ( ) and measured at different location-heights (Fig. 2): North-6 m (—), North-1 m (—), centre-1 m (—), South-1 m (—), North-2 m (—), centre-2 m (—), South-2 m (—), South-6 m (—) (a). Evolution over the three days (17-20 May 2015) of outside wind speed  $U_0$  (- - -) and the ventilation opening percentage  $(S_{VR}+S_{VS})/$  $(S_{VRmax}+S_{VSmax})$  (—) (b).

845

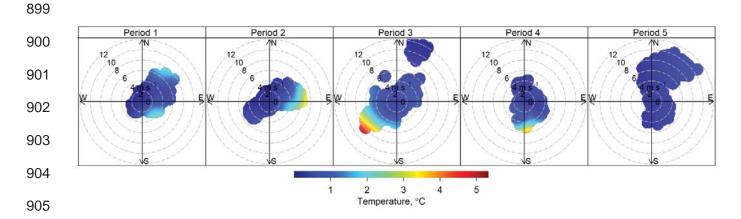
#### 846 *3.2. Model limitations*

847 Some discrepancies between measured and calculated values of  $T_i$  were observed on winter nights (Figs. 6a-b) and at noon in spring (Figs. 6d-e). These discrepancies could be attributed to various factors including: variations 848 849 in heat storage in the soil on cold nights, variation in the heat flux exchanged by ventilation produced by differences 850 between calculated inside temperature and temperature of air exiting the greenhouse (Molina-Aiz et al., 2012), 851 and variations in the wind effect coefficient  $C_w$  depending on the wind and effect of turbulence on ventilation 852 (Molina-Aiz et al., 2009). The maximum absolute difference in inside temperature of air between calculated and measured values was 4.3°C at noon on May 3 when the inside temperature reached 31.8°C, coinciding with rapid 853 854 closure of the greenhouse openings as the climate control system responded to a strong wind in order to avoid 855 structural damage.

856 The model underestimated the inside temperature on cold nights when a warm wind began to blow, rapidly 857 increasing the outside temperature (Figs. 6a-b), producing thermal inversion (higher temperature outside than 858 inside the greenhouse). Similar discrepancies were observed by Baptista et al. (2010) after opening or closing the 859 vents, and approximately 2 h were required to the model to readjust. Without solar radiation, the model is affected 860 by sudden changes in outside temperature and during the day by changes in ventilation, both produced by the 861 oscillation of wind conditions, which is characteristic of the province of Almería. Hasni et al. (2011) and Fatnassi 862 et al. (2013) also observed greater discrepancies between the model and measurements at night, with calculated 863 air temperatures about 1°C greater than measured ones, as occurred on 5th January in our work (Fig. 6a). 864 Mashonjowa et al. (2013) also observed most of the significant differences between measured and calculated 865 ventilation rates during the night.

866 When the weather changes drastically, as for example on  $22^{nd}$  December and  $15^{th}$  April when solar radiation 867 suddenly fell (Fig. 5a), simulated temperatures differ considerably from measured values (Figs. 6a & 6c). The 868 lower calculated inside air temperature is produced by the consideration of a constant value of solar transmittance 869  $\tau_{cw}$ =0.42 of the greenhouse cover for all days of each period (Table 4). On cloudy days such as 15<sup>th</sup> April, measured 870 global radiation inside and outside were very similar (Fig. 5a), because the real transmittance of the whitened cover 871 approached a value of  $\tau_{cw}$ =0.9 due to the diffusive characteristics of the light entering the greenhouse. To improve accuracy of the model on cloudy days, different transmittance coefficients could be considered for direct and
diffuse light. However, commercial greenhouses only measure the global solar radiation, therefore this
modification could reduce the simplicity of the model, which is one of the objectives of the present work.

The difficulty to model the greenhouse microclimate was observed on 7th to 9th January, with similar solar 875 876 radiation (Fig. 5a) and wind (Fig. 5b) conditions resulting in similar maximum air temperatures at noon that the 877 model is not capable of predicting (Fig. 6b). The model predicted a lower air temperature because of the greater 878 wind speed recorded on 9<sup>th</sup> January. In this period, we can also observe the influence of wind direction, because on 7th January, solar radiation and wind speed were similar to the three following days of the period, but with a 879 880 *Poniente* wind blowing from the Southwest instead of the *Levante* wind blowing from the Northeast (Fig. 5b). 881 Although, wind direction significantly affects the ventilation rate and the air and crop temperature distributions in 882 multispan greenhouses (Teitel et al., 2008), most simulation models neglect this influence on the energy balance. 883 Greater errors in the estimation of inside air temperature occurred at higher wind speeds, showing the 884 importance of the ventilation flux in the energy balances inside the greenhouse (Fig. 9). A technical disadvantage 885 of the multispan greenhouses in windy and hot climatic conditions is the need to close the vent openings at wind 886 speeds over 8 m s<sup>-1</sup> (28.8 km h<sup>-1</sup>). Faced with strong winds, the control system begins to close the greenhouse 887 openings progressively when wind speed surpasses 6 m s<sup>-1</sup> (Fig. 9b) to avoid storm damage, and the openings stay 888 closed until the wind diminishes. Under such conditions, the temperature rises inside the greenhouse as a result of 889 the reduction of air exchange with the cooler outside air. A second consequence of the reduction in air movement 890 is the stagnation of inside air, which can be observed by an increase in the heterogeneity in the temperature 891 distribution (Fig. 9a). The temperature of air stagnated near the greenhouse cover (at 6 m height) can increase by 892 about 7-8 °C with respect to the plant zone (at 1 m height). This heterogeneity of air temperature produces an 893 inaccuracy of the model, which assumes that the temperature of air exiting the greenhouse via the roof openings 894 is equal to the average inside air temperature. The errors in estimation of inside air temperature increased with wind speed (Fig. 10). Differences between measured and calculated inside air temperatures  $|T_{iM} - T_{iC}|$  of over 4 °C 895 were observed for both *Poniente* and *Levante* winds of over 6 m s<sup>-1</sup> (Fig. 10). When the air velocity fell (Fig. 9b), 896 897 the temperature uniformity was rapidly re-established inside the greenhouse and the simulated temperatures agreed 898 with the measured values at different points inside the greenhouse (Fig. 9a).



**Fig. 10**. Absolute differences between measured and calculated inside air temperatures  $|T_{iM} - T_{iC}|$  as a function of wind speed  $U_0$  and direction  $\theta_w$  during five time periods: 21-25 December 2014 (Period 1), 5-9 January 2015 (Period 2), 15-19 April 2015 (Period 3), 29 April– 3 May 2015 (Period 4) and 1-5 June 2015 (Period 5).

909

910 The assumption of air temperature uniformity inside the greenhouse is the mayor drawback of energy balance 911 models applied to naturally ventilated greenhouse in warm climates. In greenhouses with artificial climatic 912 systems, whether heating (hot water pipes) or cooling (fog systems), the air temperature distribution inside remains 913 more or less constant while the system works, making it easy to model the air temperature. In these conditions, the 914 interior temperature depends to a great extent on the heat supplied or removed artificially, which is well-known, 915 and therefore accurate estimations are obtained. Consequently, inaccurate estimation of the air exchanged by 916 ventilation and the differences between air exiting the greenhouse and the average value inside the greenhouse 917 constitute two major limitations of the model developed in this work, and in general, of all simulation models. 918 Computational Fluid Dynamics (CFD) models present the advantage of taking into account temperature 919 distributions inside the greenhouses, and could help to improve dynamic models using a thermal eefficiency 920 coefficient  $\eta_T$  (Molina-Aiz and Valera, 2011) to calculate the heat exchanged by ventilation (Molina-Aiz et al., 921 2012).

922

#### 923 Conclusions

924

The dynamic model developed in this work, based on six energy balance differential equations coupled with an empirical linear regression (Wang and Deltour, 1999), allows us to estimate the evolution over time of air, greenhouse cover, polypropylene mulch and soil temperatures with acceptable accuracy (*RMSE*=1.1-2.8 °C).

Greater errors were produced in the estimation of inside air temperature at higher wind speeds, which highlights the importance of the ventilation flux in the energy balances inside the greenhouse. The model improved thanks to the use of different variable discharge coefficients for roof  $C_{dVR}$  and side openings  $C_{dVS}$ , different wind effect coefficients  $C_w$  for Northeast or Southwest winds, and a linear regression of the wind direction as a correction function of the volumetric ventilation flux *G*.

The main differences between measured and calculated values for inside temperature were observed on cloudy and windy days. On cloudy days, the change in the fraction of diffuse radiation produced the variation in the cover transmissivity, considered constant for each period in the model. On windy days, the climate control system closed the vent openings up to a point to prevent structural damage, thereby increasing heterogeneity of the inside air temperature. Under such circumstances, the temperature of air exiting the greenhouse via the openings was at a different temperature to the average inside air, invalidating the assumption of temperature uniformity.

A major problem that occurs in multispan greenhouses in areas such as Almería is the closure of vent openings when wind speed surpasses 8 m s<sup>-1</sup> to avoid structural damage. The reduction of air movement inside the greenhouse produces considerable heterogeneity in temperature distribution, with differences in temperature of about 7-8 °C between the warm zones near the crop (cooling air by evapotranspiration) and very hot areas near the greenhouse cover in the middle of spans (where hot air accumulates due to the buoyancy effect).

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1220

# 1221 Appendix A. Discharge coefficient of the greenhouse openings

1222 Discharge coefficient of vent opening can be estimated in function of a discharge coefficient characterising the 1223 shape of the openings  $C_{dHL}$  and a discharge coefficient  $C_{d\varphi}$  corresponding to the effect of insect proof screens 1224 located in the openings (Arbel et al., 2000; Molina-Aiz et al., 2009):

1225 
$$C_{dj} = \sqrt{\frac{1}{\frac{1}{c_{dHLj}^2} + \frac{1}{c_{d\varphi}^2}}}$$
(A.1)

1226 Discharge coefficient of an unscreened opening can been obtained from Bailey et al. (2003) as:

1227 
$$C_{dHLj} = \left[1.9 + 0.7 \exp\left(-L_{Vj}/(32.5w_{Vj}sen\alpha_{Vj})\right)\right]^{-0.5} (A.2)$$

where  $L_{Vj}$  is the length of the opening j (m),  $w_{Vj}$  is the height (m) and  $\alpha_{Vj}$  is the angle of opening (with a value of  $\alpha_{Vj}=90^{\circ}$  for the side opening without flaps). For roof vents the maximum opening angle was  $\alpha_{VR}=12.9^{\circ}$  when were fully opened.

Heights of both side and roof openings  $w_{lj}$  were reduced depending on the measured opening area by the climate control system, that opened or closed the windows according to the ventilation set up temperature fixed to 20 °C during the season:

1234 
$$w_{VS} = \frac{S_{VS}}{L_{VS}}$$
 (A.3)  $w_{VR} = \frac{S_{VR}}{L_{VR}}$  (A.4)

1235 The vent roof opening angle  $\alpha_{vR}$  was calculated as:

1236 
$$\alpha_{VR} = arctg\left(\frac{w_{VR}}{4.2}\right)$$
(A.5)

1237 The vertical distance between the midpoint of side and roof openings (Fig. 1a), used in Eq. (29), was also 1238 calculated at each time step in function of the opening level of both openings:

1239 
$$h_{SR} = 3.09 + w_{VR} + w_{VR}$$
 (A.6)

1240 The discharge coefficient of the insect proof screen  $C_{d\varphi}$  can be obtained from the pressure loss coefficient  $F_{\varphi}$ :

1241 
$$C_{d\varphi} = \frac{1}{\sqrt{F_{\varphi}}}$$
(A.7)

Pressure loss coefficient can be calculated from the aerodynamic characteristic of the screen and the Reynold
number as (Molina-Aiz et al., 2009):

1244 
$$F_{\varphi} = \frac{2e_{scr}}{K_{p}^{0.5}} \left(\frac{1}{Re_{p}} + Y\right)$$
(A.8)

1245 
$$Re_p = \frac{K_p^{0.5} \rho_a v_V}{\mu_a}$$
 (A.9)

Permeability  $K_p$  (m<sup>2</sup>) and inertial factor *Y* (Table 1) were obtained from wind tunnel tests (Valera et al., 2006; Espinoza et al., 2016) of a sample of the insect-proof screen installed in the greenhouse vents.

For the first time step (t=0 s) we used an initial value for air velocity through the greenhouse vents of  $v_{V}=0.2 \text{ m s}^{-1}$  (Appendix B). For the following steps  $t_{k}$  we calculated an average air velocity from the value of volumetric airflow *G* at the preceding time step  $t_{k-l}$  computed with Eq. (29) as:

1251 
$$v_V(t_k) = \frac{2G(t_{k-1})}{S_{VS} + S_{VR}}$$
 (A.10)

1252 Dynamic viscosity of air was calculated from simulated inside temperature using the following equation1253 (Sutherland, 1893; Montgomery, 1947):

1254 
$$\mu_a = 1.4602 x 10^{-6} \frac{T_i^{3/2}}{T_i + 110}$$
(A.9)

1255 Density of inside air  $\delta_a$  was calculated from temperature  $T_i$  and pressure (Donatelli et al., 2006):

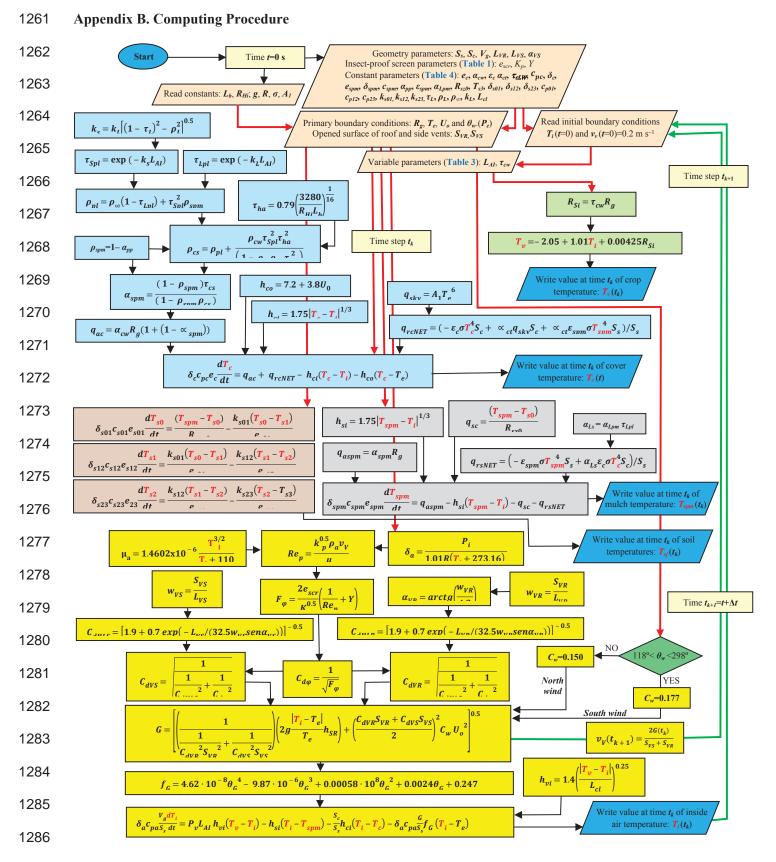
1256 
$$\delta_a = \frac{P_e}{1.01R(T_i + 273.16)}$$
(A.10)

1257 where  $P_e$  is the pressure outside the greenhouse (Pa), considered equal to the atmospheric pressure at sea level,

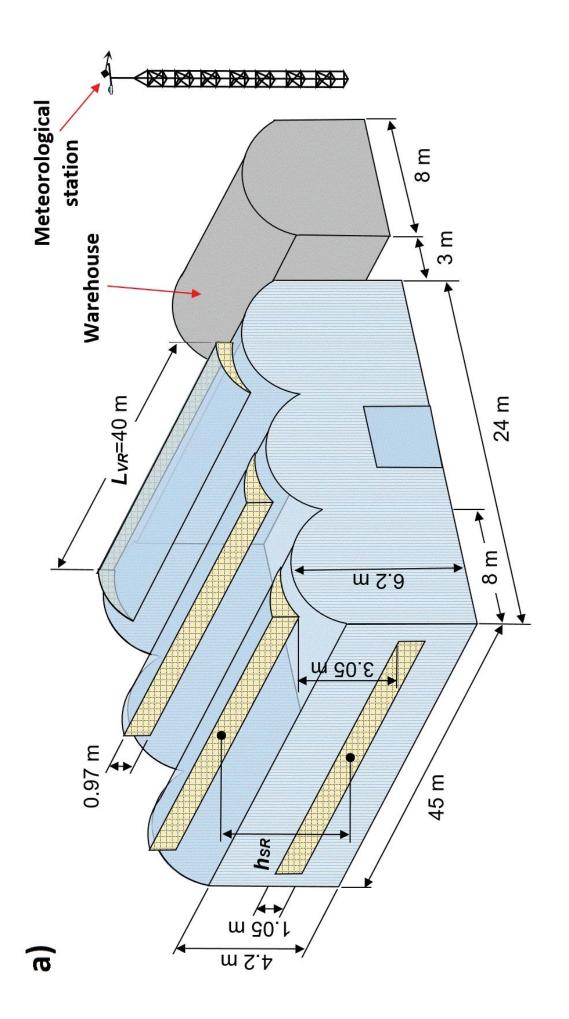
1258 101325 (Pa) and R is the specific gas constant,  $287 (J \text{ kg}^{-1}\text{K}^{-1})$ .

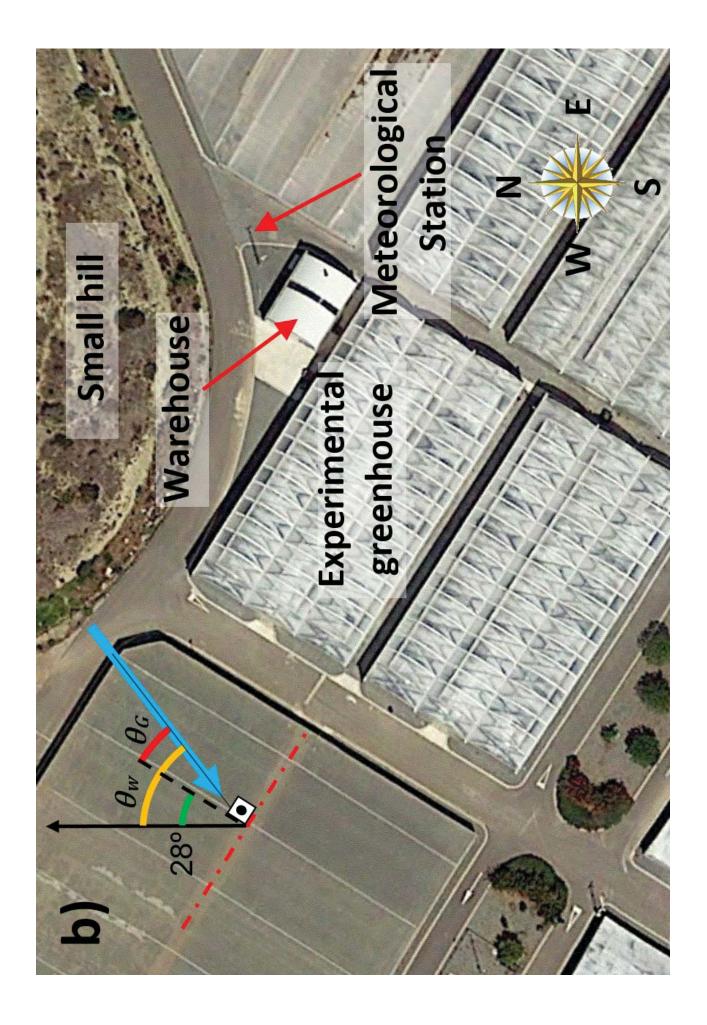
1259

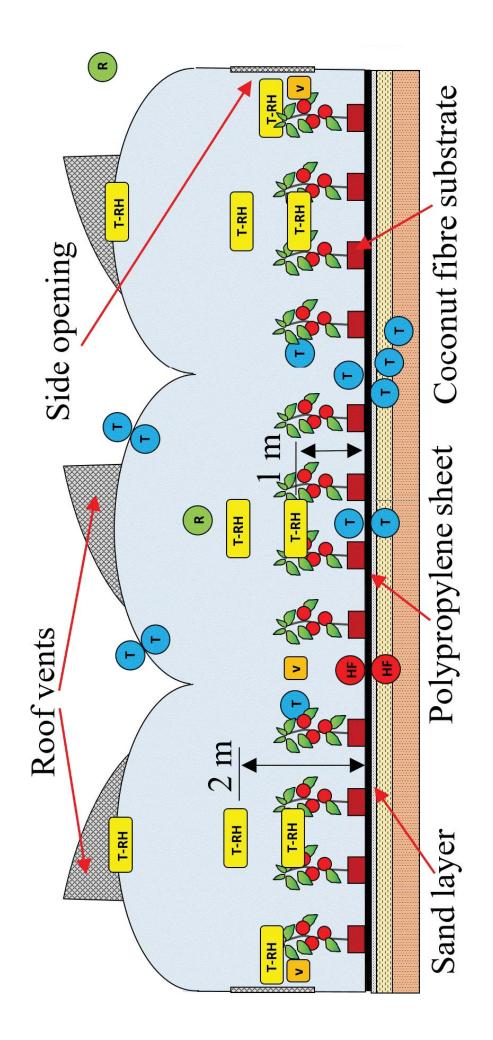
1260

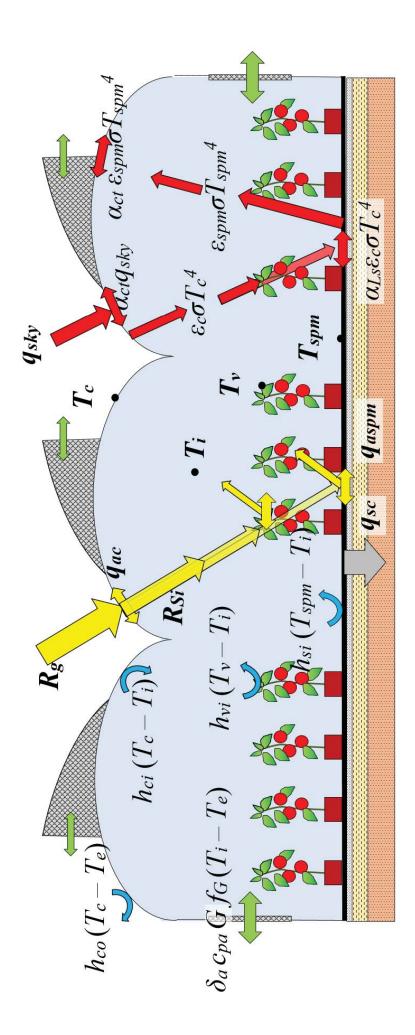


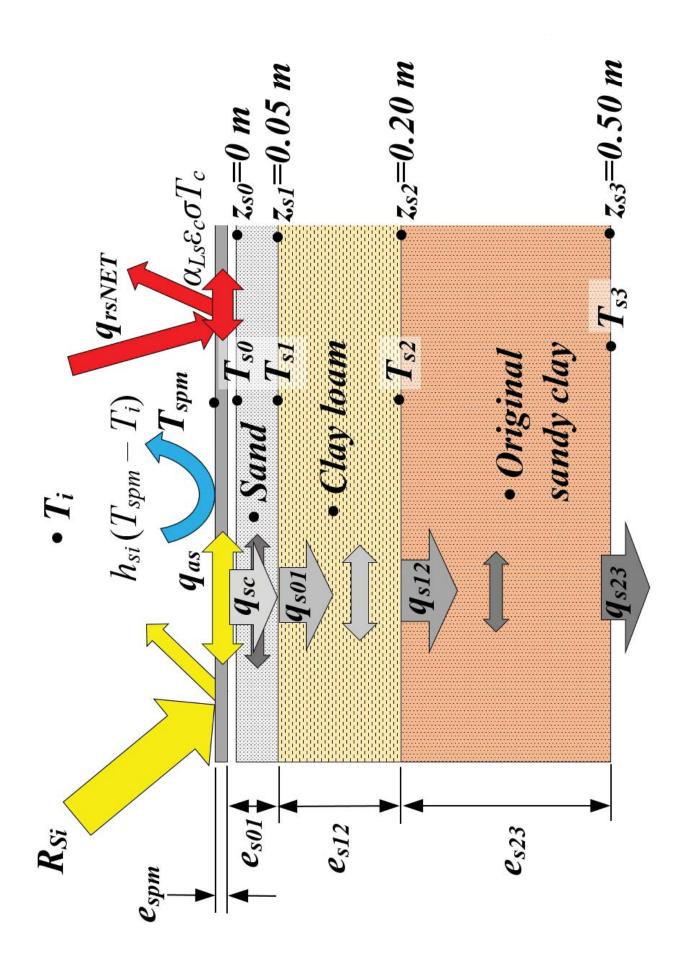
1287 Fig. 11. Flowchart for computing greenhouse temperatures (for variable means and unit see nomenclature).

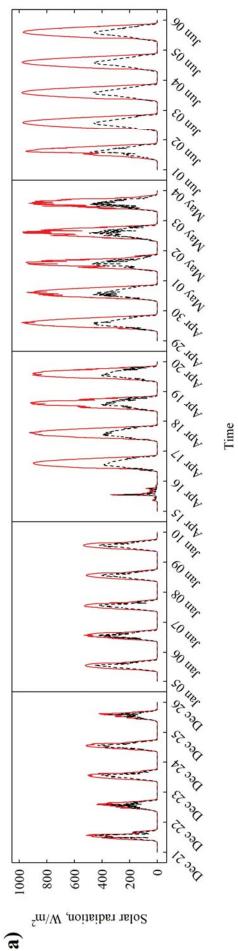




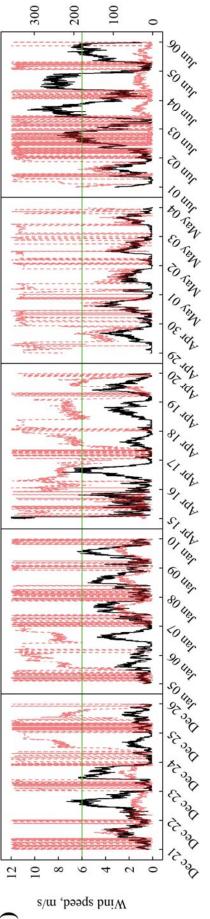






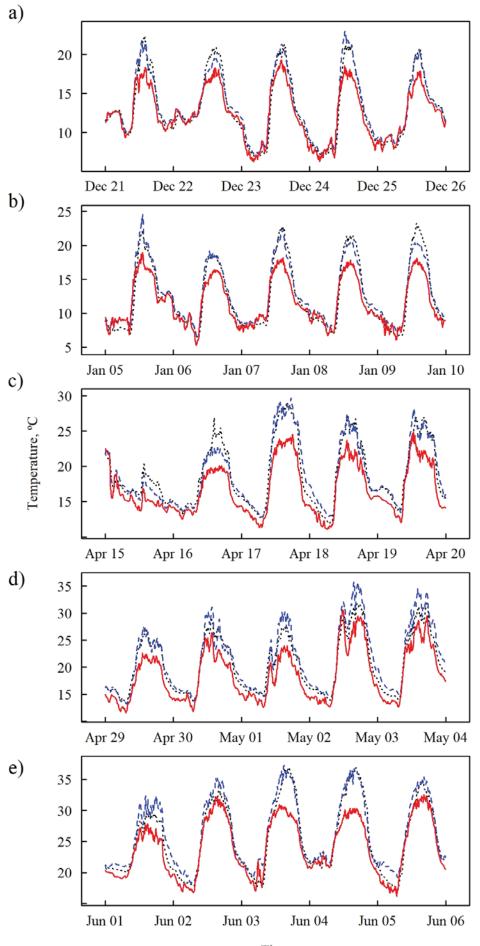


Wind direction, degrees

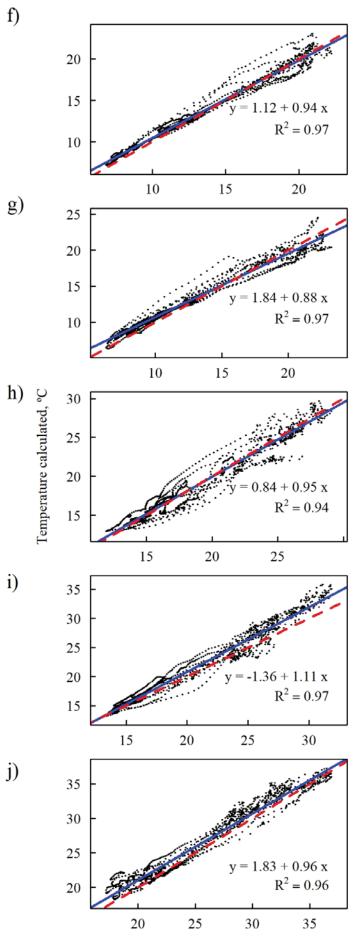


Time

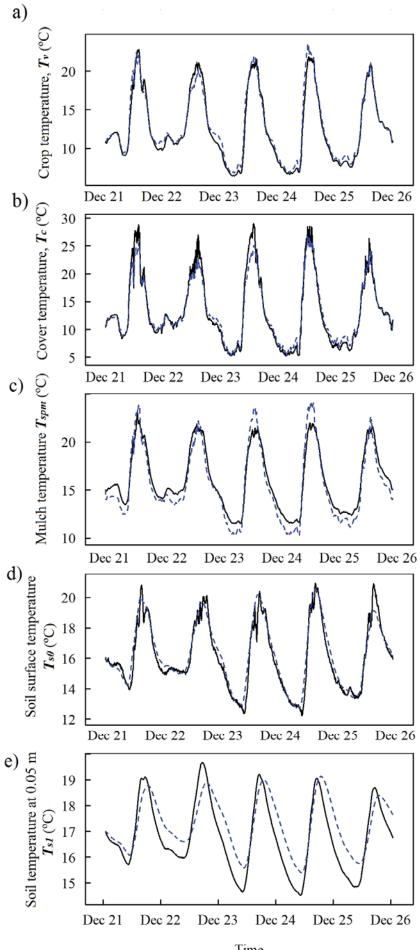
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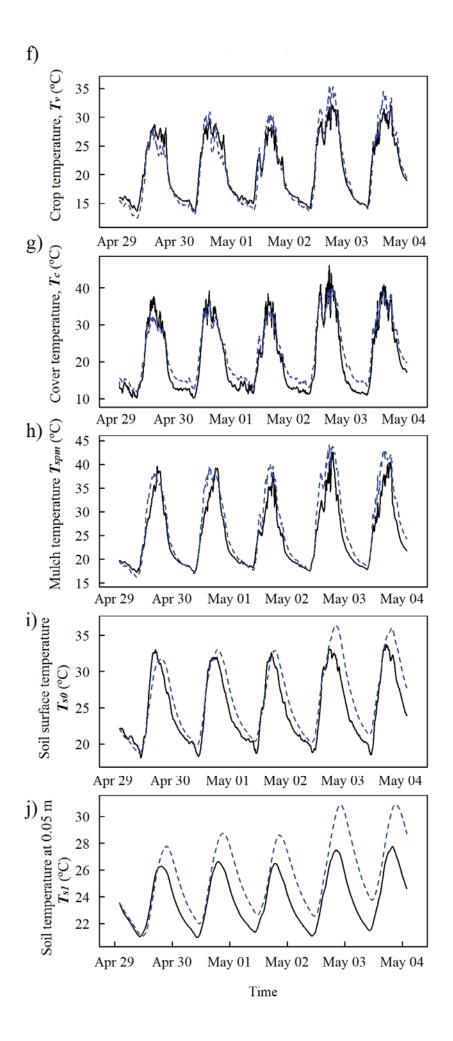
Time

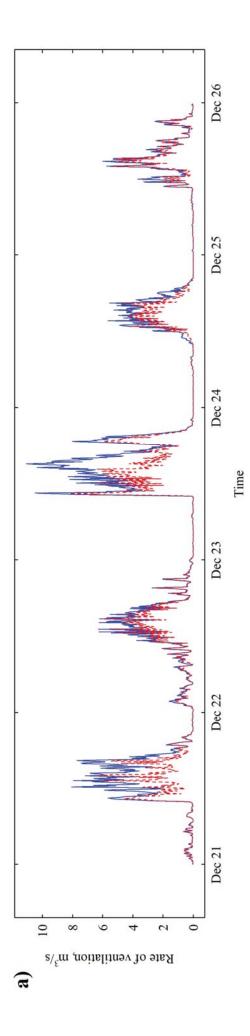


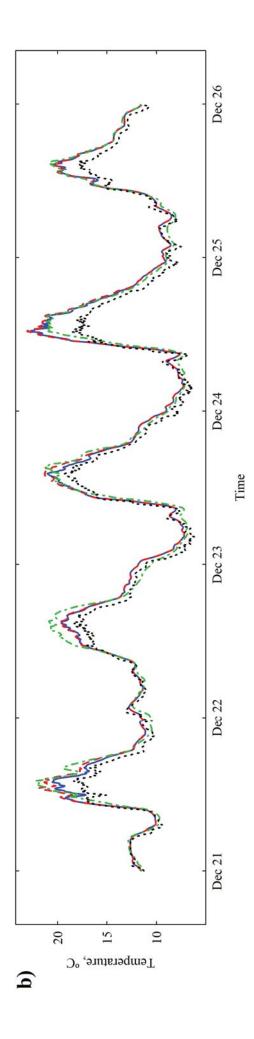
Temperature measured, °C

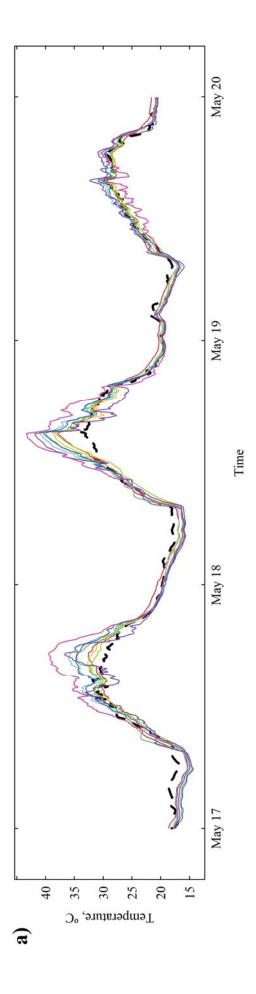












s/m ,booqs bniW

