

1 **Life-cycle assessment of a microalgae-based fungicide under a biorefinery**  
2 **approach**

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20        **Abstract**

21        The aim of this work was to perform a life-cycle analysis of the production process  
22        of a fungicide based on amphidinols. Two scenarios were evaluated: (1)  
23        biorefinery process -biofungicide, fatty acids and carotenoids were considered as  
24        co-products-, and (2) biofungicide as only product. Inventory data were taken and  
25        scaled-up from previous work on pilot-scale reactors, as well as lab-scale  
26        downstream equipment. A yearly production of 22,000 L of fungicide, was  
27        selected as the production objective. Despite, photosynthetic biomass is a sink of  
28        anthropogenic CO<sub>2</sub>, harvesting and downstream processing have large carbon  
29        footprints that exceed the biomass fixed carbon. Producing the biofungicide  
30        resulted in 34.61 and 271.33 ton of CO<sub>2e</sub> (15 years) for the Scenarios 1 and 2,  
31        respectively. Different commercial agricultural fungicides were compared with the  
32        microalgal fungicide. A lower impact of the microalgal product for most of the  
33        indicators, including carbon footprint, was shown.

34        **Keywords:** life-cycle analysis, microalgae, bioprocess, fungicide

## 35 **1. Introduction**

36 The production of food of agricultural origin requires systems that resist the  
37 attack of insects, fungi, or other pests. In developed countries, national authorities  
38 are progressively reducing the number of authorized mineral chemical compounds  
39 to face pests and plant diseases. For example, European Union (EU) is constantly  
40 restricting the number of authorized chemical phytosanitary substances (at present  
41 128 available) and is introducing more and more substances of biological origin (at  
42 present 25) (Commission Implementing Regulation (EU) 2019/716 of 30 April  
43 2019). These are more often considered as low risk substances (Commission  
44 Regulation (EU) 2017/1432). Integrated pest management would avoid or greatly  
45 reduce the use of mineral chemicals. This strategy relies on a combination of  
46 techniques such as biological control, habitat manipulation, or use of resistant  
47 strains. This is the current standard in certain locations where the advantages have  
48 been demonstrated (i.e., greenhouse cultivation in South-East Spain). Despite that,  
49 the use of pesticides continues to be the most widely used strategy worldwide  
50 (Baker et al., 2020). Moreover, in the case of fungal pests, the use of mineral  
51 chemical or bio-sourced substances is mandatory. Although the effectiveness of  
52 these products is high, their negative impacts on the environment are also  
53 remarkable, especially in the case of pesticides without a biological origin (García  
54 Cruz et al., 2022).

55 Different fungicides have been used for hundreds or even thousands of years,  
56 most being simple formulations or even one-ingredient products. More recently,  
57 synthetic organic compounds have been applied (Zhao et al., 2020). Among them,  
58 there are products based on heavy metals, organophosphorus compounds,  
59 halogenated hydrocarbons, etc. Organophosphorus compounds are dangerous in

60 the short term (Shukla et al., 2017). Its incorrect handling is associated with many  
61 acute intoxications in humans. For instance, its continued use triggers the  
62 cholinergic syndrome and is associated with multiple chronic complications, being  
63 delayed neuropathy one of the most common (Ganie et al., 2022). On the other  
64 hand, the use of non-selective fungicides based on heavy metals poses a great  
65 environmental risk, due to their known ability to bioaccumulate and their impact  
66 on other non-target species (Tchounwou et al., 2012). In recent decades, special  
67 attention has been paid to the search for alternative products, preferably bio-  
68 sourced, with less impact on the environment and on human health.

69 Microalgae have been proposed for several applications. For food/feed  
70 products, their advantage is their higher efficiency per unit area than that of  
71 agricultural crops (Aransiola et al., 2014). Also, microalgae are primary producers  
72 of valuable polyunsaturated fatty acids (PUFAs), antioxidant pigments and high-  
73 value metabolites with applications in nutrition, pharmacy, cosmetic industry, and  
74 others (Ketzer et al., 2018; Mehariya et al., 2021). Microalgal-based bioprocesses  
75 have a low environmental impact, including a lower carbon footprint (Chowdhury  
76 et al., 2012; Ketzer et al., 2018). Microalgal growth can be considered a CO<sub>2</sub>,  
77 Nitrogen and Phosphate sink. (Chandra et al., 2018) or nutrients from wastewaters  
78 (Li et al., 2022). A phototrophic production process of a biofungicide would  
79 contribute to several sustainable development goals (SDGs), (e.g., Goals 9, 12 and  
80 13) of the 2030 Agenda for Sustainable Development, adopted by all United  
81 Nations Member States in 2015.

82 Among microalgae, dinoflagellates stand out for being an impressive source of  
83 new bioactives with pharmacological and agro-industrial interest (Assunção et al.,  
84 2017; García Camacho et al., 2007). However, their intensive culture must cope

85 with a number of difficulties (Gallardo Rodríguez et al., 2012; Assunção et al.,  
86 2017). These problems have been tackled in recent years through the systematic  
87 application of bioprocess engineering methodologies (García Camacho et al. 2011;  
88 López Rosales et al., 2018; Molina Miras et al., 2018). Among the bioactives-  
89 producer strains with potential for mass cultivation, the dinoflagellate  
90 *Amphidinium carterae* is one of the most promising (Molina-Miras et al., 2018;  
91 Fuentes-Grünewald et al., 2016). *A. carterae* can produce a series of secondary  
92 polyketide metabolites (López Rodríguez et al., 2019) among which are  
93 amphidinols. Amphidinols elicit antitumor, antifungal, and hemolytic bioactivities  
94 (Satake et al., 1991, Abreu et al., 2019). Their ability to interact with membrane  
95 sterols and permeabilize membranes by forming pores has allowed to patent the  
96 use of *Amphidium* extract for the production of a biofungicide (De La Crouee and  
97 Yann, 2017).

98 Although microalgal bioprocesses have apparently less environmental impact  
99 than chemical processes, for their proposal to replace technologies and products on  
100 the market, it is necessary to carry out rigorous studies of their environmental  
101 footprint (Reijnders, 2020). As is known, there are different methodologies for this,  
102 among which the Life-Cycle Assessment (LCA) is the one with the greatest  
103 analytical value. Besides, it can be integrated in the development of products,  
104 processes, and services through environmental design (Reijnders, 2020).

105 In this work, a process for manufacturing a microalgae-based agricultural  
106 fungicide is proposed. The feasibility of the process in terms of environmental  
107 impacts associated with all the stages of its life-cycle is assessed.

## 108 **2. Materials and methods**

109           The Figure 1 summarized the followed methodology. SuperPro Designer v.7  
110           (Intelligent USA) software was used for the process design step. The software was  
111           able to provide estimates of consumptions of energy, water and other services for  
112           the non-specific equipment. Different alternatives of production including a  
113           debottlenecking process were evaluated. The objective was to avoid underused  
114           equipment and to perform a sizing optimization.

### 115           2.1. *Goal and Scope definition*

116           A LCA study of the production of a microalgal bio-based fungicide was carried  
117           out using Air.e LCA v3. 12.0.10 software (Solid Forest, Madrid, Spain). The  
118           production of the biofungicide was investigated under two process scenarios: (1)  
119           under a biorefinery approach considering two valuable co-products (fatty acids and  
120           carotenoids) (Figure 2A); (2) under a single-product process (Figure 2B). The  
121           analyzed process chain relates to a hypothetical system that is based on  
122           extrapolation from laboratory and pilot-scale experiments (described in the next  
123           section). The system boundaries for the process were established to encompass all  
124           the essential processes that are directly utilized in the production. Foreground  
125           system was separated into several sections as can be seen in Figure 2. 1 L of  
126           fungicide was taken as the functional unit.

### 127           2.2. *Life cycle inventory (LCI)*

128           The inventory data for the LCA collected below includes mineral chemical  
129           products (such as nutrients, solvents, washing agents, etc.) and electrical  
130           consumption for a production unit of the fungicide. Inventory was included in  
131           Table 1, where all the collected data have been calculated using the material and  
132           energy balances made in SuperPro Designer. As was indicated, all the data  
133           referring to the environmental impacts of the different mineral chemical products

134 used, from cradle to door, including therefore transport, have been taken from the  
135 Ecoinvent database (v.3.7.1). There is no entry for vitamins in Ecoinvent, so we  
136 estimate the values for biotin, thiamine and cobalamin assimilating them to those  
137 of vitamin D3 (Morales González et al., 2019). Pure CO<sub>2</sub> has been considered  
138 because the effect of the impurities of flue gases in *A. carterae* is still unknown.  
139 Technical specifications related to the RW-PBR and other microalgal-specific  
140 equipment and processes were provided from previous publications where  
141 laboratory and pilot scale results were reported (Molina-Miras et al., 2018,  
142 Morales-Amador, et al., 2018, López-Rodríguez et al., 2019, 2020, 2021).

143 The following assumptions were adopted. (i) Nutrients of the culture medium  
144 were based on commercial agricultural fertilizers. No differences have been  
145 observed between lab-scale cultures grown with control chemically defined  
146 medium and that equivalent based on fertilizers (unpublished data). Nutrients were  
147 completely consumed by recycling the exhausted culture medium (Molina-Miras et  
148 al., 2020). (ii) Electricity supply came from the Spanish energy mix. (iv) The  
149 production facility was located near the Mediterranean coast, so seawater was  
150 available through pumping. (v) The process of applying the fungicide in their final  
151 destination, that is, in crops, was not considered because it is similar for liquid  
152 fungicides.

### 153 2.2.1. *Microalga and fungicide*

154 The marine dinoflagellate microalga *A. carterae* BMCC33 (strain named as  
155 Dn241EHU in previous publications), which produces amphidinol A and  
156 amphidinol B (Abreu et al., 2019), was chosen for this study. The percentage of  
157 amphidinols in its biomass is dependent on the culture conditions. Thus, a  
158 percentage of up to 0.69 % on dry weight of biomass has been reported (López-

159 Rodríguez et al., 2021). The minimum concentration of amphidinols present in the  
160 formulation that provides an effective fungicidal activity (inhibition of 100 %) was  
161 64 mg L<sup>-1</sup> (own determination on *Fusarium melonis*). The proposed formulation  
162 for sale to farmers should be 200 times more concentrated, this is, 12.8 g L<sup>-1</sup>.  
163 Depending on the type of crop, the application rate of commercial liquid  
164 insecticides and fungicides generally varies between 1.5 and 2.5 L ha<sup>-1</sup>. A 22 m<sup>3</sup>  
165 volume of concentrated fungicide would be approximately needed to treat an  
166 agricultural area between 8,000 and 14,000 ha per year.

### 167 2.2.2. Process description

168 Considering a conservative concentration of amphidinols in the biomass of  
169 0.2% (dry weight), 4400 m<sup>3</sup> of *A. carterae* culture are needed to produce 22 m<sup>3</sup> of  
170 concentrated fungicide. A total of 12 raceway PBRs of 10 m<sup>3</sup> each were chosen  
171 after studying alternatives for optimizing the use of downstream equipment (see  
172 supplementary materials). This number of photobioreactors (PRBs) operated in  
173 semicontinuous mode was expected to provide around 245 annual batches. For the  
174 step of cultivation of *A. carterae* in the photobioreactor, the culture medium was  
175 prepared using Mediterranean seawater pumped from a well and filtered with a  
176 membrane filter of 0.25 µm pore. The composition of the culture medium is given  
177 by that of the f/2 medium recipe multiplied by 3 (López Rosales, et al., 2018).  
178 Concentrated medium stocks were dissolved in deionized water. The filtered  
179 seawater was sterilized in situ by chlorination (López Rosales et al., 2018). For  
180 this, 3 mL of a commercial bleach solution (4.7% of active chlorine) were added  
181 for each liter of seawater. After mixing for 5 hours in the PBR, seawater was  
182 neutralized adding concentrated solution of sodium thiosulfate (250 g L<sup>-1</sup>) at a ratio  
183 of 1 mL per 4 mL of bleach. Next, nutrient stocks and vitamins were added to the



184 seawater at the required concentrations. Then *A. carterae* seed was added; the  
185 inoculum volume being a 10% (v/v) of the whole PBR culture volume. During the  
186 period of each batch (12 days), air was supplied continuously at 0.05 vvm and CO<sub>2</sub>  
187 was supplied on demand with pH control (pH = 8.3) (Molina-Miras, et al., 2018).  
188 Microalgal biomass was harvested and treated every 12 days (Fig. 2A). The broth  
189 was firstly pre-concentrated by means of a lamellar settler for 2 hours. The diluted  
190 sludge obtained was then pelleted by continuous centrifugation, removing around  
191 85% of the water. Before discharge, the biomass pellet was subjected to a washing  
192 step with deionized water to remove salts. Next, the biomass was spray-dried. In  
193 saponification step, a volume of tricomponent solvent mixture (87.5/6.5/6 of 96°  
194 ethanol, hexane, and water ratio, respectively) was added to the dried biomass at a  
195 ratio of 40:1 (v/w), together with 40% w/w of KOH, relative to dry biomass.  
196 Saponification reaction was carried out at 60°C for 30 minutes. The crude soap  
197 from the saponification reaction and resulting solid residue were then separated by  
198 filtration. The solid residue was extracted once more with an additional volume of  
199 fresh tricomponent solvent mixture at a 16:1 (v/w) ratio (López-Rodríguez et al.,  
200 2021). The whole crude soap obtained from the saponification reaction consisting  
201 of two immiscible filtered liquid phases, one hexane and the other hydroalcoholic,  
202 was subjected to a carotenoid-oriented extraction step:

203 i) Extraction of carotenoids: The resulting liquid phase was introduced into  
204 an evaporator. The pellet (the mixture resulting from evaporation) was resuspended  
205 with hexane, at a 4:1 v:v ratio. It was stirred for 1 hours. Once decanted, the  
206 hexane phase undergoes evaporation, from which we obtain a fraction of apolar  
207 carotenoids, as well as recover part of the hexane used. The pellet was again  
208 subjected to solid-liquid extraction, this time using 2.5 kg of 99:1 acetone water

209 solution, thus extracting the remaining carotenoids (polar carotenoids). It was  
210 stirred again for 5 minutes (López-Rodríguez et al., 2021). After decantation, the  
211 light fraction was evaporated, obtaining more carotenoids and recovering the  
212 solvents. Both currents of carotenoids were then mixed in a stirred tank, where  
213 they were stabilized with olive oil. Final carotenoids percentage was 2%.

214 ii) Extraction of saponifiable lipids (free fatty acids): The heavy phase from  
215 the previous stage was fed to a stirred tank, where we will add a mixture of water-  
216 ethanol (72.8% ethanol) in a ratio of 10:1 (v/p dry biomass). It was then mixed  
217 with hydrochloric acid to hydrolyze the previously formed soaps. For lipid  
218 extraction, hexane was added in a simple liquid/liquid extraction at a ratio of 10:1  
219 (v/w dry biomass). The resulting mixture was introduced into a decanter. The light  
220 phase, rich in hexane, was subjected to evaporation, recovering the lipids. The  
221 heavy phase passed to a distillation stage, where the ethanol was recovered. The  
222 bottom of the distillation passed to the stage of formulating the fungicide.

223 iii) Fungicide formulation: The heavy phase obtained in the vacuum  
224 distillation of the previous stage iv contained amphidinols (0.049%). The fungicide  
225 was effective at 0.0085% (dilution was made with water). Extract amphidinol  
226 content was 0.426 mg/L. This concentration was for the applied product (already  
227 diluted). Thus, commercial product was 200 times more concentrated.

228 Scenario 2: The fungicide production process without a biorefinery is simpler.  
229 The first stages of the process are maintained, modifying the process after spray-  
230 drying with the following operations:

231 iv) Extraction of active fungicidal fraction: After drying, the biomass was  
232 suspended in methanol, at a rate of 20 mL/g of biomass, and at 60°C (the

233 bioactivity was kept until 90°C (De La Crouee & Thiebeauld, 2017). After this, the  
234 methanolic solution was subjected to distillation for the recovery of methanol.

235 v) Formulation of amphidinols: the extract rich in amphidinols from the  
236 previous stage was finally dissolved in water until it reaches the required  
237 concentration.

### 238 *2.3. Life cycle impact assessment (LCIA)*

239 Allocation procedure was required since Scenario 1 involved the production of  
240 different compounds. According to ISO 14040/44, allocation was avoided by  
241 considering sub-processes that only contributed to one product. For indivisible  
242 subprocesses, the environmental burdens were allocated between the co-products  
243 on a mass-based allocation approach. The following methodologies were chosen:  
244 PAS 2050, ILCD and ISO 14046. The ReCiPe midpoint method was used for the  
245 impact assessment. The database for Air.e was Ecoinvent (v3.7.1;  
246 [www.ecoinvent.org](http://www.ecoinvent.org)). Calculations from SuperPro Designer software for the  
247 processes design were used for the LCA.

### 248 *2.4. Interpretation*

249 The LCA findings were presented based on the principles and  
250 recommendations outlined in the ISO standards. For interpretation purposes,  
251 results were compared with those obtained for several commercial fungicides  
252 considering only their composition (RevyCare<sup>®</sup>, Priaxor<sup>®</sup>, Delan<sup>®</sup> Pro, Cabrio<sup>®</sup>  
253 WG, Sercadis<sup>®</sup> and Serifel<sup>®</sup>, all of them from the German manufacturer, BASF).  
254 Due to the reliance on background Ecoinvent uncertainties and models in  
255 databases, an uncertainty analysis was carried out. It involved running 1000  
256 simulations using the "Monte Carlo analysis" method. This approach utilized a  
257 pedigree matrix to assess the uncertainty across various midpoint impact categories

258 (Ciroth et al., 2016) (see supplementary materials). Outcomes of this analysis  
259 included the calculated mean value and standard deviation (SD). Unpaired t test  
260 with Welch's correction were conducted to compare the results.

### 261 **3. Results and Discussion**

262 Although in recent years there has been an increase in the number of LCAs  
263 carried out for microalgal processes, these are still scarce and, in some cases,  
264 unreliable, given the enormous variability of results and even their inconsistency  
265 (Reijnders, 2020). Furthermore, most LCAs found in the literature are focused on  
266 microalgal biodiesel production (Bradley et al., 2015; Ketzer et al., 2018; Li et al.,  
267 2022; Reijnders, 2020; Silva et al., 2015; van Boxtel et al., 2015).

268 Notwithstanding, a few of them have been focused on high value microalgal  
269 products (Mehariya et al., 2021; Porcelli et al., 2020; Reijnders, 2020), for example  
270 feed for aquaculture, proteins, fatty acids, phycocyanin, etc.

271 Environmental impacts can be classified as intermediate (direct effect) or final  
272 (cumulative impacts over the entire life cycle), although the difficulty in estimating  
273 the long-term effect of emissions on the environment makes more common the use  
274 of intermediate impact methods. The ReCiPe method was used in this work due to  
275 its popularity in bioprocesses, including microalgae-based ones. For agriculture  
276 fungicides, based on the results obtained from the ReCiPe MidPoint method, the  
277 impacts of greatest interest were: Marine Water Ecotoxicity (MET), Human  
278 Toxicity (HT), Global Warming Potential (GWP), Water Resource Depletion  
279 (WD), Mineral Resource Depletion (MD), and Fossil Resource Depletion (FD).  
280 The diagram of the amphidinol production process for Scenarios 1 and 2 are  
281 identical up to the spray-dryer stage, from which stage 2 is simpler since a single  
282 product is obtained using fewer steps (see Figure 2 and supplementary materials).

283           The total impacts, expressed in mass or volume of equivalent resources, for the  
284 proposed process were included in Figure 3. In this Figure 3 we can see that the  
285 production of the fungicide and the co-products, under a biorefinery approach,  
286 generates higher impact per functional unit than the single-product process. This is  
287 the obvious result of producing 3 co-products. Error bars in Figure 3 are standard  
288 deviations obtained from the uncertainty analysis. Significant differences were  
289 obtained for all the impacts ( $p < 0.001$ ). In the Figure 4, the contribution of the  
290 different stages to the impact indicators have been plotted. For Scenario 1,  
291 Carotenoids Extraction and, to a lesser extent, the Saponifiable Lipids Extraction  
292 stage were the most contributing stages. For Scenario 2, Inoculation and Culturing  
293 caused most of the impacts. Since the biomass production is the same for both  
294 Scenarios, it can be concluded that the inputs required for lipids and carotenoids  
295 were the greatest source of the environmental impacts. Similar results were  
296 obtained for other bioprocesses (Adesanya et al., 2014; Ishizaki et al., 2020; Pérez-  
297 López et al., 2017; Porcelli et al., 2020).

### 298           3.1. Global Warming Potential (GWP)

299           One of the most studied impact indicators in LCA, for any type of process or  
300 service, is the GWP100. This indicator is used to characterize the impact of climate  
301 change. The main GHG is CO<sub>2</sub>, whose emissions (along with those of other GHGs  
302 and the disposal of by-products and waste products) are used to calculate the  
303 carbon footprint of a process (Mata et al., 2018). In processes in which microalgae  
304 are used, it is necessary to provide CO<sub>2</sub> for photosynthesis, generating biomass  
305 with this reaction. The concentration of CO<sub>2</sub> in the atmosphere, although  
306 increasing, is approximately 0.04 ppm, which is insufficient for an optimized  
307 process. For this reason, the injection of pure CO<sub>2</sub> gas is common. It is important to

308 consider that if we keep the culture pH above 8, CO<sub>2</sub> losses can decrease (Collet et  
309 al., 2014), although the optimum pH for cultivation is species-specific. The  
310 consumption of CO<sub>2</sub> during the cultivation stage, in biomass production, can make  
311 the net production of this gas negative, although it largely depends on the  
312 efficiency of the process to really act as a carbon sink (Mata et al., 2018). The CO<sub>2</sub>  
313 used in our study has not been distilled from air but was produced by a chemical  
314 process. Therefore, this also influences the depletion of mineral resources.  
315 Although the use of CO<sub>2</sub> from a combustion stream could be a potential  
316 alternative, pure CO<sub>2</sub> has been considered because the effect of the impurities of  
317 flue gases in *A. carterae* is still unknown.

318 Electrical consumption has a very important relevance in the operating costs of  
319 microalgal bioprocesses (Acién Fernández et al., 2017). It also has a great  
320 environmental impact (they directly affect the carbon footprint and GWP) (Pérez-  
321 López et al., 2014). Pérez-López et al. estimated a consumption of 1.42 kWh per  
322 kg of microalgae during the cultivation stage based on real industrial-scale data. In  
323 our study, the calculated consumption was 1.40 kWh per kg of biomass (based on  
324 estimations from real pilot-scale data). If we consider the entire process, from the  
325 sterilization stages to the end of the downstream, the consumption measured by  
326 Pérez-López et al. (2014) was 30.45 kWh. Our electrical demand was 29.67 kWh.  
327 Therefore, the methodology followed seems correct. In most of the studies carried  
328 out with microalgae cultures, the upstream section is the one with the greatest  
329 impact on the GWP100 (Adesanya et al., 2014; Ishizaki et al., 2020; Pérez López  
330 et al., 2017; Porcelli et al., 2020). This is due to the energy consumption invested  
331 in the mixing, filtration, CO<sub>2</sub> supply and, in the harvesting, (for example,  
332 centrifugation). Therefore, even using PBRs with low energy consumption, the

333 stage that most contributed to climate change was also inoculation and cultivation  
334 (Scenario 1).

335 The production of the culture medium ingredients (although they were  
336 fertilizers rather than technical grade reagents) is an important impact contributor  
337 since mining, chemical processes and transport are energy-intensive activities  
338 (Mata et al., 2018). One of the solutions proposed to reduce the demand for  
339 fertilizers is the use of wastewater. Diniz et al. (2017) evaluated the environmental  
340 advantages of using wastewaters, concluding that it depended largely on the  
341 required pretreatments. Besides, their use is restricted to applications non-related to  
342 direct human consumption (food or health).

343 In this work, electrical consumption was comparatively lower than in other  
344 studies, given the use of raceway PBRs ( $0.002 \text{ kW/m}^3$ ) (Acién Fernández et al.,  
345 2017; Chandra et al., 2018), as well as lamellar settlers to pre-concentrate the  
346 biomass. Thus, the use of a combination of different technologies can significantly  
347 help reduce this impact. Adesanya et al. (2014) exemplified this by implementing a  
348 hybrid culture system, with open and closed photobioreactors, achieving 42%  
349 reduction in global warming potential.

### 350 *3.2. Marine water ecotoxicity (MET) and human toxicity (HT)*

351 The biomass treatment stages, in which biomass undergoes saponification and  
352 solvent extraction, were the one that most influenced MET and HT. For these  
353 stages, great amounts of organic solvents were used (for the functional unit, 119 kg  
354 of hexane and 171 kg of ethanol for Scenario 1; 62.5 kg of methanol for Scenarios  
355 1 and 2). While simple alcohols like methanol and ethanol, as well as alkanes such  
356 as heptane and hexane, are considered environmentally preferable solvents  
357 (Capello et al., 2007), their petrochemical production, use, and disposal involve

358 toxicity-related impacts. The utilization of water-organic solvent mixtures (Amelio  
359 et al., 2014) or deep eutectic solvents (some of which can be derived from  
360 renewable resources) could be an attractive alternative, although industrial-scale  
361 data for this specific process are not yet available.

### 362 *3.3. Depletion of fossil (FD), water (WD), and mineral (MD) resources.*

363 The FD in our process was mainly due to the production of electrical energy.  
364 The electric energy consumed in these processes was supplied from the Spanish  
365 electricity grid, whose data in Ecoinvent for the Spanish mix corresponded to the  
366 period 2008-2015. During this period, the energy produced in Spain came mainly  
367 from non-renewable energy sources (coal, fuel/gas, combined cycle, etc.). The  
368 percentage of non-renewables during this period varied between 57.2% in 2014  
369 and 78.4% (Red Eléctrica de España, 2015). Renewable energies, as well as  
370 nuclear, present CO<sub>2e</sub> emission factors equal to zero. For their part, coal and fossil  
371 fuel power plants have an emission factor around 20 and 75 tCO<sub>2e</sub> for MWh  
372 produced (REData, accessed 21<sup>st</sup> April 2023). This explains this great impact on  
373 our processes, although, looking at the geopolitical panorama that Europe faces in  
374 2022, in addition to the upward trend in the increase in energy generation through  
375 renewable energies, it is expected that the energy mix of all countries, including  
376 Spain, be less dependent on fossil fuels in favor of cleaner energy sources.

377 Microalgal cultivation requires water (seawater or fresh water). Due to the  
378 shading of light by the biomass, concentrations of few grams per liter are expected  
379 (in industrial PBRs typically less than 1 g per liter). This means that to produce 1  
380 kg of biomass, 1 m<sup>3</sup> of water is necessary only for the culture medium, although  
381 the water can be used in different cycles after being recovered in the centrifugation  
382 and/or sedimentation stages. In our case, when using a marine species, the water



383 requirements for cultivation have less impact than those corresponding to  
384 freshwater species for obvious reasons. In addition, fresh water is commonly used  
385 as a cooling fluid, as well as for washing steps. Besides, water expense is implicit  
386 to all raw materials, as well as to electricity production (Mu et al., 2017). In the  
387 case of the processes studied here, it was one of the greatest impacts, since in  
388 addition to a significant amount of water used during the process, it was associated  
389 to other inputs. The WD can be reduced if wastewaters are used, not only for the  
390 water itself but also for the N and P contained in it, that would reduce the  
391 dependency on synthesized nitrate, phosphate, etc. (provided that the products  
392 obtained are not intended for human consumption). However, if the  $\text{NH}_3/\text{NH}_4^+$  (or  
393 other components) concentration is too high, it will be necessary to carry out a  
394 dilution or even pretreatments (López Rosales et al., 2022). In addition, the use of  
395 wastewater makes it necessary to clean the equipment more frequently, which in  
396 turn is done with water (Mu et al., 2017). The use of seawater in processes such as  
397 cooling is not recommended since it is cause of major corrosion issues in pipes and  
398 pumping equipment. MD occurred mainly in the Inoculation & Culturing stage,  
399 due to the use of mineral fertilizers such as zinc sulfate, copper sulfate, or some  
400 phosphates, which create great impacts during the extraction of their raw materials  
401 (Ponomarenko et al. 2021; Valero, 2011).

#### 402 *3.4. Carbon footprint*

403 For the evaluation of the carbon footprint, it has been considered that the  $\text{CO}_2$   
404 used for biomass production acted as a biogenic carbon source, thus treating the  
405 cultivation stage as a  $\text{CO}_2$  sink. The carbon footprint values of each element used  
406 in Air.e LCA were collected as kg of  $\text{CO}_{2e}$  in Table 2. The results of the LCA  
407 showed that the production process of 1 L of fungicide has a carbon footprint of

408 104.87g CO<sub>2e</sub>. If technical grade reagents instead of fertilizers had been used, the  
409 carbon footprint would have increased by only 0.24%. This impact (2.13 kg CO<sub>2e</sub>  
410 in Scenario 1) was distributed among the different co-products being the impact of  
411 the fungicide the lowest. The carbon footprint obtained for the single-product  
412 fungicide in the Scenario 2 was 836.67 g CO<sub>2e</sub>. This difference is associated with  
413 the allocation of burdens among the co-products based on their respective mass  
414 fractions, resulting in upstream stages contributing less to the functional unit. In  
415 Table 2 some carbon footprint values appear as negative figures. The reason is that  
416 impacts corresponding to the co-products, acted with respect to the fungicide as  
417 carbon sinks.

### 418 *3.5. Comparison with commercial and non-commercial fungicides.*

419 Regarding agriculture biofungicides, García-Cruz et al. (García Cruz et al.,  
420 2022) evaluated a fungicide obtained from the waxy residues of the production of  
421 orange essential oils. They made an LCA considering 1 m<sup>3</sup> of fungicide produced  
422 as functional unit. For comparative purposes we have normalized their results to  
423 our unit (1 L). In addition, LCAs have been carried out for different commercial  
424 fertilizers, based on the data provided by the maker in the safety data sheets (see  
425 supplementary materials). For all the fungicides in the comparison, 1 L of  
426 fungicide has been taken as the functional unit, and it has been recalculated, based  
427 on their recommended application concentration for one hectare of crop. In our  
428 case we have formulated our fungicide to dissolve 1 L of product in 200 L of broth.  
429 This is the amount of broth estimated to treat one hectare. This standardization is  
430 necessary since they have different concentrations and effectiveness. In this way,  
431 approximate estimates of their impacts evaluated under the different indicators are  
432 obtained. The values obtained are shown in Figure 5A. In the work of García-Cruz

433 et al. (2022), the impact of ME was not calculated, so it is not represented in the  
434 Figure 5A. The case of the wax-based fungicide is also notable. A priori, a low  
435 impact would be expected due to its biological origin. However, it is not effective  
436 in low doses. Therefore, its use at high doses provides high values of GWP. GWP,  
437 HT and MD for the products with the lower impact were plotted in the Figure 5B.  
438 It was clearly showed that the fungicide produced in Scenario 1 was the one with  
439 the least environmental impact being at the level of Serifel, which is classified as a  
440 biological fungicide. Significant differences were obtained for the impacts ( $p <$   
441  $0.001$ ). Serifel is composed entirely of kaolin, a clay mineral that hardly requires  
442 post-processing in the manufacture of this product. The fungicide produced in  
443 Scenario 2 presented the greatest impacts in HT and MD. For traditional, chemical-  
444 based fungicides, the impacts related to toxicity, such as MET and HT, are much  
445 greater than for alternative fertilizers of biological origin, especially for the  
446 fungicide obtained in our Scenario 1. It is important to note that, to calculate these  
447 environmental data for commercial fungicides, their LCA has been carried out  
448 considering only their composition, obtained from their safety data sheets.  
449 Therefore, the actual impacts for this product are most probably greater since part  
450 of the manufacturing processes (formulation or packing) were not considered.

#### 451 **4. Conclusions**

452 The proposed microalgal biofungicide under a biorefinery approach would  
453 imply the release of 34.61 t CO<sub>2e</sub> during the useful life of the plant (15 years; total  
454 carbon footprint would be 703.91 t CO<sub>2</sub>). The one-product scenario showed a  
455 larger carbon footprint (271.33 t CO<sub>2e</sub>). When compared to commercial and non-  
456 commercial fungicides, the amphidinols-biobased fungicide showed a lower  
457 environmental footprint. The reduction in GWP was 82-98%. Especially

458 significant was the reduction in the figures of the toxicity-related impacts,  
459 compared to commercial fungicides. Thus, from an environmental perspective,  
460 microalgal bioprocess are interesting alternatives with benefits that go beyond the  
461 reduction of the greenhouse gases.

462 E-supplementary data for this work can be found in e-version of this paper online.

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### 469 **Declaration of Generative AI and AI-assisted technologies in the writing**

470 **process:** During the preparation of this work the author(s) did not used any AI and  
471 AI-assisted technology.

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### 641 **Figure Legends**

642 **Figure 1.** Scheme of the methodological procedure and data sources used to  
643 produce 22,000 L/year of biofungicide from the culture of the marine  
644 dinoflagellate microalga *A. carterae*.

645 **Figure 2.** Overview of the process and system boundaries for Scenario 1 (A) and 2  
646 (B). Background system groups raw materials and utilities whose environmental  
647 impacts were obtained from EcoInvent 3.7. Foregrounds system includes the  
648 environmental impact directly linked to the projected process.

649 **Figure 3.** Total impact of the scenarios evaluated for producing an amphidinol-  
650 based fungicide. Scenario 1 includes impacts of 3 co-products. Impacts of Scenario  
651 2 are only referred to the biofungicide. Fossil Resource Depletion (FD), Mineral  
652 Resource Depletion (MD), Water Resource Depletion (WD), Global Warming  
653 Potential (GWP), Human Toxicity (HT), Marine Water Ecotoxicity (MET), Marine  
654 Eutrophication (ME) and Fresh Water Eutrophication (FE). Bars are standard  
655 deviations.

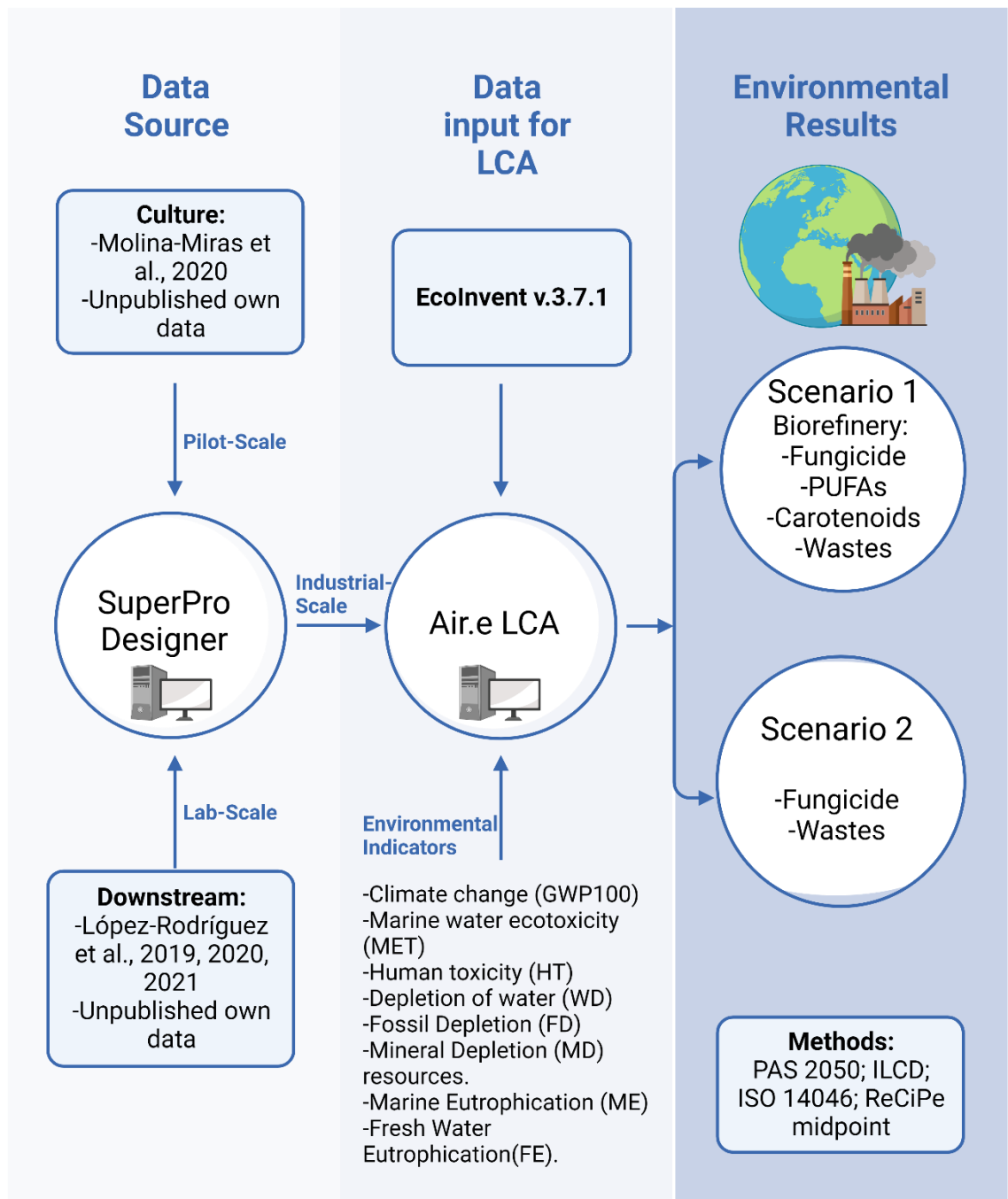
656 **Figure 4.** Comparison of contributions of the different process stages to the total  
657 impact for producing an amphidinol-based fungicide. Scenario 1 includes impacts  
658 of 3 co-products. Impacts of Scenario 2 are only referred to the biofungicide. Fossil  
659 Resource Depletion (FD), Mineral Resource Depletion (MD), Water Resource  
660 Depletion (WD), Global Warming Potential (GWP), Human Toxicity (HT), Marine  
661 Water Ecotoxicity (MET), Marine Eutrophication (ME) and Fresh Water  
662 Eutrophication (FE).

663 **Figure 5.** A) Environmental results of the fungicides evaluated normalized per  
664 treated ha. B) GWP, HT and MD for the 4 fungicides with lower impact. Scenario  
665 1 and Scenario 2 are only referred to the biofungicide. Fossil Resource Depletion  
666 (FD): kg Oil<sub>e</sub>, Mineral Resource Depletion (MD): kg Cu<sub>e</sub>·10<sup>7</sup>, Water Resource  
667 Depletion (WD): m<sup>3</sup>, Global Warming Potential (GWP): kg CO<sub>2e</sub>, Human Toxicity  
668 (HT): kg 1,4-DB<sub>e</sub>, Marine Water Ecotoxicity (MET): kg 1,4-DB<sub>e</sub>, Marine  
669 Eutrophication (ME): kg N<sub>e</sub> and Fresh Water Eutrophication: kg P<sub>e</sub>. Bars are  
670 standard deviations. \*García-Cruz et al., 2022.

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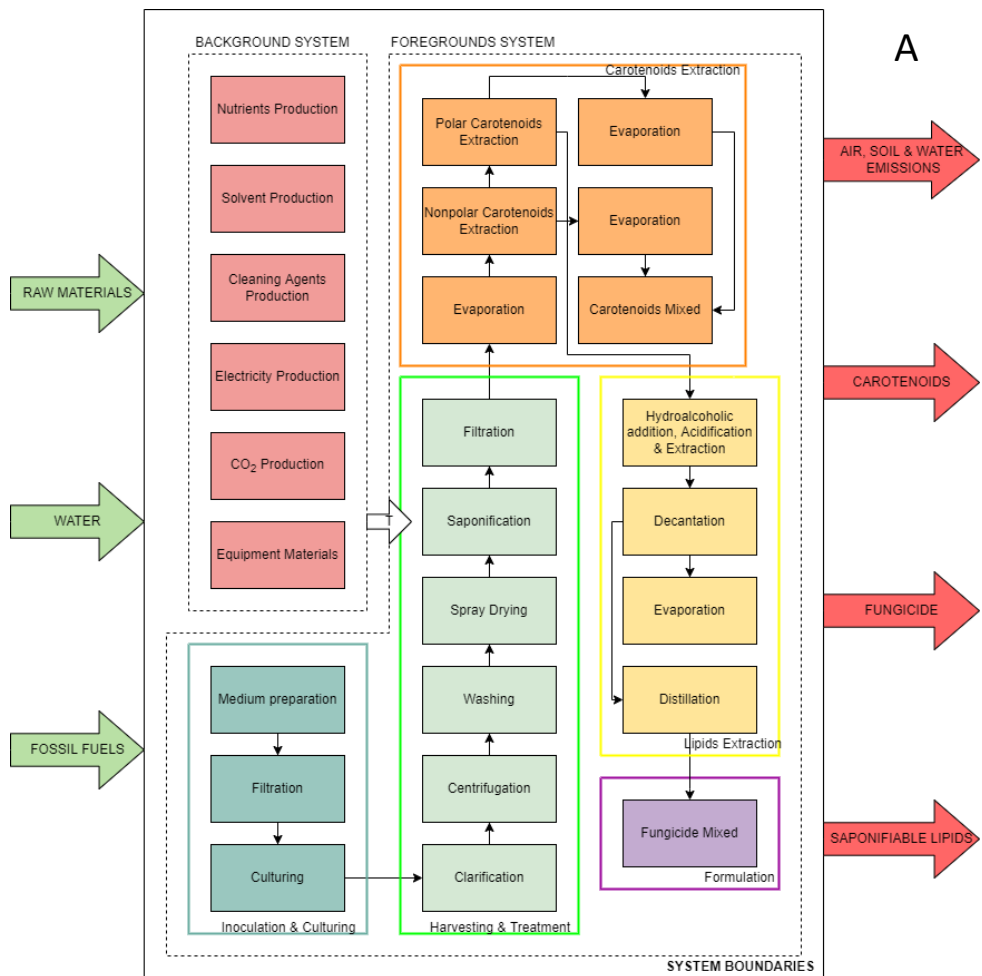


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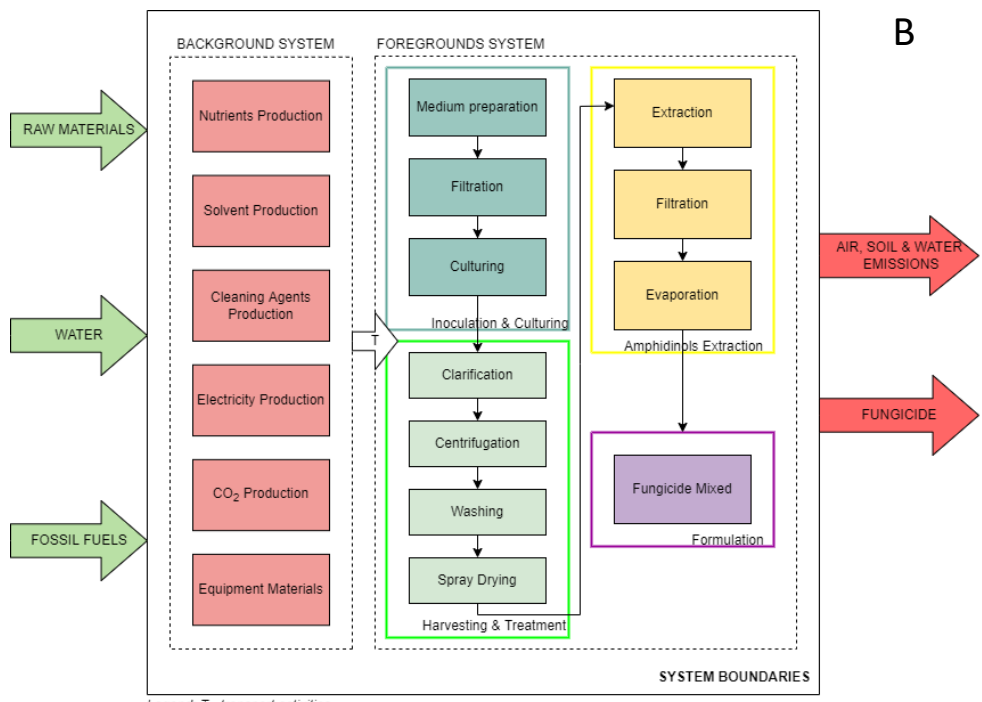
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Figure 1



Legend: T - transport activities

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Legend: T - transport activities

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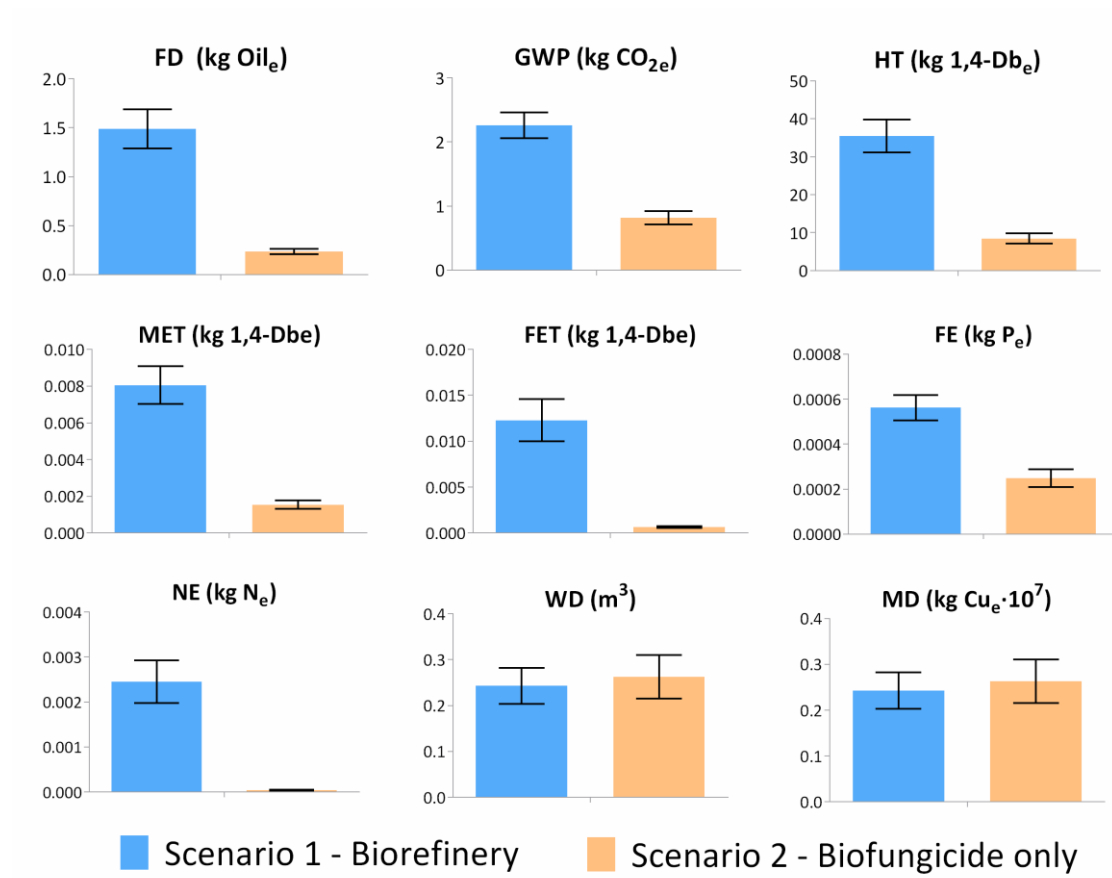
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Figure 2

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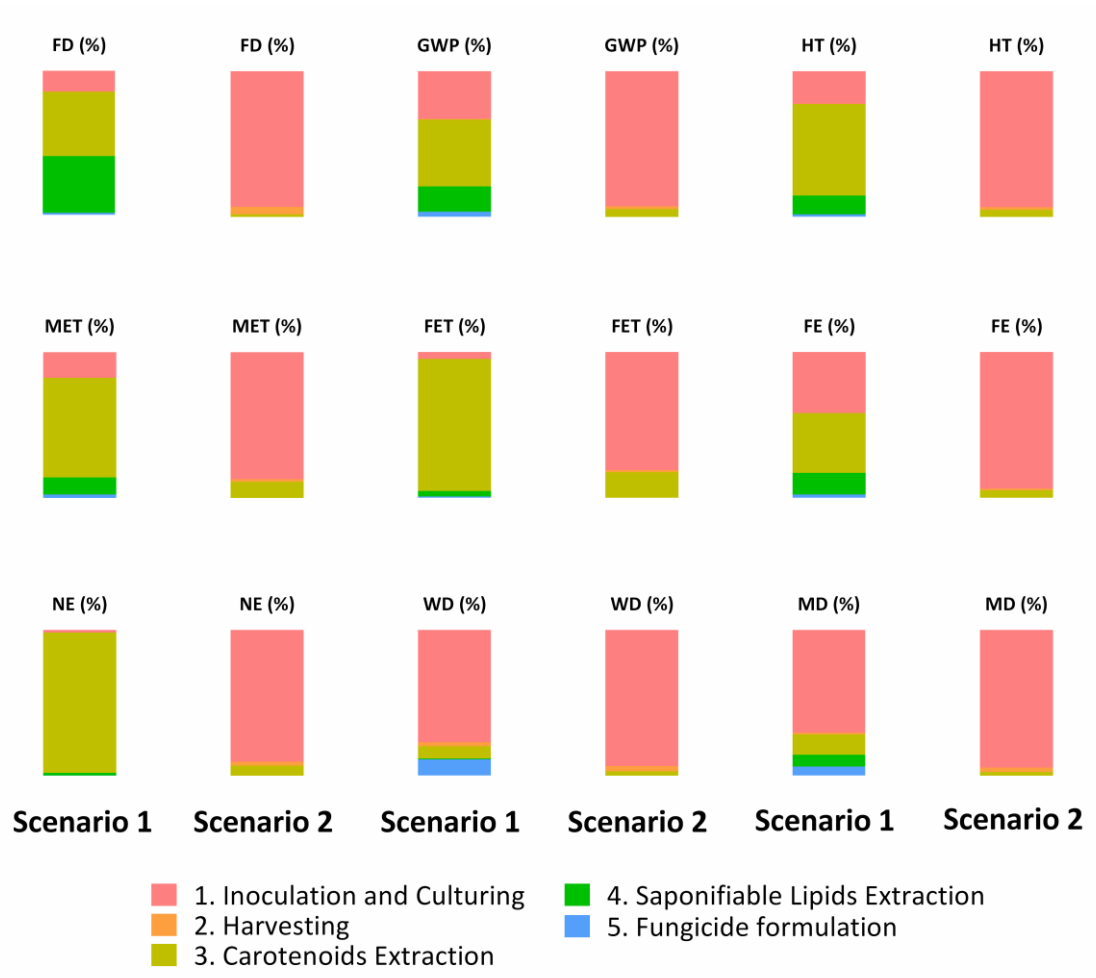
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Figure 3

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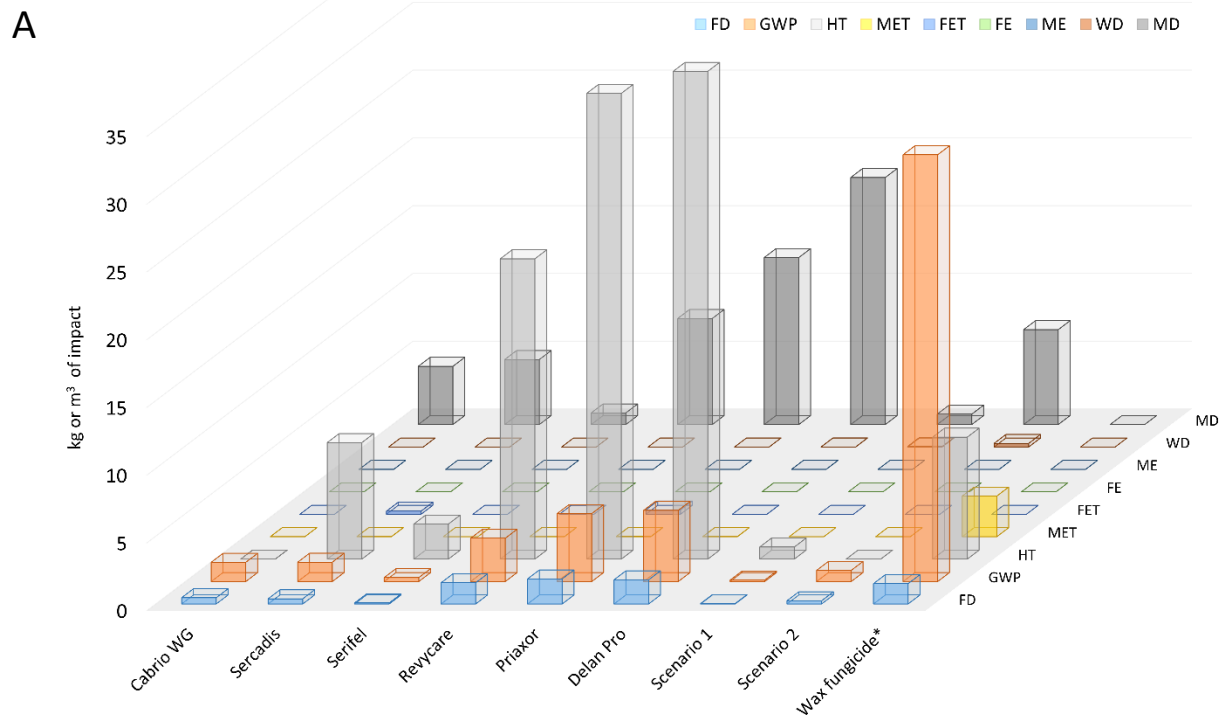
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Figure 4

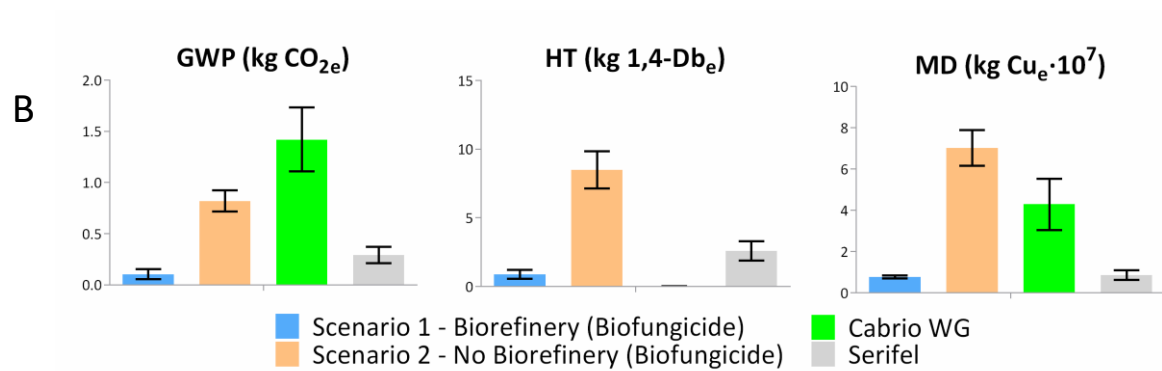
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694 Figure 5

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| <b>Table 1. Inventory data for the amphidinol-based fungicide production process</b> |                              |                                      |                                |                                     |
|--|------------------------------|--------------------------------------|--------------------------------|-------------------------------------|
| <b>Process</b>   | <b>Inputs</b>                | <b>Quantity</b>                      | <b>Outputs</b>                 | <b>Quantity</b>                     |
| <b>Common to both scenarios</b>  |                              |                                      |                                |                                     |
| <b>Inoculation &amp; Culturing</b>   | Sea water (L)                | 8790                                 | Purge (kg)                     | 984.28                              |
|  | Bleach (L)                   | 30                                   | Culture medium (L)             | 9611.03                             |
|  | Thiosulfate (L)              | 7.5                                  |                                |                                     |
|  | Reagents (L)                 | 107.78                               |                                |                                     |
|  | Vitamins (g)                 | 5.65                                 |                                |                                     |
|  | Inoculum biomass (g)         | 630                                  |                                |                                     |
|  | Sea water inoculum (L)       | 1000                                 |                                |                                     |
|  | Air (vvm)                    | 0.5                                  |                                |                                     |
|  | CO <sub>2</sub> (kg)         | 643.66                               |                                |                                     |
|  | Electricity (kWh)            | 95.09                                |                                |                                     |
| <b>Scenario 1</b>  |                              |                                      |                                |                                     |
| <b>Harvesting &amp; Treatment</b>  | Culture medium (L)           | 9611.03                              | Water (L)                      | 9697.7                              |
|  | Deionized Washing water (L)  | 104.41                               | Biomass (L)                    | 14.03                               |
|  | Potassium hydroxide (Kg)     | 1.58                                 | Purge (kg)                     | 0.24                                |
|  | Ethanol (kg)                 | 154.65                               | Solid waste (kg)               | 5.17                                |
|  | Hexane (kg)                  | 8.85                                 | Mix to treat (kg)              | 229.62                              |
|  | Electricity (kWh)            | 9.56                                 |                                |                                     |
|  | <b>Carotenoid Extraction</b> | Inlet stream from previous step (kg) | 229.62                         | Recovered tricomponent mixture (kg) |
| Hexane (kg) (Nonpolar extraction)  |                              | 52                                   | Recovered hexane (kg)          | 49.4                                |
| Acetone: Water (kg) (Polar extraction)   |                              | 2.50                                 | Heavy pase (kg)                | 4.6                                 |
| Water (g)  |                              | 30                                   | Recovered Acetone: Water (kg)  | 2.49                                |
| Olive oil (L)  |                              | 5.57                                 | Stabilized carotenoids (L)     | 5.65                                |
| Electricity (kWh)  |                              | 14.302                               |                                |                                     |
| <b>Lipid Extraction</b>  | HCl (L)                      | 2.01                                 | Heavy phase-residue (L)        | 3.81                                |
|  | Hexane (L)                   | 88.5                                 | Recovered hexane (L)           | 60.05                               |
|  | Ethanol: Water (L)           | 39.6                                 | Recovered ethanol: water (L)   | 28                                  |
|  | Electricity (kWh)            | 2.51                                 | Lipids (L)                     | 0.71                                |
|  | <b>Fungicide Formulation</b> | Heavy pase-residue (L)               | 16.42                          | Fungicide (L)                       |
| Water (L)  |                              | 76.45                                |                                |                                     |
| <b>Scenario 2</b>  |                              |                                      |                                |                                     |
| <b>Harvesting</b>  | Culture medium (L)           | 9611.03                              | Water (L)                      | 9699.26                             |
|  | Washing water (L)            | 100                                  | Biomass (L)                    | 12.47                               |
|  | Electricity (kWh)            | 5.24                                 |                                |                                     |
| <b>Amphidinols Extraction</b>  | Methanol (kg)                | 62.53                                | Purge (kg)                     | 0.13                                |
|  | Biomass (L)                  | 12.47                                | Solid waste (kg)               | 3.96                                |
|  | Electricity (kWh)            | 5.725                                | Recovered methanol (kg)        | 60.89                               |
|  |                              |                                      | Broth rich in amphidinols (kg) | 10.33                               |
|  | <b>Fungicide Formulation</b> | Broth rich in amphidinols (kg)       | 10.33                          | Fungicide (L)*                      |
| Water (L)  |                              | 80.65                                |                                |                                     |

\* The fungicide obtained in scenario 2 is more impure than that of scenario 1, given the presence of other compounds that have not been separated, such as saponifiable lipids and carotenoids.

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**Table 2. Carbon footprints (kg CO<sub>2e</sub>) for an amphidinol-based fungicide process**

| Scenario 1                                       |                         | Scenario 2                 |                         |
|--|-------------------------|----------------------------|-------------------------|
| Elements   | kgCO <sub>2e</sub>      | Elements                   | kgCO <sub>2e</sub>      |
| Inoculation & Culturing                          |                         |                            |                         |
| Reagent  | 44.9·10 <sup>-3</sup>   | Reagent                    | 45.13·10 <sup>-3</sup>  |
| Vitamins   | 22.1·10 <sup>-3</sup>   | Vitamins                   | 22.1·10 <sup>-3</sup>   |
| Electricity                                      | 2.79·10 <sup>-1</sup>   | Electricity                | 6.42·10 <sup>-1</sup>   |
| Compressed CO <sub>2</sub>                       | 62.41·10 <sup>-3</sup>  | Compressed CO <sub>2</sub> | 64.21·10 <sup>-3</sup>  |
| Harvesting & Treatment // Amphidinols extraction |                         |                            |                         |
| Water for washing                                | 369·10 <sup>-6</sup>    | Water for washing          | 378.73·10 <sup>-6</sup> |
| -  | -                       | Methanol                   | 5.28·10 <sup>-3</sup>   |
| Electricity                                      | 9.28·10 <sup>-3</sup>   | Electricity                | 1.96·10 <sup>-2</sup>   |
| Carotenoids Extraction                           |                         |                            |                         |
| Saponification                                   | 338.72·10 <sup>-3</sup> |                            |                         |
| Reagents   | 1.09                    |                            |                         |
| Olive oil  | 15.1·10 <sup>-3</sup>   |                            |                         |
| Cellulose  | 170·10 <sup>-6</sup>    |                            |                         |
| Cardboard boxes                                  | 2.42·10 <sup>-3</sup>   |                            |                         |
| Labels   | 2.02·10 <sup>-3</sup>   | -                          | -                       |
| Electricity                                      | 12.15·10 <sup>-3</sup>  | -                          | -                       |
| Saponifiable lipids Extraction                   |                         |                            |                         |
| Reagents   | 369.27·10 <sup>-3</sup> | -                          | -                       |
| Electricity                                      | 1.33·10 <sup>-3</sup>   | -                          | -                       |
| Cellulose  | 21.6·10 <sup>-6</sup>   | -                          | -                       |
| Cardboard boxes                                  | 902.64·10 <sup>-6</sup> | -                          | -                       |
| Labels   | 7.5·10 <sup>-4</sup>    | -                          | -                       |
| Fungicide formulation                            |                         |                            |                         |
| Water  | 327·10 <sup>-6</sup>    | Evaporation                | 12.36·10 <sup>-3</sup>  |
| Electricity                                      | 4.22·10 <sup>-2</sup>   | Water                      | 303.81·10 <sup>-6</sup> |
| Plastic bottles                                  | 24.5·10 <sup>-3</sup>   | Electricity                | 0.92·10 <sup>-6</sup>   |
| -  | -                       | Plastic bottles            | 24.55·10 <sup>-3</sup>  |
| Labels   | 3.71·10 <sup>-3</sup>   | Labels                     | 3.73·10 <sup>-3</sup>   |
| Cardboard boxes                                  | 4.67·10 <sup>-3</sup>   | Cardboard boxes            | 5.04·10 <sup>-3</sup>   |
| <b>Carbon footprint/FU</b>                       | <b>2.46</b>             | <b>Carbon footprint/FU</b> | <b>0.85</b>             |

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