1	Derivation of sufficiency values of a chlorophyll meter to estimate cucumber
2	nitrogen status and yield
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17 Running title: Sufficiency values of a chlorophyll meter for cucumber N status and yield

#### 18 Abstract

Chlorophyll meters are a promising approach for monitoring crop N status of 19 intensively-produced vegetable crops. To do so effectively, it is fundamental that the 20 21 nature and strength of the relationships between chlorophyll measurement and actual crop N status and yield, throughout the whole crop cycle be evaluated. Another fundamental 22 23 requirement for the practical use of chlorophyll meters for crop N monitoring is the 24 availability of sufficiency values or ranges, for a given crop, that indicate N deficiency (below the value) or sufficiency (above the value). The SPAD-502 meter was evaluated as 25 an estimator of crop N status and yield in cucumber, and sufficiency values of SPAD 26 measurements were derived for maximum crop growth and for yield. Two crops were 27 28 grown in soil in a greenhouse in Almeria (SE Spain), in different cropping cycles, in 29 Autumn 2013 (from early September to late November) and in Spring 2014 (from early March to late May). Chlorophyll measurements were made on a weekly basis throughout 30 31 the crop cycle and relationships were established between measurements and the 32 Nitrogen Nutrition Index (NNI), i.e. the ratio between actual and critical crop N contents, 33 and yield. Sufficiency values for maximum growth were based on the relationships with NNI and sufficiency values for maximum yield were based on linear-plateau relationships 34 with yield. Relationships of SPAD measurements with crop nitrogen nutrition index (NNI) 35 36 and yield had average coefficients of determination ( $R^2$ ) of 0.59 and 0.55, respectively, for most of the Autumn crop, and R<sup>2</sup> values of 0.84 and 0.83, respectively, for most of the 37 Spring crop. Relationships were weak in the initial vegetative phase and were stronger in 38 39 the subsequent reproductive and harvest phases. Generally, there were small differences 40 between the sufficiency values for maximum growth and those for yield, and between the three phenological phases. The average sufficiency value for all phenological phases for 41 both maximum growth and maximum yield was 45.2±0.7 SPAD units. This study showed 42 that measurements of the SPAD-502 meter can be used as reliable estimators of crop N 43

44	status and yield throughout most of the cycle of cucumber in Autumn and Spring growing
45	seasons. The development and application of sufficiency values for maximum growth and
46	maximum yield may improve N management of fertigated cucumber crops with frequent N
47	application, by providing information for adjustments in N fertilization when SPAD
48	measurements deviate from sufficiency values.
49	
50	Keywords: vegetable crop; fertilization; N management; proximal optical sensor; NNI;
51	SPAD; Cucumis sativus
52	
53	Abbreviations:
54	AIC, Akaike Information Criterion; ANOVA, analysis of variance; Chl, Chlorophyll; CM,

- chlorophyll meter; DAT, days after transplanting; N, nitrogen; NNI, nitrogen nutrition index;
- 56 R<sup>2</sup>, coefficient of determination; SEE, standard error of the estimate.

57 **1. Introduction** 

Intensive vegetable crops are characterized by large applications of mineral 58 nitrogen (N) fertilizer (Neeteson, 1994; Thompson et al., 2007). Commonly, the N supply 59 60 from mineral fertilizer and other sources considerably exceeds crop N requirements (Ju et al., 2006; Soto et al., 2015) which can result in substantial nitrate (NO<sub>3</sub>) leaching loss 61 62 (Gallardo et al., 2006; Zotarelli et al., 2007). Optimal N management that meets crop requirements while reducing N loss to the environment is required (Cameron et al., 2013). 63 64 Optimal N management requires that the rate and timing of N supply match crop N demand (Gebbers and Adamchuk, 2010; Meisinger et al., 2008). An effective approach to 65 achieve this is accurate and rapid on-farm assessment of crop N status (Fox and Walthall, 66 67 2008; Padilla et al., 2014; Thompson et al., 2015). A promising method is non-destructive 68 crop N monitoring using proximal optical sensors (Fox and Walthall, 2008; Samborski et al., 2009; Usha and Singh, 2013). These sensors can provide indirect measurements of 69 70 indicator compounds which are sensitive to crop N status (Fox and Walthall, 2008; 71 Samborski et al., 2009; Tremblay et al., 2012). Chlorophyll (Chl) is one such compound; 72 leaf Chl content is strongly influenced by plant N content (Cartelat et al., 2005; Samborski 73 et al., 2009; Schepers et al., 1996). Leaf Chl can be measured indirectly with hand-held 74 chlorophyll meters (CMs). CM measurements have been shown to be positively related to 75 crop N content and to be sensitive to differential N nutrition in vegetable crops (Gianquinto 76 et al., 2006; Padilla et al., 2014; Padilla et al., 2015).

A fundamental requirement for the practical use of CMs for N fertilizer management is the development of sufficiency values that indicate N deficiency (below the value) or sufficiency (above the value). Sufficiency values for different monitoring approaches have been derived based on yield response functions (Fox and Walthall, 2008; Gianquinto et al., 2004; Ordoñez et al., 2015) or from measurements of crop N status (Padilla et al., 2015; Peña-Fleitas et al., 2015). Generally, yield-based sufficiency values are derived from

linear-plateau segmented regression analysis (Gianquinto et al., 2004; Ordoñez et al., 83 84 2015). This analysis assumes a linear increase in yield with increasing values of the monitored index until yield is maximized after which yield remains constant with higher 85 86 index values. The breakpoint of this relationship indicates the sufficiency value of the index value for maximum yield. This regression model has been used to calculate sufficiency 87 88 values of CM measurements in processing tomato (Gianguinto et al., 2004; Gianguinto et al., 2006) and of the specific leaf N content in maize (DeBruin et al., 2013; Ordoñez et al., 89 90 2015).

Nitrogen status-based sufficiency values can be derived from the relationships 91 92 between crop Nitrogen Nutrition Index (NNI) and measured index values by solving the 93 mathematical functions of the relationships for NNI=1. The NNI is an effective and 94 established indicator of crop N status (Lemaire and Gastal, 1997; Mistele and 95 Schmidhalter, 2008; Ziadi et al., 2010) and is calculated as the ratio between actual crop N 96 content and the critical crop N content (i.e. the minimum N content necessary to achieve 97 maximum growth) (Greenwood et al., 1990). Values of NNI=1 correspond to optimal N nutrition (Lemaire and Gastal, 1997). The NNI approach has been used to derive 98 99 sufficiency values, for maximum growth, of vegetation indices of canopy reflectance and 100 CM measurements (Padilla et al., 2015), and of petiole sap  $NO_3^-$  concentration in tomato 101 (Peña-Fleitas et al., 2015).

102 The greenhouse-based intensive vegetable production system of southeastern 103 (SE) Spain consists of 38,000 ha of relatively simple plastic greenhouses of which the 104 majority are concentrated in the province of Almeria (Castilla and Hernández, 2005; Junta 105 de Andalucia, 2013). Cucumber is one of the most important crops in Almeria, occupying 106 approximately 7,000 ha each year (Reche-Mármol, 2011). Nitrate leaching loss from this 107 system has caused considerable NO<sub>3</sub><sup>-</sup> contamination of underlying aquifers (Pulido-Bosch

et al., 2000; Thompson et al., 2007). Monitoring cucumber crop N status with CMs is apromising approach to optimize N management.

110 The objectives of the present work were: i) to evaluate the effectiveness of CM 111 measurements to estimate crop N status and yield, and ii) to derive sufficiency values of 112 CM measurements for maximum crop growth and yield.

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### 114 **2. Materials and methods**

115 2.1. Experimental site

116 Two cucumber (Cucumis sativus 'Strategos') crops were grown in soil in a greenhouse under similar conditions to those of commercial vegetable crops in SE Spain. 117 118 This vegetable production system uses low to medium technology plastic greenhouses 119 very similar to those used throughout the Mediterranean Basin (Pardossi et al., 2004). The 120 experimental work was conducted at the Experimental Station of the University of Almeria 121 (SE Spain, 36° 51' N, 2° 16' W and 92 m elevation). The greenhouse had polycarbonate 122 walls and a roof of low density polyethylene (LDPE) tri-laminated film (200 µm thickness) with transmittance to photosynthetically active radiation (PAR) of approximately 60%. It 123 124 had no heating or artificial light, had passive ventilation (two lateral side panels and flap 125 roof windows), and an east-west orientation, with crop rows aligned north-south. The 126 cropping area was 1,327 m<sup>2</sup>.

The soil was an artificial layered "enarenado" soil, typical of the region (Thompson et al., 2007), consisting of a 30 cm layer of imported silty loam textured soil placed over the original loam soil and a 10 cm layer of fine gravel (mostly 2–5 mm diameter) placed on the imported soil as a mulch. At greenhouse construction in July 2007, 5 cm of sand was mixed into the surface of the original soil to improve infiltration prior to adding the layer of imported soil, and 200 m<sup>3</sup> ha<sup>-1</sup> of sheep manure (63% dry matter, 1.7 % total N content and 0.7 t m<sup>-3</sup> density) was mixed into the top layer of the imported soil, prior to adding the

gravel layer, consistent with established local practice (Thompson et al., 2007). A detaileddescription of the soil is provided in Padilla et al. (2016).

Above-ground drip irrigation was used for combined irrigation and mineral fertilizer application. Drip tape was arranged in paired lines with 0.8 m spacing between lines within each pair, 1.2 m spacing between adjacent pairs of lines, and 0.5 m spacing between drip emitters within drip lines, giving an emitter density of 2 emitters m<sup>-2</sup>. The emitters had a discharge rate of 3 L h<sup>-1</sup>.

The greenhouse was organized into a total of 24 plots, measuring 6 m x 6 m; 20 141 plots were used in the current study. Sheets of polyethylene film (250 µm thickness) buried 142 to 30 cm depth acted as a hydraulic barrier between plots. This depth was estimated as 143 144 sufficient to isolate plots given the rooting depth of the crop and the low volumes of 145 irrigation applied by frequent drip irrigation. There were five N treatments with four 146 replicate plots per treatment, arranged in a randomized block design. Each plot contained 147 three paired lines of drip tape with 12 drip emitters in each line. One plant was positioned 6 148 cm from and immediately adjacent to each dripper, giving a plant density of 2 plants m<sup>-2</sup> and 72 plants per replicate plot. The greenhouse was divided longitudinally into northern 149 150 and southern plots by a 2 m path along its east-west axis, with two plots of each N 151 treatment in the northern and southern sides. There were border areas along the edges of 152 the greenhouse.

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154 2.2. Cucumber crops and N treatments

Two cucumber crops were grown in contrasting seasons, the first crop with an Autumn cycle in 2013 (hereafter, Autumn crop) and the second with a Spring cycle in 2014 (hereafter, Spring crop); see Padilla et al. (2016) for details of climatic conditions. In SE Spain, cucumber is grown in both of these seasonal cycles (Reche-Mármol, 2011). The Autumn crop was grown from 5 September to 22 November 2013 (cycle of 78 days); the

Spring crop from 4 March to 28 May 2014 (cycle of 85 days). Both crops were grown fromtransplanted four week-old seedlings.

162 There were five treatments of different N concentrations in the nutrient solution 163 applied by fertigation. Once the treatments commenced, they were applied in every 164 irrigation throughout each crop. The different N treatments were applied by fertigation from 165 15 DAT in the Autumn crop and from 4 DAT in the Spring crop. The N treatments were 166 very N deficient (N1), N deficient (N2), conventional N management (N3), excessive N (N4) and very excessive N (N5), according to the N concentration in the applied nutrient 167 solution. There were slight differences in the applied N concentration between the Autumn 168 and Spring for equivalent treatments. The average applied N concentration in N1, N2, N3, 169 170 N4 and N5 treatments was 1, 5, 12, 16 and 20 mmol L<sup>-1</sup>, respectively, for the Autumn crop, and 1, 6, 14, 18 and 21 mmol N L<sup>-1</sup> for the Spring crop. Considering the applied irrigation 171 172 volume, the corresponding total amounts of N applied throughout the crops were 12, 62, 173 218, 280 and 380 kg N ha<sup>-1</sup> in the Autumn crop, and 16, 109, 344, 472 and 565 kg N ha<sup>-1</sup> 174 in the Spring crop. Other than N, complete nutrient solutions were applied to all five 175 treatments to ensure that the other macro, secondary and micro-nutrients were not 176 limiting. For all treatments, most N was applied as nitrate (NO<sub>3<sup>-</sup></sub>) (92 % of applied N), the 177 rest as ammonium  $(NH_4^+)$ .

178 Plants were managed following local practice, being supported by nylon cord guides and being periodically pruned. Irrigation was scheduled to maintain soil matric 179 potential (SMP) in the root zone, at 15 cm depth, within -10 to -30 kPa; one tensiometer 180 (Irrometer, Co., Riverside, Ca, USA) per plot was used to measure SMP. Topping (the 181 182 removal of the main apical shoot to arrest stem elongation) was conducted at 35 DAT in the Autumn crop, and at 66 DAT in the Spring crop, according to local practice. High 183 temperature within the greenhouse was controlled by white-washing the plastic cladding 184 with a CaCO<sub>3</sub> suspension, nine days before transplanting of the Autumn crop  $(0.30 \text{ kg L}^{-1})$ 185

and on 34 DAT in the Spring crop (0.18 kg L<sup>-1</sup>). Average levels of midday

photosynthetically active radiation (PAR) were 550–800  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> in the Autumn crop, and 650–1200  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> in the Spring crop.

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191 2.3. Determination of crop NNI

192 Above-ground dry matter production (DMP) during the crop was measured by 193 periodic biomass sampling (approximately every 14 days), by removing one complete and 194 representative plant in each replicate plot. The dry matter content of each plant component 195 (stem, leaf and fruit) was determined by oven-drying all the material at 65°C until constant weight. At transplanting, DMP was determined in 100 seedlings. Eight selected plants 196 197 marked in each replicate plot were used for obtaining pruned material; pruned dry matter 198 was determined as described previously. All mature fruit, of the same eight plants marked 199 for pruning, were harvested periodically and fresh and dry weight determined. All fresh 200 material of each component (stem, leaf and un-harvested fruit) was weighed, and the dry 201 matter percentage was then determined by oven-drying representative sub-samples 202 (approximately 20% of fresh weight) at 65°C until constant weight. Dry matter of sampled 203 component was calculated by multiplying the fresh weight by the dry matter percentage, and then summing the mass of dry matter of the three components. Total DMP, at each 204 205 biomass sampling was calculated as the sum of dry matter of leaf, shoot and fruit on that 206 sampling date plus all previously sampled pruned material and harvested fruit. Pruned 207 material (mostly young leaf and secondary stem) and harvested fruit accounted for 3% and 208 46% of total DMP, respectively.

209 Representative sub-samples of leaf, stem, and fruit from each biomass sampling, 210 pruned material, and harvested fruit from each replicate plot were ground sequentially in 211 knife and ball mills. Total N content (%) of each sub-sample was determined using a

Dumas-type elemental analyzer system (model Rapid N, Elementar, Analysensysteme
GmbH, Hanau, Germany). The mass of N in each plant organ was calculated from the %N
of the sub-sample and corresponding dry matter of the sample. Above-ground total crop N
uptake (kg N ha<sup>-1</sup>) in each replicate plot, at each biomass sampling, was the sum of N in all
relevant components including previous pruned material and harvested fruit as was done
for the calculation of total DMP. Total crop N content (%N) was calculated, for each
replicate plot, as total crop N uptake divided by total DMP.

The critical N curve derived by Padilla et al. (2016) for greenhouse-grown cucumber ( $Nc = 4.85 \cdot DMP^{-0.263}$ ) was used to calculate Nitrogen Nutrition Index (NNI) values for a given time (Lemaire and Gastal, 1997). NNI values were calculated as the ratio between the total and the critical crop N content (Nc) for each treatment for each biomass sampling date. NNI values for each day of the crop were calculated by interpolating dry matter production and crop N content values between the previous and subsequent biomass samplings.

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227 2.4. Crop yield

Yield was measured by periodic harvesting all mature fruit collected from a marked 228 229 area of 4 m<sup>-2</sup> that contained eight selected plants (four plants per two paired lines) in each 230 replicate plot. These were the same plants as used to measure pruned material. There were six harvests in the Autumn crop at 49, 54, 60, 64, 70 and 77 DAT, and eight in the 231 232 Spring crop at 57, 63, 66, 70, 73, 77, 80 and 84 DAT. In each harvest, fruits were separated into marketable and non-marketable categories according to EU marketing 233 234 regulations (CE 717/2001), and the fresh weight of each was measured; both categories 235 were summed for total yield. Crop yield was the cumulative yield of all harvests for each 236 crop.

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## 238 2.5. Chlorophyll meter measurements

239 CM measurements were made with the hand-held, leaf-clip SPAD-502 sensor (Soil 240 Plant Analysis Development, Minolta Camera Co. Ltd., Japan). Measurements 241 commenced at 19 DAT (Autumn crop) and 22 DAT (Spring crop), after the beginning of differential N treatments, and were repeated weekly until the end of each crop. 242 243 Measurements were always made at the same time each day (7:00 to 9:00 solar time) 244 before fertigation. Individual CM measurements were made on 16 different marked plants in each replicate plot, being four plants located in each of the four central lines of plants in 245 246 each plot. One measurement per plant was made on the most recently fully expanded and well-lit leaf, on the distal part of the adaxial (top) side of the leaf, midway between the 247 248 margin and the mid-rib of the leaf. The value for each plot was the average of the 16 249 individual measurements. All measured plants were visually representative of the replicate 250 plot. Plants in side rows and in border areas were not measured. Leaves with physical 251 damage or with condensed water were not measured, alternative plants being selected. 252 After topping and the associated cessation of new leaf production, measurements were 253 made on the same leaf.

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#### 255 2.6. Extractable chlorophyll content

256 On two dates of the Spring crop, 56 and 70 DAT, one leaf disk (5.6 mm diameter) was collected in six different plants in each replicate plot using a metal ring, after which the 257 leaf disk was immediately frozen. Leaves and sampling areas were similar to those for 258 259 SPAD measurements. Leaf Chl was extracted with 80% aqueous acetone solvent 260 following Porra et al. (1989). Each leaf disk was ground with 7.5 mL of the solvent in a homogenizer (IKA T25 digital ULTRA-TURRAX, IKA-Werke GmbH & Co. KG, Staufen, 261 Germany). The homogenate was centrifuged at 2500 rpm for ten minutes (NAHITA model 262 2655, AUXILAB S.L., Beriain, Spain) and the supernatant was transferred to another tube 263

264	and the volume was adjusted to 15 mL by addition of the solvent. This extract was diluted
265	1:8 and the absorbance measured at 646.5 nm, 663.5 nm and 750 nm with a
266	spectrophotometer (Zuzi model 4201/20, AUXILAB S.L.) which had been zeroed and auto-
267	calibrated. The concentration of ChI $a+b$ was calculated using the equation ChI $a+b =$
268	17.76 $\cdot A^{646.6}$ + 7.34 $\cdot A^{663.6}$ , where A is the absorbance measurements at the indicated
269	wavelengths that have the absorbance at 750 nm subtracted, as described by Porra et al.
270	(1989). Absorbance was measured at 646.5 nm and 663.5 nm instead of at 646.6 nm and
271	663.6 as in the procedure of Porra et al. (1989) because of the resolution of the
272	spectrophotometer (0.5 nm). The ChI content of each replicated plot was the average of
273	the six individual leaf disks collected per plot.
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275	2.7. Data analysis
276	2.7.1. Effects of N treatments on NNI, yield and SPAD measurements
277	Differences in NNI and in SPAD measurements between N treatments were
278	evaluated by repeated-measures analysis of variance (RM-ANOVA), using time as a
279	within-subjects factor and N treatment as a between-subjects factor. Significant differences
280	between N treatments were determined at p<0.05. When necessary to meet the Mauchly's
281	sphericity assumption, Huyn-Feldt (epsilon >0.75) or Greenhouse-Geisser (epsilon <0.75)
282	adjusted degrees of freedom were used (Girden, 1992). Differences in total yield at the
283	end of the crops were separately evaluated for each crop by analysis of variance
284	(ANOVA). When necessary, data were transformed to meet ANOVA assumptions. The
285	IBM SPSS 22 software (IBM Corporation, Armonk, NY, USA) was used.
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287	2.7.2. Relationship between extractable chlorophyll content and SPAD measurements
288	For the two dates of extractable ChI measurements, regression analyses were
289	conducted to evaluate the nature of the relationship between ChI content and SPAD

measurements. Four regression models (linear, quadratic, power and exponential) were
considered and the best model, for the two dates of measurement together, was selected
using the Akaike Information Criterion (AIC; (Akaike, 1974)), which represents the best
compromise between highest goodness of fit and smallest model complexity. The
CurveExpert Professional® 2.2.0 software (Daniel G. Hyams) was used to compare
models and to retrieve the coefficient of determination (R<sup>2</sup>) of the selected model.

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# 2.7.3. Evaluation of SPAD measurements to estimate crop NNI and yield

298 For each date of SPAD measurement, regression analyses were conducted to evaluate the effectiveness of SPAD measurements to estimate crop NNI and yield. For 299 300 relationships with NNI, four regression models (linear, guadratic, power and exponential) 301 were considered and the best model for each measurement date was selected using the 302 Akaike Information Criterion (Akaike, 1974). For relationships with yield, segmented linear 303 regression analysis was conducted as this model effectively describes yield responses to 304 N addition (Gianquinto et al., 2011; Ordoñez et al., 2015). A segmented regression 305 consists of two regression lines, an inclined segment described by the equation y=ax+b (if  $x < x_0$ , and a horizontal segment described by the equation y = c (if  $x \ge x_0$ ), where a is the 306 307 slope of the inclined segment and b and c are intercepts. The first segment implies a linear 308 increase in yield with increases in N addition, and therefore with SPAD measurements, 309 and the second segment implies that the yield is not increasing at higher N addition and therefore is constant at higher SPAD values. The software GraphPad Prism 6.01 310 (GraphPad Software, Inc., La Jolla, CA, USA) was used to conduct segmented regression 311 312 analysis and to obtain values of  $R^2$ , SEE and the intersection of the relationship ( $x_0$ ). In addition to regression analysis for each date of measurement, the relationship 313 314 between SPAD measurements with NNI and with yield were examined for phenological phases, by pooling the relevant weekly datasets within each phenological phase of each 315

316 crop. Three major phenological phases were examined: i) vegetative, ii) reproductive, and 317 iii) harvest period. The vegetative phase was from transplant to the beginning of flowering (defined as an average 5 flowers per plant), which was 0-28 DAT in the Autumn crop and 318 319 0-36 DAT in the Spring crop. The reproductive phase included flowering and fruit maturation, which was 29–48 DAT in the Autumn crop and 37–57 DAT in the Spring crop. 320 321 The harvest phase commenced with the first fruit harvest and ended when the crop 322 finished; the harvest phase being 49-78 DAT in the Autumn crop and 58-84 DAT in the Spring crop. The relationships for phenological phases were also developed for the two 323 324 crops together; the Akaike Information Criterion was used to obtain the optimal regression 325 model as described previously.

In all cases, regression models were classified, according to  $R^2$  values, as very strong ( $R^2 \ge 0.85$ ), strong ( $0.85 > R^2 \ge 0.7$ ), moderate ( $0.7 > R^2 \ge 0.5$ ), weak ( $0.5 > R^2 \ge 0.2$ ) and very weak ( $R^2 < 0.2$ ).

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#### 2.8. Derivation of SPAD sufficiency values for maximum growth and yield

331 Sufficiency values of SPAD measurements for maximum crop growth and yield were derived, respectively, from the relationships of SPAD measurements to NNI and to 332 yield. For determining sufficiency values for maximum crop growth, the approach of Padilla 333 334 et al. (2015) was used, in which the mathematical functions relating SPAD measurements to NNI were solved for NNI=1. For yield, the abscissa of the intersection of the two lines of 335 336 segmented regression ( $x_0$ ) indicated the value of the SPAD measurement that maximized 337 yield. Sufficiency values were derived independently, for each crop, for each date of measurement and for phenological phases (vegetative, reproductive and harvest). 338 339 Sufficiency values were also derived for phenological phases for the two crops considered 340 together. Sufficiency values for phenological phases were determined on the data set 341 obtained by grouping all data from the measurements conducted within a given

phenological phase, both for individual crops and both crop together. Average values for
the entire crop, for the Autumn and Spring crops, were obtained by 1) averaging the
sufficiency values from all individual measurements and 2) averaging values from the
phenological phases. An average unique value for both crops was calculated by averaging
the two average values, calculated from values for phenological phases, of both crops.

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### 348 **3. Results**

349 3.1. Effects of N treatments on NNI, yield and SPAD measurements

Generally, throughout both crops, N1 and N2 treatments had NNI values consistently less than one, whereas N4 and N5 had NNI values greater than one; N3 had NNI values slightly less than one in the Autumn crop and close to one or slightly higher in the Spring crop (Figure 1a,b). Comparing the Spring to the Autumn crop, the N1 treatment had relatively lower NNI values and the N5 had relatively higher NNI values. There were no significant differences between NNI values of the N4 and N5 treatments in either of the two crops.

Yield increased with N addition in both crops reaching a maximum in the N3
treatment, after which yield was relatively constant (Figure 1c,d). In both crops, there were
no significant differences in yield between the N3, N4 and N5 treatments.

360 SPAD measurements generally increased with N addition from N1 to N5; however, 361 there were no significant differences between the N4 and N5 treatments throughout the two crops (Figure 1e,f). SPAD measurements were generally comparable between both 362 363 crops for equivalent treatments; however, the Spring crop had slightly higher SPAD values 364 in N5 and slightly lower values in N1 compared to the equivalent treatments in the Autumn 365 crop. Topping had a noticeable effect on the temporal dynamics of SPAD measurements particularly in the Spring crop; values dropped immediately after topping at 66 DAT, but 366 thereafter steadily increased. 367

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371 3.2. Relationship between extractable chlorophyll content and SPAD measurements Extractable Chl content was positively and strongly related to SPAD measurements 372 373 with an almost linear relationship (Figure 2). The AIC best fit model was a quadratic 374 equation with a  $R^2$  value of 0.90; however, the linear regression was similarly strong with a R<sup>2</sup> value of 0.89. SPAD measurements increased almost linearly from approximately 35 to 375 376 55 SPAD units with increases in Chl content of approximately 20 to 45  $\mu$ g cm<sup>-2</sup>, with no indication of saturation or a plateau of SPAD measurements at higher Chl contents. 377 378 379 3.3. Evaluation of the relationship of SPAD to crop NNI and yield for individual dates of 380 measurement 381 Relationships of SPAD measurements with crop NNI for each measurement date 382 had coefficients of determination (R<sup>2</sup>) of 0.04–0.88 in the Autumn crop (average R<sup>2</sup> of 0.59, period 19–76 DAT) and of 0.62–0.97 in the Spring crop (average R<sup>2</sup> of 0.84, period 22–78 383 DAT) (Table 1). In the Autumn crop, relationships were very weak to weak ( $R^2$  of 0.04– 384 0.38) on the first three dates of measurement (19, 27 and 34 DAT); subsequently  $R^2$ 385 386 values increased appreciably being above 0.70 from 41 DAT to the end of the crop (Table 387 1). When excluding the first value of 19 DAT and the first three values for 19, 27 and 34 DAT, the average  $R^2$  values for the Autumn crop were 0.66 and 0.78, respectively. 388 389 Coefficients of determination from the segmented regression analysis between 390 SPAD measurements and crop yield for each date of measurement were in the range 0.14–0.79 for the Autumn crop (average  $R^2$  of 0.55, period 19–76 DAT) and 0.74–0.88 in 391 the Spring crop (average R<sup>2</sup> of 0.83, period 22–78 DAT). In the Autumn crop, relationships 392

were very weak or weak ( $R^2$  of 0.14–0.42) in the first three dates of measurement (19, 27 and 34 DAT) and were moderate or strong ( $R^2$  of 0.59–0.79) thereafter (Table 2).

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#### 397 3.4. Evaluation of the phenological relationships of SPAD to crop NNI and yield

For the vegetative phase, relationships of SPAD measurements with crop NNI were 398 very weak (Autumn crop,  $R^2$  of 0.11) and weak (Spring crop,  $R^2$  of 0.42) considering each 399 crop separately, and were also weak when the two crops were considered together (R<sup>2</sup> of 400 401 0.21) (Table 3, Figure 3a). The relationships improved appreciably for the reproductive and 402 harvest phases, when the two crops were considered independently and when they were 403 combined (Table 3). For the reproductive phase, there was a moderate relationship in the 404 Autumn crop ( $R^2$  of 0.61) and a very strong relationship in the Spring crop ( $R^2$  of 0.92) (Figure 3b); the relationship was strong when the two crops were considered together ( $R^2$ 405 406 of 0.77). For the harvest phase, the relationship was strong for the Autumn crop ( $R^2$  of 0.71), and moderate for the Spring crop ( $R^2$  of 0.66) and for the combination of both crops 407 408 together ( $R^2$  of 0.55) (Figure 3c).

For relationships of SPAD measurements with crop yield, very weak relationships 409 were obtained for the vegetative phase in the Autumn crop ( $R^2$  of 0.04) and for the two 410 411 crops combined (R<sup>2</sup> of 0.17) (Table 4, Figure 4a). A moderate relationship was obtained for the Spring crop ( $R^2$  of 0.64). The relationships improved for the reproductive and 412 413 harvest phases (Table 4). In these two phases, moderate relationships were obtained in the Autumn crop (R<sup>2</sup> of 0.50 for the reproductive and 0.62 for the harvest) and for the two 414 415 crops together (R<sup>2</sup> of 0.65 and 0.60, respectively); relationships were strong for the Spring crop in both phases (R<sup>2</sup> of 0.84 and 0.74, respectively) (Figure 4b,c). The slopes of the 416 417 inclined segment of segmented regressions were steeper in the reproductive than in the harvest phase, particularly in the Autumn crop and in the two crops together, showing 418

higher sensitivity of crop yield to changes in SPAD values in the reproductive than in the
harvest phase. The slopes were steeper in the Spring than in the Autumn crop, indicating
higher sensitivity in the Spring crop.

422

423 3.5. SPAD sufficiency values for maximum growth and yield

424 For each measurement date, SPAD sufficiency values for maximum growth were 425 42.9–49.7 SPAD units in the Autumn crop (average value for all measurement dates of 426 46.7±0.8) and 40.2–49.9 SPAD units in the Spring crop (average value for all dates of 427 44.7±1.3) (Table 5). In the Autumn crop, sufficiency values for maximum yield were 35.1-428 53.1 SPAD units (average value for all measurement dates of 45.5±1.8); in the Spring 429 crop, sufficiency values were 36.4-44.7 SPAD units (average value for all dates of 430 41.8±0.8) (Table 5). SPAD sufficiency values for maximum yield were comparable to the 431 sufficiency values for growth for the Autumn crop, and they were slightly lower than those 432 for maximum growth in the Spring crop.

433 For individual phenological phases, SPAD sufficiency values for both crops 434 considered together, for both maximum growth and yield, were generally intermediate to 435 the equivalent sufficiency values, for each phenological phase, calculated for each crop 436 (Table 5). For the two crops combined, sufficiency values for maximum growth were 47.5, 437 46.9 and 44.9 SPAD units, for the vegetative, reproductive and harvest phases, 438 respectively; the average value for all three phenological phases was 46.4±0.8. For 439 maximum yield, the sufficiency values for the two crops combined were slightly lower 440 being 44.0, 42.8 and 44.9 SPAD units, for the vegetative, reproductive and harvest phases, respectively, giving an average sufficiency value for the entire crop (considering 441 442 both crops together) of 43.9±0.6 SPAD units. The average sufficiency value for the entire 443 crop for both maximum growth and maximum yield was 45.2±0.7 SPAD units.

444

### 445 **4. Discussion**

446 *4.1. Evaluation of relationships of SPAD measurements to crop NNI and yield* 

447 Strong and very strong relationships were obtained between SPAD measurements 448 and crop NNI, and between SPAD measurements and yield, for most dates of individual measurement in the two cucumber crops grown in different growing seasons. These 449 450 results are consistent with those of other studies that reported similarly strong relationships 451 between CM measurements and crop N status and/or yield in horticultural crops such as fresh tomato (Padilla et al., 2015; Wu et al., 2012), processing tomato (Farneselli et al., 452 453 2010; Gianquinto et al., 2006), muskmelon (Padilla et al., 2014) and potato (Gianquinto et al., 2004; Olivier et al., 2006). 454

When considering individual phenological phases, there were strong relationships of SPAD measurements with crop NNI and with yield for reproductive and harvest phases, for each of two crops when considered separately and together. These strong relationships in most phenological phases, regardless of growing season, demonstrated the robust ability of SPAD measurements to be indicators of crop NNI and yield, which is consistent with the strong relationships reported by Wu et al. (2012) between SPAD measurements and leaf N content in individual growth stages of indeterminate tomato.

462 There were some individual measurements where SPAD measurements were 463 poorly related to crop NNI and yield during the early and latter parts of the crops. In the 464 early part of the crops, it is likely that uncertainties with the initial adjustments to the fertigation system to establish the N treatments influenced the small young plants. 465 466 Relatively weak relationships in the final measurement dates were presumably associated 467 with mottling and discoloration that occurred in the measured leaves during the final weeks 468 of the crop. Previous research with indeterminate tomato (Padilla et al., 2015) also reported weaker relationships in the final part of the crop cycle. 469

470 There was no evidence of saturation of SPAD measurements when related to crop 471 NNI values in either of the two crops; regression analysis showed that SPAD 472 measurements increased when NNI values exceeded the optimal value for crop growth of 473 1 (Figure 3). There is a commonly-held view that CM measurements saturate at higher crop N contents associated with excessive N fertilization (Fox and Walthall, 2008). 474 475 However, in addition to the present study, the saturation effect at higher crop N contents has not been observed in numerous field studies with crops (Blackmer et al., 1994; 476 Gianquinto et al., 2004; Padilla et al., 2015; Schepers et al., 1996). It has been shown that 477 the relationship of CM measurements to extractable leaf Chl content plateaus off at high 478 leaf Chl contents (Cartelat et al., 2005; Markwell et al., 1995; Monje and Bugbee, 1992), 479 480 but concentrations of leaf ChI sufficiently high to saturate CM measurements may not 481 occur under field conditions with crop species, even with clearly excessive N fertilization. 482 In the present study, the relationship of CM measurements to extractable leaf Chl content 483 was nearly linear over the entire range of measured Chl contents. The range of measured 484 Chl contents in the present study (approximately 20–45  $\mu$ g cm<sup>-2</sup>) was appreciably narrower that that reported by Monje and Bugbee (1992) (approximately 0–70 µg cm<sup>-2</sup>) and Cartelat 485 et al. (2005) (approximately 20–70 µg cm<sup>-2</sup>) where saturation of CM measurements was 486 487 reported.

While there was no saturation of SPAD measurements in relation to NNI or extractable chlorophyll content, there was no difference in SPAD measurements between treatments N4 and N5, indicating a plateau effect in relation to applied N. It appears that in both these treatments that a similar degree of luxury N uptake occurred as suggested by the similar NNI values of treatments N4 and N5, and that within the range of crop N content determined, as suggested by NNI data, that SPAD measurements were related to crop N content.

495

## 496 4.2. Evaluation of phenological relationships

497 For each crop, the establishment of phenological relationships enabled a substantial reduction in the number of relationships, from nine weekly individual 498 499 relationships to one relationship for each phenological phase. It is notable that SPAD 500 measurements were strongly related to crop NNI and yield in the reproductive and harvest 501 phases when using the combined dataset, for each phase, from the two crops. This 502 demonstrated that common relationships can be applied to both Autumn and Spring crop 503 cycles. Relationships for phenological phases and the derivation of associated sufficiency 504 values can facilitate the use of CMs and other monitoring devices because measurements 505 are associated to easily-recognizable crop development phases, compared to 506 chronological age (Padilla et al., 2015). The use of chronological age has the limitation that 507 it does not consider differences in crop development caused by different growing 508 conditions of each crop cycle, being mainly irradiance and temperature. However, a 509 disadvantage of the use of phenological relationships is the weaker R<sup>2</sup> values, compared 510 to those of individual measurement dates, as observed in the current study and by Padilla 511 et al. (2016); this is a common consequence of the larger data point dispersion associated 512 with pooling data from different dates of measurement.

513 The three phenological phases were relevant for using SPAD measurements to 514 obtain maximum yield particularly in the Spring crop. In the Autumn crop and in the two 515 crops combined, the slope of the inclined segment of segmented regression between 516 SPAD measurements and yield was steeper in the reproductive and harvest phases than 517 in the vegetative phase, indicating higher sensitivity of crop yield to changes in SPAD 518 values during the reproductive and harvest phases. In the Spring crop, the highest 519 sensitivity of yield to SPAD values (i.e. highest slope of the inclined segment of segmented 520 regression) was observed in the vegetative phase, followed by lower sensitivity in the reproductive and harvest phases. The large difference in sensitivity in the vegetative 521

522 phase between the Autumn and Spring crops, is likely attributable to issues associated 523 with the establishment of the differential N concentration treatments in the Autumn crop, 524 that was referred to previously. The high sensitivity in the vegetative phase and 525 subsequent phases of the Spring crop suggests that there is potential to use the SPAD 526 meter throughout a cucumber crop and to use it to make early adjustments to N nutrition. 527

528 4.3. SPAD sufficiency values

529 For each date of measurement, there were generally small differences between 530 sufficiency values of SPAD measurements for maximum growth and yield for each crop. 531 Similarly, there were generally small differences between sufficiency values for the 532 Autumn and the Spring crop, for similar dates of measurement. These results suggest that 533 either set of sufficiency values (maximum growth or maximum yield) may be used for 534 optimal N management of cucumber crops.

535 Sufficiency values for each phenological phase for the combined sets of the two 536 crops combined were similar to one another, and in general, were intermediate to the 537 sufficiency values derived for each phenological phase for each crop, suggesting that the values derived for the two crops together could be used for both cropping seasons. The 538 539 maximum difference between sufficiency values for maximum growth obtained for each of 540 the three individual phenological phases with the combined data set for both crops was 2.6 541 SPAD units, and the maximum difference between the equivalent sufficiency values for 542 maximum yield was 2.1 SPAD units. Given the ranges of SPAD units measured over the 543 two crop cycles, these differences are relatively small, suggesting that the average 544 sufficiency value for the three phases combined can be used for the entire crop cycle. The 545 calculated unique sufficiency value for the entire crop for both maximum growth and maximum yield of 45.2±0.7 SPAD units is consistent with the sufficiency value of 44.9 546 SPAD units reported by Güler and Büyük (2007) for cucumber. 547

548 Frequent monitoring with the SPAD meter in combination with sufficiency values 549 provides a method for rapid correction of non-optimal crop N status for maximum growth 550 and yield by providing information of required adjustments to mineral N fertilizer 551 application. Where N is applied in most irrigations using combined drip irrigation and fertigation systems (Granados et al., 2013; Thompson et al., 2007), monitoring with the 552 553 SPAD meter will enable any required adjustments to the N supply to be made very soon 554 after measurement, given the availability of relevant sufficiency values (Thompson et al., 555 2017). The SPAD sensor has several attractive practical characteristics: measurements 556 can be made easily, quickly and frequently throughout a crop, the results are rapidly available, and there are no time delays and logistical issues as with methods involving 557 558 laboratory analysis. However, further research is required to validate the sufficiency 559 values, reported in the current work, in different cucumber crops and cultivars.

560

### 561 **5. Conclusions**

562 This study showed that chlorophyll measurements of the SPAD-502 sensor can be 563 used as reliable estimators of crop N status and yield throughout most of the cycle of 564 cucumber crops grown in Autumn and Spring growing seasons. SPAD measurements 565 were consistent between the two different cropping seasons, which enabled the 566 development of sufficiency values for maximum growth and maximum yield that were 567 applicable to cucumber crops regardless of the cropping season. The use of sufficiency 568 values for phenological phases of the crop may improve N management of frequently 569 fertigated cucumber crops, by providing information on adjustments in N fertilization that 570 are required when SPAD measurements deviate from sufficiency values.

571

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723	

# 725 TABLES AND FIGURES

726 **Table 1**. Relationships of SPAD measurements with crop Nitrogen Nutrition Index (NNI)

for each individual measurement date of each of the two cucumber crops. Coefficient of

determination (R<sup>2</sup>) and standard error of the estimate (SEE) of the best regression model

729 (Akaike Information Criterion) are shown. DAT is days after transplanting. *n*=20 in each

- individual day.
- 731

Crop	Phenological phase	DAT	Model	R <sup>2</sup>	SEE
Autumn	Vegetative	19	Power	0.04	0.08
		27	Exponential	0.25	0.11
	Reproductive	34	Quadratic	0.38	0.14
		41	Quadratic	0.78	0.10
		48	Power	0.88	0.10
	Harvest	55	Exponential	0.71	0.16
		62	Power	0.79	0.13
		69	Power	0.75	0.14
		76	Power	0.77	0.12
Spring	Vegetative	22	Power	0.83	0.04
		29	Quadratic	0.75	0.05
		36	Quadratic	0.90	0.05
	Reproductive	43	Linear	0.91	0.07
		50	Linear	0.95	0.07
		57	Quadratic	0.97	0.07
	Harvest	65	Linear	0.89	0.13
		71	Quadratic	0.72	0.21
		78	Linear	0.62	0.25

**Table 2**. Relationships of SPAD measurements with crop yield for each individual
measurement date of each of the two cucumber crops. Coefficient of determination (R<sup>2</sup>)
and standard error of the estimate (SEE) of segmented regressions are shown. DAT is
days after transplanting. *n*=20 in each individual day.

Crop	Phenological phase	DAT	R <sup>2</sup>	SEE
Autumn	Vegetative	19	0.14	1.71
	Ū	27	0.42	1.41
	Reproductive	34	0.29	1.56
		41	0.79	0.84
		48	0.77	0.89
	Harvest	55	0.66	1.07
		62	0.70	1.01
		69	0.59	1.18
		76	0.60	1.17
Spring	Vegetative	22	0.81	1.59
		29	0.74	1.83
		36	0.85	1.40
	Reproductive	43	0.88	1.24
		50	0.87	1.33
		57	0.88	1.25
	Harvest	65	0.85	1.40
		71	0.83	1.47
		78	0.79	1.66

**Table 3.** Relationships of SPAD measurements with crop NNI, for each phenological
phase, for each crop when considered independently and with the combined dataset of the
two crops. Coefficient of determination (R<sup>2</sup>), standard error of the estimate (SEE), number
of data points in regression (*n*) and equation of the best regression model (Akaike
Information Criterion) are shown.

Crop	Phenological	Model	R <sup>2</sup>	SEE	n	Equation
·	phase					
Autumn	Vegetative	Quadratic	0.11	0.08	40	NNI=0.002·SPAD <sup>2</sup> -0.139·SPAD+3.387
	Reproductive	Quadratic	0.61	0.13	60	NNI=-0.002·SPAD <sup>2</sup> +0.192·SPAD-4.033
	Harvest	Power	0.71	0.15	80	NNI=0.001·SPAD <sup>1.810</sup>
Spring	Vegetative	Quadratic	0.42	0.09	60	NNI=-0.001·SPAD <sup>2</sup> +0.116·SPAD-1.768
	Reproductive	Linear	0.92	0.09	60	NNI=0.046-SPAD-1.240
	Harvest	Quadratic	0.66	0.22	60	NNI=-0.003·SPAD <sup>2</sup> +0.285·SPAD-6.072
Autumn+Spring	Vegetative	Exponential	0.21	0.12	100	NNI=-0.536e <sup>SPAD-0.013</sup>
	Reproductive	Quadratic	0.77	0.12	120	NNI=-0.001·SPAD <sup>2</sup> +0.151·SPAD-3.341
	Harvest	Quadratic	0.55	0.20	140	NNI=-0.001·SPAD <sup>2</sup> +0.167·SPAD-3.485

**Table 4**. Relationships of SPAD measurements with crop yield, for each phenological

747 phase, for each crop when considered independently and with the combined dataset of the

two crops. Coefficient of determination (R<sup>2</sup>), standard error of the estimate (SEE), number

- of data points in regression (*n*) and equation of the segmented regression are shown.
- 750

Crop	Phenological phase	R <sup>2</sup>	SEE	n	Equation
Autumn	Vegetative	0.04	1.72	40	Yield=0.523-SPAD-11.980
	Reproductive	0.50	1.24	60	Yield=0.523.SPAD-11.980
	Harvest	0.62	1.07	80	Yield=0.277.SPAD-2.977
Spring	Vegetative	0.64	2.06	60	Yield=1.795.SPAD-58.380
	Reproductive	0.84	1.39	60	Yield=0.950.SPAD-31.580
	Harvest	0.74	1.74	60	Yield=0.912.SPAD-28.390
Autumn+Spring	Vegetative	0.17	2.65	100	Yield=0.289·SPAD-2.019
	Reproductive	0.65	1.62	120	Yield=0.936-SPAD-29.430
	Harvest	0.60	1.66	140	Yield=0.546-SPAD-14.110

**Table 5**. Sufficiency values of SPAD measurements for maximum growth and maximum
yield for each individual measurement date of each crop, and for the three phenological
phases for each crop when considered independently and with the combined dataset of
the two crops. DAT is days after transplanting. Averages ± standard error are shown.

Crop	DAT	Sufficiency values for individual days of measurement		Phenological phase	Sufficiency values for phenological phases	
		Growth	Yield		Growth	Yield
Autumn	19 27	44.3 46.5	35.1 41.6	Vegetative	46.2	38.4
	34 41	42.9 44.4	42.2 42.8	Reproductive	46.1	43.5
	48 55	48.7 49.1	48.7 50.1	Harvest	48.6	49.8
	62 69	49.7 48.3	53.1 49.0			
	76 Average	46.7	46.6	Average	47.0+0.0	
	Average	46.7±0.8	45.5±1.8	Average	47.0±0.8	43.9±3.3
Spring	22 29 36	41.3 40.2 46.8	40.9 36.4 41.4	Vegetative	44.4	38.2
	43 50 57	49.3 49.9 46.4	43.4 44.7 43.3	Reproductive	48.9	44.3
	65 71 78	40.6 42.0 46.2	40.4 43.4 42.4	Harvest	41.8	42.2
	Average	44.7±1.3	41.8±0.8	Average	45.0±2.1	41.6±1.8
Autumn+Spring				Vegetative Reproductive Harvest	47.5 46.9 44.9	44.0 42.8 44.9
				Average	46.4±0.8 45.2	43.9±0.6 2±0.7

**Figure 1**. Temporal dynamics of (a,b) the nitrogen nutrition index (NNI), (c,d) cumulative yield, and (e,f,) SPAD measurements during the two cucumber crops subjected to five different N treatments. Patterned boxes on the x-axis indicate the vegetative, reproductive and harvest phases. Arrows on the x-axis mark the date of topping. The dashed horizontal line in panels a) and b) shows a NNI value of 1. Values are means ± standard errors. DAT: days after transplanting.



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Figure 2. Relationship between extractable chlorophyll content and SPAD measurements
 combining two separate sampling days of the Spring crop (56 and 70 DAT). Coefficient of
 determination (R<sup>2</sup>) and equation of quadratic regression are shown.



Figure 3. Relationships of SPAD measurements with crop NNI, for each phenological
phase, for each crop independently (dashed grey lines) and for the combined dataset of
the two crops (solid black lines). The coefficient of determination (R<sup>2</sup>) of the best
regression model is shown. Each data point represents the average of 16 SPAD
measurements performed in each of four replicated plots for each of the five N treatments
in a given day of measurement.



Figure 4. Relationships of SPAD measurements with crop yield, for each phenological phase, for each crop independently (dashed grey lines) and for the combined dataset of the two crops (solid black lines). The coefficient of determination (R<sup>2</sup>) of the segmented regression is shown. Each data point represents the average of 16 SPAD measurements performed in each of four replicated plots for each of the five N treatments in a given day of measurement.

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