

A Note on Extreme Points in Dual Spaces

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Abstract Given a normed space X it can be easily proven that every extreme point in B_{X^*} , the unit ball of X^* , is the restriction of an extreme point in $B_{X^{***}}$. Our purpose is to study when the restrictions of extreme points in $B_{X^{***}}$ are extreme points in B_{X^*} . Namely, we characterize L_1 -preduals satisfying the aforementioned property.

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1 Introduction

In the sequel, X and Y will be real or complex normed spaces. As usual, B_X and E_X will stand for the unit ball of X and the set of extreme points of B_X , respectively. We will denote by $L(X, Y)$ the space of all the linear continuous operators from X into Y and, as usual, $L(X, \mathbb{K})$ will be written in X^* . Elements in $E_{L(X, Y)}$ will be called extreme operators. For any T in $L(X, Y)$, T^* will denote its adjoint. If $x^* \in E_{X^*}$, there is $x^{***} \in E_{X^{***}}$ whose restriction to X coincides with x^* , that is, $x^{***} \circ J_X = x^*$, where J_X is the canonical imbedding from X into X^{**} . Nevertheless, the restriction of an element in $E_{X^{***}}$ does not always belong to E_{X^*} (see Theorem 2.5 and Corollary 2.6).

The aim of this paper is to study conditions on a normed space X ensuring that

$$x^{***} \circ J_X \in E_{X^*}, \quad \text{for all } x^{***} \in E_{X^{***}},$$

that is,

$$(J_X)^*(E_{X^{***}}) \subseteq E_{X^*}.$$

This last property has been introduced by Blumenthal, Lindenstrauss and Phelps in [1] for an operator between normed spaces. We recall it in the following definition.

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Definition 1.1 (Nice operators) *Let X and Y be normed spaces. An operator T in $L(X, Y)$ is said to be nice if $T^*(E_{Y^*}) \subseteq E_{X^*}$.*

It is easy to prove that every nice operator is extreme. Nice operators have been widely studied because they are very useful for studying extreme operators. It is well known that J_X , the canonical imbedding of a normed space X in its bidual, is always an extreme operator in $L(X, X^{**})$ (see [2]). Up to date, only L -spaces ($L_1(\mu)$ for some measure μ) and \mathcal{C} -spaces ($\mathcal{C}(K)$ for some compact Hausdorff space K) have been considered in order to prove that its canonical injection is nice (see [2, Remark following Proposition 3.4]). The main goal of this paper is to characterize Banach spaces with nice canonical imbedding in a well-known class which we introduce below.

Definition 1.2 (L_1 -preduals) *An L_1 -predual is a Banach space X such that X^* is isometrically isomorphic to $L_1(\mu)$ for some measure μ .*

Our results generalize those obtained in [2] for L -spaces and \mathcal{C} -spaces. As a consequence, we will get examples of classical Banach spaces whose canonical imbedding is not nice.

2 Results

The fact that L -spaces have nice canonical injection will be obtained as a consequence that these spaces belong to the class which we introduce below.

Definition 2.1 (L -summands) *Let X be a Banach space. A linear projection $P : X \rightarrow X$ is called an L -projection if it satisfies*

$$\|x\| = \|Px\| + \|x - Px\| \quad \text{for all } x \in X.$$

*The range of an L -projection is called an L -summand. When $J_X(X)$ is an L -summand in X^{**} , we say that X is an L -summand in its bidual.*

L -spaces and preduals of a von Neumann algebra are suggestive general examples of Banach spaces which are L -summands in its bidual (see [3]).

Theorem 2.2 *Let X be a Banach space which is an L -summand in its bidual. Then J_X is a nice operator.*

Proof If X is an L -summand in X^{**} , then there exists a closed subspace Z of X^{**} such that $X^{**} = J_X(X) \oplus_1 Z$. Hence, we have $X^{***} = J_X(X)^\circ \oplus_\infty Z^\circ$, and from here we deduce $E_{X^{***}} = E_{J_X(X)^\circ} + E_{Z^\circ}$, so $J_X^*(E_{X^{***}}) = J_X^*(E_{Z^\circ})$. Now, it is easy to prove that the restriction of J_X^* to Z° is a surjective linear isometry from Z° onto X^* , so $J_X^*(E_{Z^\circ}) = E_{X^*}$ and we conclude that J_X is a nice operator. □

Theorem 2.2 generalizes the result mentioned above about L -spaces, which says that if X is an L -space, then J_X is nice. Moreover, we can use this theorem to obtain the next corollary.

Corollary 2.3 *The canonical imbedding of the predual of a von Neumann algebra is a nice operator.*

The predualization of the concept of L -summand gives us the following definition.

Definition 2.4 (M -ideals) *Let X be a Banach space. A closed subspace Y of X is called an*

M-ideal if Y° is an *L*-summand in X^* . When $J_X(X)$ is an *M*-ideal in X^{**} , we say that X is an *M*-ideal in its bidual.

Again, the main reference is [3]. There are several spaces which are *M*-ideals in its bidual, the compact operators on a Hilbert space being a representative example.

Theorem 2.5 *Let X be a Banach space which is a proper *M*-ideal in its bidual. Then the canonical imbedding is not a nice operator.*

Proof Provided X is an *M*-ideal in X^{**} , we have $X^{***} = J_{X^*}(X^*) \oplus_1 J_X(X)^\circ$ (see [3, Proposition III.1.2]). Then, if we consider $x^{***} \in E_{J_X(X)^\circ} \subseteq E_{X^{***}}$, we obtain $J_X^*(x^{***}) = 0 \notin E_{X^*}$, thus J_X is a non-nice operator. □

Taking into account that $c_0(\Gamma)$ is an *M*-ideal in its bidual [3, Examples III.1.4], we obtain the following result.

Corollary 2.6 *Let Γ be an infinite set and $X = c_0(\Gamma)$. Then the canonical injection J_X is a non-nice operator.*

We introduce now a class of Banach spaces which can be defined by certain properties of intersection of balls (see [4, Theorem 2.2] for details) and which includes *L*-spaces and *C*-spaces [5, Example 6].

Definition 2.7 (Property (*E*)) *Let X be a normed space such that E_X is nonempty. We will say that X satisfies Property (*E*) if $|x^*(x)| = 1$ whenever $x^* \in E_{X^*}$ and $x \in E_X$.*

For Banach spaces with Property (*E*), we give a sufficient condition for getting that the canonical injection is nice.

Proposition 2.8 *Let X be a Banach space which satisfies Property (*E*) and such that $B_X = \overline{\text{co}}(E_X)$. Then J_X is nice.*

Proof By [6, Proposition 2.1], we have $|x^{***}(J_X x)| = |(J_X^* x^{***})(x)| = 1$ whenever $x \in E_X$ and $x^{***} \in E_{X^{***}}$, and so, $|x^{**}(J_X^* x^{***})| = 1$ whenever x^{**} is in $\overline{J_X(E_X)}^{w^*}$ and x^{***} is in $E_{X^{***}}$. Now [2, Lemma 5.1] allows us to get that $|x^{**}(J_X^* x^{***})| = 1$ whenever $x^{**} \in E_{X^{**}}$ and $x^{***} \in E_{X^{***}}$ and we conclude that $J_X^* x^{***} \in E_{X^*}$ for all $x^{***} \in E_{X^{***}}$. □

It suffices to take into account that the unit ball of $L_1(\mu)$ has extreme points if and only if, μ has atoms to see that the known fact that *L*-spaces have nice canonical injection cannot be deduced from the above proposition and that the condition appearing in it is not necessary for getting that J_X is nice. One can ask if Property (*E*) is enough to get nice canonical imbedding. We will see below that this is not the case.

Corollary 2.6 allows us to give an example of a Banach space X having Property (*E*) such that the canonical imbedding J_X is a non-nice operator.

Example 2.9 Let Y be a Banach space with Property (*E*), and let us define $X := Y \oplus_1 c_0$. Then X has Property (*E*) and J_X is a non-nice operator.

We start by showing that X has Property (*E*). As an immediate consequence of the definition of X , we have $X^* = Y^* \oplus_\infty \ell_1$. Let x be in E_X and x^* be in E_{X^*} . Then we deduce that $x \in E_Y$,

and there exist y^* in E_{Y^*} and z^* in E_{ℓ_1} such that $x^* = y^* + z^*$, thus we have

$$|x^*(x)| = |(y^* + z^*)(x)| = |y^*(x)| = 1,$$

because Y has Property (E) .

Now, we see that J_X is a non-nice operator. It is immediate to prove that $J_X = J_Y + J_{c_0}$ and hence $J_X^* = J_Y^* + J_{c_0}^*$. Moreover, we have $X^{***} = Y^{***} \oplus_{\infty} \ell_{\infty}^*$ and

$$E_{X^{***}} = \{y^{***} + z^{***} : y^{***} \in E_{Y^{***}}, z^{***} \in E_{\ell_{\infty}^*}\}.$$

Finally, let y^{***} be in $E_{Y^{***}}$ and z^{***} be in $E_{\ell_{\infty}^*}$ such that $J_{c_0}^* z^{***} \notin E_{\ell_1}$ (J_{c_0} is a non-nice operator). Then, we have $x^{***} = y^{***} + z^{***} \in E_{X^{***}}$, but

$$J_X^* x^{***} = J_Y^* y^{***} + J_{c_0}^* z^{***} \notin E_{X^*}$$

and we conclude that J_X is a non-nice operator.

In Corollary 2.6, we obtain L_1 -preduals whose canonical imbedding is a non-nice operator. We are going to characterize those L_1 -preduals with nice canonical imbedding. In order to prove our result, we will need the following proposition.

Proposition 2.10 *Let X and Y be Banach spaces and $T : X \rightarrow Y$ a linear isometry. Then $E_{X^*} \subseteq T^*(E_{Y^*})$. In particular $E_{X^*} \subseteq J_X^*(E_{X^{***}})$.*

Proof For $x^* \in E_{X^*}$, let us define $K = \{y^* \in B_{Y^*} : T^*(y^*) = x^*\}$. It is easy to see that K is a nonempty w^* -closed face of B_{Y^*} . So there is some extreme point in K which is in E_{Y^*} and this finishes the proof. □

From the above proposition we get a result from which it can be easily deduced [6, Proposition 2.1].

Corollary 2.11 ([2, Proposition 3.5]) *Let X be a Banach space. Then*

$$J_X^*(E_{X^{***}}) \subseteq \overline{E_{X^*}}^{w^*}.$$

Proof Let us denote $K = \overline{E_{X^*}}^{w^*}$ and $Y = \mathcal{C}(K)$. Let us define $T : X \rightarrow Y$ by

$$T(x)(x^*) = x^*(x).$$

Then T is a linear isometry and so T^{**} is a linear isometry from X^{**} into Y^{**} . Proposition 2.10 gives us $E_{X^{***}} \subseteq T^{***}(E_{Y^{***}})$ and from here $J_X^*(E_{X^{***}}) \subseteq (J_X^* \circ T^{***})(E_{Y^{***}})$. Now

$$J_X^* \circ T^{***} = (T^{**} \circ J_X)^* = (J_Y \circ T)^* = T^* \circ J_Y^*$$

and therefore,

$$J_X^*(E_{X^{***}}) \subseteq T^*(J_Y^*(E_{Y^{***}})) \subseteq T^*(E_{Y^*}).$$

Where in the last inclusion we have used that J_Y is nice which is a consequence of [7, Theorem 1.3] together with the fact that Y^{**} is $\mathcal{C}(H)$ for convenient extremally disconnected compact Hausdorff space H (see [8]). Finally, it can be easily checked that $T^*(E_{Y^*}) \subseteq K$. □

Taking into account Proposition 2.10 and Corollary 2.11, we get the following corollary.

Corollary 2.12 *Let X be a Banach space such that $E_{X^{***}}$ is w^* -closed. Then*

$$J_X^*(E_{X^{***}}) = \overline{E_{X^*}}^{w^*}.$$

As a result, J_X is a nice operator if and only if, E_{X^} is w^* -closed.*

To state our main result, we will need a previous definition.

Definition 2.13 *Let K be a compact Hausdorff space and $\Sigma : K \rightarrow K$ a continuous map such that $\Sigma^2 = \text{Id}$, where Id is the identity map, and such that Σ has no fixed points. We will denote $\mathcal{C}_\Sigma(K, \mathbb{R})$ the (real) Banach space of all the continuous maps f in K such that $f\Sigma = -f$ with the uniform norm.*

Theorem 2.14 *Let X be a real or complex L_1 -predual. The following assertions are equivalent:*

- i) *The canonical imbedding J_X is a nice operator.*
- ii) *E_{X^*} is w^* -closed.*

If X is a real space, the above assertions are equivalent to the following:

- iii) *There is a compact Hausdorff space K such that $X = \mathcal{C}_\Sigma(K, \mathbb{R})$.*

Proof To prove the equivalence between i) and ii), we are going to see that X satisfies the hypothesis of Corollary 2.12. If X^* is an L -space, then its dual X^{**} is a $\mathcal{C}(K_0)$ -space (see, for example, [8]), for appropriate compact Hausdorff space K_0 , and hence $X^{***} = \mathcal{C}(K_0)^*$, thus $E_{X^{***}} = E_{\mathcal{C}(K_0)^*}$, which is w^* -closed. The third equivalence in the real case is deduced from the classification of L_1 -preduals made by Lindenstrauss and Wulbert in [9] (see also [10]). \square

Finally, taking into account that the space of real-valued, continuous affine functions defined on a simplex space is always an L_1 -predual, we deduce the following result.

Corollary 2.15 *Let K be a simplex, and $X = A(K, \mathbb{R})$ the space of real-valued continuous affine functions defined on K with the supremum norm. The following are equivalent:*

- i) *J_X is a nice operator.*
- ii) *The extreme points of K are closed.*
- iii) *X is a \mathcal{C} -space.*

Proof i) \Rightarrow ii) By Theorem 2.14, E_{X^*} is w^* -closed, and [11, Theorem 3.2] gives this implication.

ii) \Rightarrow iii) It is an easy consequence of [12, Theorem II.7.5].

iii) \Rightarrow i) This is known. \square

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