How plastic mulches affect the thermal and radiative microclimate in an unheated
 low-cost greenhouse

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# 15 Abstract

16 Suboptimal regimes of air and soil temperature usually occur under unheated 17 greenhouses during winter crop cycles. This work analyses the effects of three soil 18 surface treatments (no plastic mulch, NM; transparent mulch, TM, and black mulch, BM) 19 on the air-soil heat exchanges and the resulting soil and aerial microclimate. 20 Experiments were conducted in unheated greenhouse compartments located in an area 21 of mild winter climate (South-East Spain) during autumn and winter periods. In all 22 treatments, the soil consisted of an artificial layer of 0.10 m gravel-sand material placed 23 above a 0.3 m layer of imported loamy soil. When vents were closed, soil heat flux, 24 ground net radiation and both air and root-zone temperature were higher in BM than 25 in TM, while NM presented intermediate performances between BM and TM. When 26 vents were open, heat storage and soil warming were substantially reduced with respect 27 to unventilated conditions. This reduction was greater in BM, and so the advantages of 28 BM with respect to the other treatments were only marginal under ventilated 29 conditions. The main conclusions were: (i) The combination of black mulch + greenhouse 30 appears to be a simple and low-cost passive heating system that can be recommended 31 for the early stages of crop cycles starting at the end of autumn or in winter, when 32 canopy leaf area index is small and most of the soil surface is free of vegetation; and (ii) 33 ventilation had a negative effect on the benefits of mulching, implying that greenhouse 34 ventilation management should reflect a compromise between maximizing greenhouse 35 heat storage and fulfilling ventilation requirements for suitable crop growth.

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## 37 Abbreviations

- 38 BM: black mulch
- 39 NM: without plastic mulch
- 40 G: conduction heat flux rate in the soil (W  $m^{-2}$ )
- 41  $G_d$ : daily integral of conduction heat flux rate in the soil (MJ m<sup>-2</sup> d<sup>-1</sup>)
- 42  $L_c$ : longwave radiation emitted by the inner greenhouse cover (W m<sup>-2</sup>)
- 43  $L_g$ : longwave radiation emitted by the ground (W m<sup>-2</sup>)
- 44  $L_n$ : net longwave radiation (W m<sup>-2</sup>)
- 45  $R_n$ : net radiation at the ground/soil surface (W m<sup>-2</sup>)
- 46  $R_{nd}$ : daily integral of net radiation at the ground/soil surface (MJ m<sup>-2</sup> d<sup>-1</sup>)
- 47  $S_i$ : incident shortwave radiation (W m<sup>-2</sup>)

- 48  $S_n$ : net shortwave radiation (W m<sup>-2</sup>)
- 49  $S_r$ : reflective shortwave radiation (W m<sup>-2</sup>)
- 50  $T_a$ : air temperature at 0.3 m aboveground (°C)
- 51 *T*<sub>s</sub>: surface temperature (°C)
- 52 TM: transparent mulch
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#### 54 Keywords

55 Air temperature; Black mulch; Gravel-sand layer; Net radiation; Soil heat flux; Soil 56 temperature; Transparent mulch.

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### 58 1. Introduction

59 Plastic greenhouses have become widespread in mild-winter climates and other 60 warm regions of the world (Castilla, 2002), such as the Mediterranean coast of South-61 East Spain, which represents the largest greenhouse area in Europe (Castilla and 62 Hernández, 2005). Most greenhouses of this region are low-cost structures covered with 63 plastic film, without climate control systems and with soil-grown crops (Pérez-Parra et 64 al., 2004). Winter greenhouse microclimate is usually suboptimal for production of 65 vegetable crops with edible fruits (Bartzanas et al., 2005, Montero et al., 1985), with a 66 negative effect on yield and fruit quality (López et al., 2008). Suboptimal air temperatures (nighttime values ranging between 5 and 10 °C) are often associated with 67 68 low soil temperatures. Castilla and Lopez-Galvez (1994) reported soil temperature as 69 low as 13 °C in the typical gravel-sand mulched soil used in the greenhouses of this region. Despite these unfavourable conditions, crop cycles starting in late autumn 70 71 (cucumber) or early winter (melon and watermelon) have been introduced in order to 72 supply the market demand and benefit from higher prices.

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74 Heating systems are not commonly used in these greenhouses because they are 75 not considered economically viable (Bartzanas et al., 2005, López, 2003). An alternative 76 is the use of passive solar heating methods and measures to enhance the greenhouse 77 energy efficiency. In a typical plastic greenhouse with a bare gravel mulched soil, Baille 78 et al. (2006) found that the soil acted as a substantial source of air heating during winter nights (about 20 W m<sup>-2</sup> on average in February). They suggest that simple passive solar 79 80 systems increasing solar heat storage in the soil during the day and releasing the energy 81 during the night could significantly enhance the overall greenhouse efficiency, especially 82 in areas that receive a significant input of solar radiation in winter.

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84 Among the passive systems, mulching could be of interest for improving the 85 air/soil thermal regime during the early stages of crop cycles starting in winter, such as 86 melon and watermelon, when the leaf area index is small and most of the soil surface is 87 free of vegetation. As greenhouses are semi-closed systems in which multiple feedback 88 loops exist between soil, air, vegetation and cover flux and state variables (Aubinet et 89 al., 1989), the presence of a mulch can substantially modify the behaviour of the whole 90 greenhouse system. In particular, the optical properties of the mulch and the degree of 91 contact between it and the underlying soil modify the partitioning of the available net 92 energy at the air-mulch and mulch-soil interfaces, with direct consequences on the heat 93 transfer processes – radiation, convection and conduction – at these interfaces. 94 Moreover, the mulch acts as a barrier to evaporation (Liakatas et al., 1986), enhancing the predominance of sensible heat exchange and influencing the dynamics of the soil
temperature and humidity, and the amount of heat stored in or released from the upper
layer of the soil (Berninger, 1989).

- 99 Most studies have focussed on plastic mulching for greenhouse soil solarization 100 in summer (Stapleton, 2000, Streck et al., 1996). Transparent plastics are usually 101 considered to be more effective for soil heating, especially for soil solarization 102 (Stapleton, 2000, Streck et al., 1996), but black mulches may increase soil temperature 103 more than clear ones in some cases when plastic mulches are closely in contact with the 104 soil enhancing the heat conduction at the mulch-soil interface (Ham and Kluitenberg, 105 1994, Ham et al., 1993). Black mulch can be also used for weed control, a practice 106 adopted by some local growers in southeast Spain. In the case of autumn/winter crops, 107 an interesting characteristic of plastic mulching is that it might increase the soil heat 108 storage and the soil temperature during the period prior to planting (i.e. from November 109 to January), as well as immediately after planting when plants cover only a small fraction 110 of the ground and do not affect the soil energy balance and storage rate to a large 111 extent. Such a "heat-storage" treatment might provide the greenhouse with (i) a surplus 112 of energy that could be used to heat the greenhouse during the coldest period (January-113 February); and (ii) a higher soil temperature than that prevailing under non-mulched 114 soils, with positive effects on root temperature and crop performance during the early 115 stages of growth. However, there is only scant information on how optical mulch 116 properties could affect the greenhouse thermal behaviour under winter conditions. 117 Obviously, highly reflective mulches are not suitable for increasing soil heat storage and 118 root zone temperature, as reported by Lorenzo et al. (2005), who concluded that white 119 plastic mulching was inadequate for soilless crops in winter because it lowers air and 120 substrate temperature.
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122 This work investigates how the two main standard plastic mulches (transparent 123 and black) alter the greenhouse soil temperature at different depths and the rate of heat storage/release with respect to a non-mulched soil. A primary objective was to assess 124 125 the potential of such materials to capture, store and retain the available energy 126 incoming at the surface (i.e. net radiation) in the medium term (week). A secondary 127 objective was to analyse the short-term (24 h) dynamics of the energy exchanges 128 between the surface and the air, so as to assess the magnitude of the day-to-night heat 129 exchanges and the heating capacity of the soil during the night, when air heating is 130 deemed necessary.

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## 132 **2. Materials and methods**

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## 134 **2.1. Greenhouse and experiments**

135 Experiments were conducted in а three-span of greenhouse 136 630 m<sup>2</sup> (22.5 m × 28 m), oriented east–west and covered with a three-layer thermal 137 polyethylene film (200 µm thickness), located at the "Cajamar Foundation" research 138 station (2°43'W; 36°48'E; 155 m.a.s.l.) on the Almería coast in southeast Spain. The 139 greenhouse was arch-roofed, 4.5 m high to the eaves and 3.0 m to the ridge, and had 140 one roof vent per span and a sidewall rolling vent in the southern and northern sides.

141 Vents were covered with insect-proof screens (28 × 13 threads per cm; thread diameter142 0.19 mm; porosity 32%) and they were managed manually.

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144 The plastic film covering the greenhouse, installed in January 2008, had a 145 transmissivity of 89% to shortwave radiation and 25% to longwave radiation 146 (manufacturer's data). The transparent mulch was a three-layer anti-fog plastic film of 147 35 µm thickness (Sotrafilm NT, Sotrafa SA, Almería, Spain) with a shortwave 148 transmissivity, reflectivity and absorptivity of 0.85, 0.10 and 0.05, respectively, and a 149 longwave transmissivity of 0.74. The black mulch was a three-layer plastic film of 30 μm 150 thickness (Sotrafilm NG, Sotrafa SA, Almería, Spain) with a shortwave transmissivity of 151 0.01, a reflectivity of 0.04 and an absorptivity of 0.95, and a longwave transmissivity of 152 0.15.

The greenhouse was divided in two equal compartments of 22.5 m × 10 m (A and B) in order to minimise climate differences between compartments due to the outside surrounding environment. A 4 m wide buffer zone was maintained between the two compartments, while a 2 m buffer zone was established at each end of the greenhouse (Fig. 1). The compartments were isolated by means of a north–south wall made of a three-layer thermal polyethylene plastic film.

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160 The soil consisted of the naturally occurring, gravel sandy-loamy soil covered 161 with a 0.3 m layer of imported loamy soil, a 0.02 m layer of dried farmyard manure, and 162 finally a 0.1 m mulch layer of fine gravel (69%) plus very coarse (29%) and coarse (2%) 163 sand particles. With time and use, the dried farmyard manure layer was practically 164 mineralised and disappeared. This soil, known as "enarenado", is widely used in this 165 region (Wittwer and Castilla, 1995). The top gravel-sand mulch layer presented a total porosity of 0.44, a bulk density of 1.42 g cm<sup>-3</sup> and a real density of 2.53 g cm<sup>-3</sup>. During 166 167 the experimental period (from 4 November 2008 to 11 January 2009) the greenhouse 168 remained without crop and the soil was maintained dry. These conditions are 169 representative of the period before and just after crop planting when plantlets have a 170 very low leaf area index and the soil area wetted by drip emitters is small.

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172 Two experiments were carried out, each comparing two treatments, one per 173 greenhouse compartment. The first experiment, conducted from 4 November to 9 174 December 2008, compared a transparent plastic mulch (TM, compartment A) with a 175 black one (BM, compartment B). Roof and sidewall vents remained closed from the 176 beginning of the experiment until 16 November, when they were open during most of 177 the daytime (from 9:00 h to 18:00 h). The second experiment, conducted from 10 178 December 2008 to 11 January 2009, compared the soil covered with the black mulch 179 (BM, compartment B) to the non-mulched soil (NM, compartment A). Greenhouse vents 180 remained closed from the beginning of the experiment until 29 December, after which 181 they were open during most of the daytime. In both experiments plastic mulches 182 covered the whole surface of each compartment and were manually stretched and 183 installed in the closest possible contact with the soil fixing the plastic borders with 184 staples.

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188 **2.2. Measurements** 

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190 The main microclimate variables of soil, mulch, inside and outside air, and 191 greenhouse cover were measured in the two compartments. The sensors were located 192 in the middle of each greenhouse compartment (Fig. 1).

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194 Soil temperature was measured with Pt-100 reference thermistors (T107, 195 Campbell Scientific Ltd., Delft, The Netherlands) and conduction heat flux was measured 196 with heat flux plates (HFP01, Campbell, Scientific, Delft, The Netherlands). The sensors 197 were buried in the upper (0.01 m depth), middle (0.05 m) and lower (0.1 m) parts of the 198 top gravel-sand layer, in the middle of the imported soil layer (0.25 m depth) and in the 199 upper part of the original soil (0.45 m depth). By convention, the direction of each 200 energy flux term was considered positive towards the surface, and negative away from 201 the surface (e.g. the soil heat flux was negative when heat was transferred from the soil 202 surface to the greenhouse atmosphere, and vice versa).

Dry and wet bulb temperatures were measured inside the greenhouse at heights of 0.3 m and 4.0 m aboveground with ventilated psychrometers (mod. 1.1130, Thies Clima, Göttingen, Germany), and in an automatic meteorological station (AWOS 7770, Thies Clima, Göttingen, Germany), mounted at 1.5 m height under open field conditions on bare land 100 m away from the experimental greenhouse. The temperature of the upper and lower surfaces of the plastic mulches was measured with contact thermocouples (type T, copper-constantan, RS Amidata, Madrid).

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212 Net radiation at the ground/mulch surface was determined by means of a set of 213 radiation sensors (CNR1, Kipp&Zonen, Delft, The Netherlands) located 0.3 m above the 214 ground in each compartment. Shortwave and longwave net radiation components were 215 determined separately. Incident  $(S_i)$  and reflected  $(S_r)$  shortwave radiation were 216 measured by means of a pair of pyranometers, one in inverted position. Net shortwave 217 radiation  $(S_n)$  was obtained from the difference between incident and reflected solar 218 radiation. Net longwave radiation at the ground surface (L<sub>n</sub>) was obtained as the 219 difference between the radiation emitted by the ground  $(L_g)$  and that emitted by the 220 inner cover  $(L_c)$ . Net radiation at the ground/mulch surface  $(R_n)$  was calculated as the 221 sum of net shortwave and longwave components.

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All sensors were sampled at 2-s intervals, averaged every 5 min and registered by several data logging devices (mod. CR10X, CR1000 and CR3000, Campbell Scientific Ltd., Leicestershire, UK).

- 226
- 227 **3. Results**

## 228 **3.1. Surface radiative balance**

229 <u>3.1.1. BM vs. TM</u> 230

Solar radiation incident on the ground  $(S_i)$  was very similar in the BM and TM treatments, whereas reflected solar radiation  $(S_r)$  differed substantially. The mean daily albedo  $(S_r/S_i)$  of TM (0.34 ± 0.02) was much higher than that of BM (0.08 ± 0.01), leading to daily values of net shortwave radiation  $(S_n)$  that were *ca*. 30% higher for BM than for TM (Fig. 2a). A close linear relationship was found between the daily means of  $S_n$  in the two treatments:  $S_{n,TM} = 0.70S_{n,BM}$  (n = 23;  $R^2 = 0.92$ ). This relationship was not affected by opening the vents.

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239 Longwave radiative loss ( $L_n$ ) during daytime reached about 100 W m<sup>-2</sup> at the BM 240 surface, which is clearly higher than that measured at the TM surface (Fig. 2a). The 241 opposite trend was found for the nighttime period, when L<sub>n</sub> values were slightly less 242 negative for BM than for TM. The latter can be due to the higher longwave transmissivity 243 of TM, compared to BM, as nighttime soil temperatures were greater in the upper part 244 of the gravel-sand layer than in the plastic mulches. A close linear relationship was found 245 between the daily means of  $L_n$  in both treatments:  $L_{n,BM} = 1.18 L_{n,TM}$  (n = 23;  $R^2 = 0.80$ ), 246 indicating that the longwave radiative loss was about 15-20% higher for BM than for 247 TM on a 24 h scale, irrespective of whether the vents were open or closed.

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The balance between radiation inputs  $(S_n)$  and outputs  $(L_n)$  indicates that BM received higher net radiation  $(R_n = S_n + L_n)$  than TM (Fig. 2b). The daily integral of  $R_n$   $(R_{nd})$ expressed in MJ m<sup>-2</sup> d<sup>-1</sup> (Fig. 3a) was on average approximately 1 MJ m<sup>-2</sup> d<sup>-1</sup> higher at the BM surface than at the TM surface, the two integrals being closely correlated through the linear regression (Fig. 3a):  $R_{nd,BM} = 1.10R_{nd,TM} + 0.96$  (n = 23;  $R^2 = 0.91$ ). Higher  $R_{nd}$  in the BM-treatment was due to the lower albedo of this material with respect to TM.

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257 Opening the vents had a substantial effect on the absolute values of  $R_{nd}$  in both 258 BM and TM compartments (Fig. 3a). R<sub>nd</sub> was higher with open than with closed vents in 259 both compartments. When vents were open, they produced more air movement inside 260 the greenhouse, enhancing the sensible convective heat exchange from the warm 261 plastic surface to the air, decreasing the plastic surface temperature and increasing net 262 radiation at the mulch surface. However, the latter increase was not beneficial to the air 263 temperature close to the surface, nor to the energy storage by the soil (Sections 3.2 264 Surface and air temperature, 3.3 Soil heat flux), which appeared to depend more on the 265 temperature than on the net radiation at the surface.

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- 267 <u>3.1.2. BM vs. NM</u>
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269 The soil without plastic mulch (NM) had an albedo value  $(0.33 \pm 0.03)$  close to 270 that measured for TM, providing similar values of S<sub>n</sub> to those observed for TM. Net 271 longwave radiation at the surface of NM was lower than that of BM and the values were 272  $(L_{n,BM} = 1.14L_{n,NM}; n = 33; R^2 = 0.99),$ closely correlated as were those 273 of  $L_{n,BM}$  and  $L_{n,TM}$  (see Section 3.1.1). As a result, in the second experiment  $R_{nd,BM}$  was 274 higher approximately 20% in absolute values than *R*<sub>nd,NM</sub> (Fig. 275 3b;  $R_{nd,BM} = 1.18R_{nd,NM} + 0.27$ ; n = 33;  $R^2 = 0.84$ ), indicating that, on a daily scale, the 276 radiative balance of NM was closer to that of TM than to that of BM.

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- 278 **3.2. Surface and air temperature**

279 <u>3.2.1. Vents closed</u>

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281 When greenhouse vents were closed, the surface temperature of plastic mulch 282 ( $T_s$ ) was clearly higher for BM than for TM during daytime (Fig. 4a), up to 14 °C near 283 midday. At night,  $T_s$  was slightly higher in the BM treatment (about 0.5 °C). On a daily 284 scale, the mean difference in surface temperature between BM ( $T_{s,BM}$ ) and TM ( $T_{s,TM}$ ) 285 was 3.7 °C. A similar trend was observed for the  $T_s$  difference between BM and NM.

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The air temperature at 0.3 m above the ground ( $T_a$ ), the most representative when considering low-height vegetable crops or tall crops at their early stages, was clearly higher in the BM than in the TM compartment during daytime (Fig. 4b). The difference in  $T_a$  between the two treatments peaked near noon (about 7 °C) while it was slightly negative during the night (about –0.5 °C), and it presented a close similarity to the evolution of the difference in surface temperature,  $T_s$  (Fig. 4a). On a daily scale, the mean gain in  $T_a$  induced by BM was 1.4 °C.

In the second experiment the difference in  $T_a$  between BM and NM presented a similar evolution (Fig. 4c) to that observed between BM and TM (Fig. 4b), with a mean daily air temperature gain of 1.0 °C in BM.

298 <u>3.2.2. Vents open</u>

299 When vents were opened during daytime, the surface temperature of the two 300 mulches decreased by a similar amount and the daily evolution of difference 301 in  $T_s$  between BM and TM was similar to that observed for closed vents (Fig. 4a). 302 However, T<sub>a</sub> decreased more with BM than with TM during daytime, resulting in 303 substantially smaller differences in  $T_a$  between the BM and TM compartments than 304 those observed with closed vents (Fig. 4b). On a daily scale, the mean  $T_a$  at 0.3 m was 305 similar for both compartments, indicating that BM did not induce a significant gain in air 306 temperature with respect to TM when vents were open. A similar behaviour was 307 observed when the air temperature of BM and NM was compared (Fig. 4c), with the 308 difference that BM induced a mean daily air temperature gain of 0.8 °C when vents were 309 open.

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- 311 3.3. Soil heat flux
- 312 <u>3.3.1. BM vs. TM</u>
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314 The conduction heat flux rate at 0.01 m depth in the superficial gravel-sand layer 315 (G) was more positive (heat storage) during daytime and less negative (heat release) 316 during the night with BM than with TM (Fig. 5a). Maximum instantaneous values (15-317 min average) of ca. 150 W m<sup>-2</sup> were observed for G near midday with BM, whereas these values were approximately 20 W m<sup>-2</sup> lower with TM. The release rate during the 318 night ranged between -55 and -40 W m<sup>-2</sup> for TM, while for BM the values were 319 320 approximately 10 W m<sup>-2</sup> lower in absolute values. The soil heat flux evolution at 0.05 321 and 0.25 m depth presented the characteristic time-lag with respect to the uppermost 322 layer (Fig. 5a). A close relationship between the daily integral of  $G(G_d)$  of the two 323 treatments was found when pooling data of closed and open vents (Fig. 5b):  $G_{d,BM} = 1.37G_{d,TM} + 0.98$  (*n* = 22;  $R^2 = 0.80$ ). The mean daily gain in heat storage 324 induced by BM with respect to TM was 0.66 MJ  $m^{-2} d^{-1}$  for the measurement period, 325 326 but it was higher in the period with closed vents 327  $(0.81 \text{ MJ m}^{-2} \text{ d}^{-1}; G_{d,BM} = 1.65G_{d,TM} + 0.87; n = 13; R^2 = 0.87)$  than in the period with open ones (0.45 MJ m<sup>-2</sup> d<sup>-1</sup>;  $G_{d,BM} = 0.79G_{d,TM} + 0.66$ ; n = 9;  $R^2 = 0.75$ ). 328

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- 330 <u>3.3.2. BM vs. NM</u>
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332 With regard to soil heat storage, BM performed slightly better than NM. G at 333 0.01 m depth was more positive (heat storage) during daytime and less negative (heat 334 release) during the night with BM than with NM (Fig. 6a), but the differences were 335 smaller than those observed for the BM vs. TM comparison (Fig. 5a). A close relationship 336 between the daily G integrals at 0.01 m in BM and NM was also found (Fig. 337 6b):  $G_{d,BM} = 1.06G_{d,NM} + 0.12$  (*n* = 32;  $R^2 = 0.86$ ). The mean daily loss of heat storage in BM was 0.15 MJ m<sup>-2</sup> d<sup>-1</sup> lower than in NM for the period without ventilation, but similar 338 339 for the period with ventilation.

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341 The daily integrals of G at 0.01 m depth ( $G_d$ ) and of  $R_n$  at the mulch surface ( $R_{nd}$ ) were represented for the BM and TM treatments (Fig. 7) and data were pooled into two 342 clusters: days with closed vents and days with open vents. With the exception of some 343 344 outliers (4 paired values), two distinct clusters of points clearly emerged (Fig. 7), 345 highlighting the substantial effect of ventilation on the  $G_d$ - $R_{nd}$  relationship in 346 greenhouses with plastic mulches. When data of  $G_d$  and  $R_{n,d}$  for BM and NM were 347 compared, the effects of ventilation were not so clear because the differences 348 in  $G_d$  between the two treatments were small, as reported above.

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#### 3.5. Soil temperature

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The analysis was restricted to the upper gravel-sand layer (0–0.1 m depth), as 353 the soil surface temperature affects the air and soil energy exchanges, and the imported 354 soil layer (at 0.25 m depth), as this layer concentrates most roots, and water and 355 nutrient uptake by protected fruit vegetable crops (Orgaz et al., 2005). 356

#### 3.5.1. BM vs. TM

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> 359 The mean temperature  $(T_{s1})$  in the top gravel-sand layer (averaged over 0.01, 360 0.05 and 0.1 m depth) was higher throughout the day with BM than with TM (Fig. 8a), 361 except for the morning hours when slightly faster soil heating appeared to occur in the 362 TM compartment, which can be observed for the upper part (0.01 m depth) of top 363 gravel-sand layer in Fig. 9. The mean daily  $T_{s1}$  in the BM and TM compartments at the 364 beginning of the experiment was 22.1 °C and 21.9 °C, respectively, as compared to 25.4 °C and 23.9 °C at the end of the period with closed vents, and 22.6 °C and 21.9 °C 365 366 at the end of the experimental period. That is, the mean daily T<sub>s1</sub> increase was 1.3 °C 367 greater with BM than with TM at the end of period without ventilation, and 0.5 °C 368 greater at the end of the experiment. The temperature in the middle of the soil layer 369  $(T_{s2})$  at 0.25 m depth also increased more and was higher with BM than with TM, with a 370 mean daily difference of about 1.0 °C at the end of the period without ventilation (Fig. 371 8a). A similar response was observed in the upper part of the original soil layer, at 0.45 m 372 depth. 373

374 <u>3.5.2. BM vs. NM</u>

376  $T_{s1}$  was higher in the compartment with BM than with NM during daytime 377 (except for a short period after midday) and especially during the night, with maximum 378 differences of about 3 °C (Fig. 8b). The mean daily T<sub>s1</sub> in BM and NM, respectively, were 379 19.4 °C and 18.5 °C at the beginning of the treatment, as compared to 19.3 °C and 380 17.6 °C at the end of the period without ventilation, and 16.1 °C and 13.6 °C at the end 381 of the experiment. Therefore, the mean daily T<sub>s1</sub> decrease in this layer was 0.7 °C greater 382 in NM than in BM at the end of period without ventilation, and 1.6 °C greater at the end 383 of the experiment.  $T_{s2}$  also increased faster and was higher with BM than with NM (Fig. 384 8b): the temperature decrease in this layer was 1.6 °C greater with NM than with BM. A 385 similar response was observed in the upper part of the original soil, at 0.45 m depth. 386

387 Overall these soil temperature patterns were in line with the daily heat storage 388 values ( $G_d$ ) observed in BM with respect to TM and NM (Section 3.4).

### **4. Discussion**

#### 4.1. The role of soil–mulch contact in driving soil heat storage

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393 In our experimental conditions (late autumn and early winter period in an 394 unheated plastic greenhouse in a Mediterranean climate) an opaque black mulch (BM) 395 performed better than a transparent one (TM) when considering both the air and soil 396 temperature regimes within the space occupied by low-height or young vegetable crops 397 (0-0.3 m aboveground for the shoot and 0-0.4 m below ground for the root-zone). 398 Similar results were obtained by Ham et al. (1993) in open-field for a fine sandy loam 399 soil in summer. However, other studies dealing with the influence of the type of 400 mulching material in open field crops showed the opposite: a better soil/air 401 microclimate with transparent mulches. The latter was ascribed to the higher net 402 radiation at the soil surface and the higher soil heat flux with a transparent mulch than 403 with an opaque one (Liakatas et al., 1986, Rosenberg, 1974). That is why transparent 404 mulch is generally recommended in order to reach higher soil temperatures, especially 405 for soil solarization, including greenhouse soils (Stapleton, 2000). Ham and Kluitenberg 406 (1994) simulated temperature and heat flux in soils under different plastic mulching and 407 explained the contradictory results of transparent and black mulches. The heating 408 capability of the black mulch is greater as the degree of *physical contact* between mulch 409 and soil surfaces increases. A black mulch, stretched tightly on the soil surface, absorbs 410 most of the shortwave radiation, heats up and transfers energy to the soil more 411 efficiently, mostly by conduction, than a loose-contact film. The opposite occurs with 412 the transparent mulch: the soil absorbs most of the shortwave radiation, and the larger 413 the air gap between mulch and soil surfaces, the more effectively the soil is insulated 414 from conduction/convection heat loss. Moreover, the heating capability of transparent 415 mulch increases in wet soils when water condenses as droplets on its inner surface, 416 reducing the mulch transmissivity to longwave radiation and increasing its emissivity, 417 while its transmissivity to shortwave radiation is almost unaffected (Streck et al., 1996). 418

419 In our study, the net radiation integral at the mulch surface  $(R_{nd})$  was higher 420 ( $\approx 1$  MJ m<sup>-2</sup> d<sup>-1</sup>) in the greenhouse compartment with BM than with TM (Fig. 2a),

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421 especially during the daytime. This was mainly due to the lower albedo of the BM 422 surface. The conduction heat flux at the surface (0.01 m depth) of the upper gravel-sand 423 layer was also higher with BM (Fig. 5a and b), with an average net daily gain of 424 0.66 MJ m<sup>-2</sup> d<sup>-1</sup> with respect to TM. It could be concluded that our mulch materials were 425 installed in close contact with the underlying soil, a necessary condition to maximize the 426 soil heat storage during daytime, especially for the black mulch material. Another factor 427 that can contribute to the better thermal behaviour of BM in greenhouses is the very 428 low inside air speed prevailing in greenhouses (Fernández et al., 2010), compared to 429 outdoors. In such conditions the convective heat exchange between the plastic mulch 430 and the air is small, and leads to a substantially higher increase in mulch surface 431 temperature than that observed outdoors. This behaviour is expected to be enhanced 432 by the use of plastic films with high emissivity, such as BM. It can also explain the smaller 433 soil temperature differences observed between the BM and TM compartments when 434 vents were open (Fig. 8a).

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#### 4.2. Black mulch improves the aerial and soil microclimate

438 BM increased soil and air temperature significantly more than TM. When 439 greenhouse vents were closed, mean daily air temperature at 0.3 m was 1.4 °C higher in 440 the BM compartment, with maximum differences of about 7 °C around midday (Fig. 4b). 441 In terms of temperature integral, the black mulch provides a surplus of ca. 85 °C day 442 with respect to the transparent mulch over a 2-month cropping period. These results 443 were to be expected, as it is well known that a black surface is the most appropriate to 444 increase the efficiency of air solar collectors (Duffie and Beckman, 1974), especially in 445 greenhouses (Boulard and Baille, 1987). However, a slightly lower air temperature was 446 found in the compartment with BM during the night. Despite the air nighttime 447 temperature differences were very small, the possible agronomical effects, if any, have 448 to be further evaluated in cultivated greenhouse experiments. The use of floating row 449 covers in the early stages of crop cycles starting in early winter, a normal practice in the 450 area, should be simultaneously considered. BM also induced a higher soil temperature 451 regime than TM. This positive influence of BM was especially marked in the top 0-0.1 m 452 layer and to a lesser degree in the deeper soil layers (Fig. 8a and b) where most fruit 453 vegetable roots usually grow (Orgaz et al., 1995). This was a logical consequence of the 454 differences observed in the daily integral of soil heat storage ( $G_d$ ) mentioned above 455 (Section 4.1).

#### 456 457

## 4.3. Ventilation has a negative effect on soil heat storage efficiency

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459 An original finding of this study is that the enhancement of soil heat storage 460 induced by BM with respect to TM was substantially reduced when the greenhouse was 461 ventilated during daytime. The analysis of the relationship between G<sub>d</sub> and R<sub>nd</sub> for BM 462 and TM, distinguishing between days with and without ventilation, revealed the 463 substantial effect of greenhouse ventilation on the parameters of this relationship (Fig. 464 7). Although  $R_{nd}$  was higher in both BM and TM when vents were open,  $G_d$  was 465 consistently higher when vents were closed. Therefore, it seems recommendable to 466 minimize the ventilation periods when the main aim is to maximize greenhouse soil heat 467 storage. This is feasible in greenhouses that are void of crops (pre-planting period),  $\begin{array}{ll} \mbox{468} & \mbox{which can be kept closed throughout the whole day. During the cropping period, some} \\ \mbox{469} & \mbox{ventilation should be provided to ensure CO}_2 \mbox{ and humidity control, and therefore the} \\ \mbox{470} & \mbox{soil heat storage efficiency might be lower in post-planting than in pre-planting periods.} \end{array}$ 

In conclusion, the combined effect of BM + greenhouse significantly improved the greenhouse microclimate and heat storage capacity of the system with respect to the combination of TM + greenhouse. Installing BM inside the greenhouse appears to be of interest for the early stages of fruit vegetable crop cycles starting at the end of autumn and in winter, when crop leaf area index is small and most of the soil is uncovered.

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#### 478 **4.4. Comparison of BM and NM**

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480 The second experiment, comparing BM with the non-mulch soil (NM), showed 481 that BM provided the same advantages over NM as those found in the comparison 482 between BM and TM, but quantitatively the differences were not so marked. The mean 483 daily temperature in the top gravel-sand layer and in the deeper soil layers was clearly 484 higher with BM than with NM, especially when greenhouse vents were closed (Fig. 485 8b).  $R_{nd}$  was higher (0.5 MJ m<sup>-2</sup> d<sup>-1</sup>) with BM (Fig. 3b) particularly during daytime, as was  $G_d$ , especially when vents were closed (Fig. 6a and b). BM also increased the 486 487 greenhouse air temperature at 0.3 m for most of the daytime compared with NM (Fig. 488 4c), but the daytime temperature gain was smaller than that measured in the BM and 489 TM comparison. During the night the greenhouse air temperatures were similar for the 490 BM and NM compartments (Fig. 4c).

491

492 Overall, regarding the thermal microclimate and soil heat storage capacity, the
493 0.1 m gravel-sand top layer currently used by growers in unheated greenhouses of
494 Southern Spain (NM) presented an intermediate performance between BM and TM.

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## 496 **4.5. Additional agronomic considerations**

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498 The improved air/soil temperature regimes in passive greenhouses equipped 499 with black mulching during the coldest period of the cropping season could be beneficial 500 for vegetable production regarding crop processes related to plant growth, 501 development and early yield, or to prevent weed proliferation. One shortcoming could 502 be the low albedo, compared to that of greenhouses with transparent or without plastic 503 mulch, which might result in a lower availability and interception of photosynthetically 504 active radiation (PAR) by the plants. The higher reflection of PAR, expected in 505 greenhouses without mulch or with transparent mulch, might compensate for their 506 lower soil and air greenhouse temperatures. A previous work carried out on a cucumber 507 crop in the same region and type of greenhouse but using a highly reflective white plastic 508 mulch (Lorenzo et al., 2005) concluded, however, that the increase in intercepted PAR 509 by the cucumber plants did not compensate for the reduction in air/soil temperature 510 with respect to a non-mulched soil, and that yield was lower in the mulched crop than 511 in the non-mulched one. The latter result notwithstanding, a comprehensive study on 512 the respective advantages of opaque non-reflective mulch vs. highly reflective mulch 513 would provide more information and criteria for selecting the greenhouse mulch with 514 the most appropriate optical properties.

#### **4.6.** Conclusions 515

516

517 Our study demonstrated that a black mulch installed in close contact with the 518 soil in an unheated greenhouse substantially increased energy recovery and soil heat 519 storage efficiency, and improved soil and surrounding air temperatures during a cold 520 period (late autumn and early winter) with respect to a transparent one or a non-521 mulched soil. The combination black mulch + greenhouse appears to be a simple and 522 low-cost passive heating system that can be recommended for the early stages of crop 523 cycles starting at the end of autumn or in winter, such as cucumber, melon and 524 watermelon, when the canopy leaf area index is small and most of the soil surface is free 525 of vegetation. Other relevant findings of the study were:

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- 527 528
- (i) The energy efficiency and improvement of the thermal microclimate induced by BM with respect to both TM and NM was negatively affected when 529 greenhouse ventilation was operating during the day. This implies that 530 greenhouse ventilation management should reflect a compromise between 531 maximizing greenhouse heat storage and fulfilling ventilation requirements for 532 suitable crop growth.
- 533 • (ii) The 0.10 m gravel-sand layer currently used by growers in unheated greenhouses of Southern Spain appears to play a positive role regarding the 534 535 thermal microclimate and the day-night soil heat storage cycle, presenting an 536 intermediate performance between the BM and the TM.
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539

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Fig. 1. Experimental greenhouse divided in two identical compartments. Small squares represent the area where microclimate measurements were carried out.



Fig. 2. Daily (24 h) evolution of (a) net shortwave,  $S_n$  and net longwave,  $L_n$ , radiation, and (b) net radiation,  $R_n$ , at the mulch surface of a greenhouse with black (BM) vs. transparent mulch (TM) on a representative sunny day (8 Nov. 2008) with closed vents.



Fig. 3. Linear relationship between daily integrals of net radiation at the black mulch  $(R_{nd,BM})$  (a) vs. the transparent mulch  $(R_{nd,TM})$ ; and (b) vs. no plastic mulch conditions  $(R_{nd,NM})$ .



Fig. 4. Daily evolution of the temperature difference between: (a) the surface of BM ( $T_{s,BM}$ ) and TM ( $T_{s,TM}$ ), and (b) the air 0.3 m above the ground surface of BM ( $T_{a,BM}$ ) and TM ( $T_{a,TM}$ ) compartments on representative sunny days with closed (12 Nov. 2008) and open vents (18 Nov. 2008); (c) between the air 0.3 m above the ground surface of BM ( $T_{a,BM}$ ) and NM ( $T_{a,NM}$ ) compartments on representative sunny days with closed (18 Dec. 2008) and open vents (30 Dec. 2008).



Fig. 5. (a) Daily evolution of the conduction heat flux rate at different soil depths in the BM and TM compartments on a representative sunny day with closed vents (8 Nov. 2008). (b) Linear relationship between daily integrals of conduction heat flux rate at 0.01 m depth in the BM ( $G_{d,BM}$ ) and the TM ( $G_{d,TM}$ ) for the whole experimental period.



Fig. 6. (a) Daily evolution of the conduction heat flux rate at different soil depths in the BM and NM compartments on a representative sunny day with closed vents (18 Dec. 2008). (b) Linear relationship between daily integrals of conduction heat flux rate at 0.01 m depth in the BM ( $G_{d,BM}$ ) and the NM ( $G_{d,NM}$ ) for the whole experimental period.



Fig. 7. Relationship between daily integrals of soil heat flux rate at 0.01 m depth ( $G_d$ ) and net radiation at the mulch surface ( $R_{nd}$ ) in the greenhouse compartment with black (BM) and transparent (TM) mulches. Data are pooled into two clusters: Black symbols: days with closed vents; blank symbols: open vents. Square: BM compartment; triangle: TM compartment.



Fig. 8. Time course of the temperature difference in the gravel-sand layer (averaged over 0.01, 0.05 and 0.1 m depth) and in the middle of the soil layer (at 0.25 m depth) between: (a) BM and TM; (b) BM and NM.



Fig. 9. Time course of the soil temperature in the upper part of the gravel-sand layer (at 0.01 m depth) during a day with closed (8/11/2008) and open (18/11/2008) vents in the greenhouse compartments with BM and TM.