1	Unravelling plant protection product analysis: use of chromatography			
2	techniques (GC and LC) and high resolution mass spectrometry			
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Abstract

This study proposes a methodology for the characterization of plant protection products (PPPs) based on suspect and unknown analyses. This was divided in three main stages: sample preparation, separation and detection, and data analysis. Sample preparation was based on dilute and shoot strategies employing different solvents depending on both the type of compounds and the type of PPPs to be analyzed. Chromatographic techniques, as liquid (LC) and gas chromatography (GC), coupled with high resolution mass spectrometry (HRMS) analyzers are used for the separation and detection stage. HRMS allowed a huge number of possibilities in terms of data acquisition, and this work reveals the most suitable options and the principal parameters to maximize the number of features and to perform an accurate detection. Finally, tips and recommendations to perform data analysis are indicated, providing the pre-processing and processing strategies to perform suspect screening and unknown analysis.

Keywords: Chromatographic techniques, high resolution mass spectrometry, plant protection products, additives, methodology, suspect screening, unknown analysis

1. Introduction

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The use of plant protection products (PPPs) in agriculture has been one of the most 58 important factors leading to increased yields [1]. The use of PPPs is still growing, 59 reaching an estimated market value of nearly \$130.7 billion by 2023 (from \$84.5 billion 60 in 2019) [2]. The overall cost of discovery and development of new PPPs has increased 61 by 88% during the last twenty years. It should be noted that green chemistry issues have 62 become increasingly important during the last years, due to the rise in environmental 63 64 safety data required by regulatory bodies [3]. 65 PPPs are established by Regulation (EU) No 1107/2009 [4], which sets that they are composed of the active substance (pesticide), that has the property of protecting the 66 plants, killing or attacking pests, improving the conservation, destroying the unintended 67 parts, or controlling the unwanted growth, and of other compounds called impurities 68 and additives (Figure 1). Impurities are any other component, including all the isomers 69 not being part of the active substance definition, which are present in the PPPs 70 originated from the manufacturing process or from degradation during storage. 71 72 Additives are intentionally added to the PPPs to enhance their characteristics, and they 73 can be classified as safeners, synergists, co-formulants or adjuvants. 74 The application of PPPs in the field inevitably leads to the presence of active substance residues on the treated crops, as well as residues from additives and/or impurities. In the 75 76 European Economic Area, PPPs regulation [4] provided a full description about definitions, additives, quality control, packaging, labelling and methods of analysis. 77 78 This Regulation defines residues as one or more substances present in or on plants or 79 plant products, edible animal products, drinking water or elsewhere in the environment resulting from the use of a PPP, including their metabolites, breakdown or reaction 80 products. However, despite efforts to control PPPs, risk assessment and the regulation 81 82 of residues are limited to the pesticide (active substance) and selected metabolites, for which maximum residue levels in or on food are established. Impurities and additives 83 84 are not mentioned. Considering the commercialization of PPPs, Regulation (EU) No 284/2013 [5] lists the 85 steps to follow, whereas Regulation (EU) No 546/2011 [6] sets a series of general and 86 specific principles that should be met by PPPs for their evaluation and authorization, 87 such as the application conditions, or their impact on humans, plants, and the 88 environment. Labelling is one of the most important parts of the commercialization and 89 authorization of PPPs. For this reason, all substances included in a PPP must be listed 90

91 (included impurities and additives). However, in the Regulation (EU) No 547/2011 [7], which provides the requirements that are necessary for the labelling of PPPs, impurities 92 and additives are not considered and consequently, very little information is available 93 concerning their nature and magnitude [8]. Regulation (EU) No 547/2011 [7] indicates 94 95 that the information should be clear and indelible in the packaging and contain information like commercial name, name of active substance, quantity, lot number and 96 production date, security, product action, type of product, uses and instruction, security 97 98 term, toxicity, and storage [8]. 99 In the last years, scarce studies have focused on the study of additives and impurities in 100 foods despite the importance of this problem. For instance, in the study carried out by 101 Balmer et al. [8], co-formulants half-lives were determined in treated crops such as vegetables. It seems that their half-live is higher than one day, so they can be an 102 underestimated health problem. Consequently, a few studies have analysed these 103 104 compounds in commercial products [9] as well as in the aqueous environment [10,11] or marine sediments [12]. Despite that, PPPs impurities and additives are not normally 105 106 monitored in fruits or vegetables, so the real extent of their hazard remains unknown. 107 In the past, analytical methods that used liquid chromatography (LC) [13–16] and gas 108 chromatography (GC) [17-21] coupled with mass spectrometry (MS) were employed, 109 predominating the use of low-resolution mass spectrometry analyzers (LRMS) versus high resolution MS (HRMS) [9,22]. In addition, classic detectors as ultraviolet-visible 110 (UV) or flame ion detector (FID) were also used [20,23]. However, to achieve a full 111 characterization, HRMS must be employed. 112 Therefore, the main objective of this article is to propose an analytical workflow to 113 114 perform an integral evaluation of the composition of PPPs using HRMS approaches, 115 including sample treatment, chromatographic separation, and data analysis. Including data acquisition (quality control (QC)) and data processing (identification, validation 116 and quantification). 117

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2. PPPs framework

As previously mentioned, PPPs are composed of active substances, impurities, and additives (Figure 1). Impurities can be formed during the manufacturing process or by degradation of the active substance during the manufacturing process and storage, or even during PPPs preparation process, when they are dissolved in water before their application in crops. For example, in the analysis of the commercial product Equation

- Pro®, a famoxadone PPP, the metabolite IN-KF015 was also found, so, it is expected
- that it could be originated during the manufacturing process or in the process of PPP
- dissolution with water [24].
- Additives are composed of several types of compounds that are defined as [4]:
- 129 (a) substances or preparations referred to as 'safeners', which are added to eliminate or
- reduce the phytotoxic effects of the PPP on certain plants. e. g. flurazole, oxabetrinil or
- cloquintocet-mexyl [25,26].
- b) substances or preparations known as 'synergists', which may increase the activity of
- the active substances of a PPP. e.g., piperonyl butoxide or diethyl maleate [27].
- 134 (c) substances or preparations known as 'co-formulants', which are used or intended for
- their use in a PPP, but which are neither active substances, nor safeners, nor synergistic.
- e.g., naphtha derivatives, sodium alkyl sulfates or alkyl ethoxylates.
- d) substances or preparations called 'adjuvants', which consist of co-formulants, or
- preparations containing one or more co-formulants, in the form in which they are
- supplied to the user and marketed, so that the user mixes them with a PPP, to improve
- the efficacy or other properties of the pesticides.
- 141 The most important one of these four groups are co-formulants. They are a
- 142 heterogeneous group of molecules involving solvents, wetting or antifoaming agents,
- stabilizers, emulsifiers, etc., that can be present at high concentrations, and they are
- added to PPPs to increase the effectiveness of the pesticide, as well as to increase the
- dissolution of the active substance on account of their low solubility in water [22,28].
- Due to the variety of compounds and the wide range of properties and toxicities,
- European Commission has recently established Regulation (EU) No 2021/383 [29],
- which involves a list of co-formulants forbidden for their use in PPPs, such as amines,
- tallow alkyl or ethoxylates, due to their potential negative effects on human health or
- the environment.
- Moreover, PPPs are commercialized in a wide range of formulations and each one
- involves different co-formulants. They are emulsifiable concentrates (EC), wettable
- powders (WP), soluble concentrates (SC), water dispersible granules (WG), dispersible
- 154 concentrates (DC), suspension concentrates (SC), capsule suspensions (CS), or a blend
- of a CS in an SC (ZC) [30]. The most common formulation is EC, in which
- 156 hydrophobic pesticides are dissolved in nonpolar solvents, which forms an emulsion
- when the PPP is dissolved in water. The principal advantages are a higher concentration
- of active substances, easy processing, handling and storage, or even a higher biological

activity. Nevertheless, EC formulations have several drawbacks derived from their co-159 formulants, such as flammability, possible instability after dilution or phytotoxic effects, 160 and increased dermal toxicity of the active substance [22,31]. 161 Co-formulants can also cause environmental and health adverse effects as toxicity to 162 163 aquatic life, skin, eyes and respiratory irritation or narcotic and toxic effects [22]. Among the different types of co-formulants, the most important one is surfactants. 164 These compounds have hydrophobic and hydrophilic moieties in their chemical 165 structure. Therefore, they show both lipophilic and hydrophilic properties [32,33] and it 166 can enhance the efficiency of formulations by increasing the water solubility, 167 bioavailability, and biological activity of the active ingredients. Surfactants may 168 improve the solubility, adsorption, or penetration of the active ingredient in these 169 formulations, but they also enhance environmental stability, bioavailability, and 170 171 capability to reach the site of action. Surfactants are generally classified according to the type of their hydrophilic part. Therefore, anionic, cationic, non-ionic, and amphoteric 172 surfactants can be distinguished [34]. 173 174 The toxicity of PPPs is normally associated to the active substances, and for this reason 175 Regulation (EU) 283/2013 [35] requires comprehensive mammalian toxicity testing for 176 acute, chronic, and sub-chronic effects only for the active substance, but not for the other components of the PPPs [36]. Thus, additives used in PPPs do not require any 177 178 toxicological evaluation as part of PPP Regulation (EU) 1107/2009 [4]. Instead, they are commonly subjected to the REACH regulation [37] and, hence, toxicologically 179 tested and assessed depending on their annual production volume. However, the 180 unintended side effects of PPPs can be caused by additives in these preparations. 181 182 Additives in PPP formulations may have adverse effects on the environment and on 183 non-targeted organisms. The toxic effect of co-formulants in PPPs has been clearly 184 demonstrated by several studies in which formulated pesticide products were proven to be more toxic than their active ingredient alone [38-40]. As an example, exposure to 4-185 ethyltoluene and 2-ethyltoluene, two isomers present in EC products, which are made 186 with naphtha derivatives as solvent, have been proved to trigger acute narcotic effects, 187 in addition to a lower survival in mice [22,39]. In addition, the recent investigation of 188 189 the combined toxicity of the most used herbicide active ingredient worldwide, glyphosate, and polyoxyethylene tallow amine (POEA), as its most common co-190 formulant, indicated higher individual toxicity of the surfactant or combined synergistic 191 effects between POEA and the active ingredient [40]. The effects of POEA and a 192

glyphosate-based herbicide formulation (Roundup) on different tested organisms were compared, and POEA proved to be more toxic. The acute toxicity of glyphosate, a glyphosate-based formulation, and the surfactant applied in given formulation, on aquatic invertebrates and fish species were investigated, and POEA was found to be the most toxic component, compared to the effects of technical grade glyphosate and the investigated formulation [41]. In a later study, ethoxylated co-formulants used in glyphosate-based formulations were confirmed to be nearly ten thousand times more toxic than the active ingredient [42]. In fact, in-vitro studies indicate that co-formulants significantly increase the cytotoxicity of some PPPs, and they can also induce an enhancement of cellular permeation, enhancing the bioavailability of the respective active substances [36]. They have also been shown to be a hazard for the environment, and for instance, the co-formulants present in the Amistar® fungicide can cause negative effects in bumblebees [43].

3. Sample treatment methods for the analysis of PPPs

The comprehensive characterization of PPPs using analytical techniques has been scarcely studied. There are only a few articles involved in this topic, and even less addressing the evaluation of the additives in PPPs (Table 1).

In terms of sample treatment, dilute and shoot can be employed as a good method using different solvents. Solvent selection will depend on the polarity of the compounds and the injection and/or separation technique employed (Figure 2). For example, acetone, dimethyl sulfoxide (DMSO) or ethyl acetate can be used for the analysis of non-polar analytes by GC [9,20,22], whereas water, acetonitrile, isopropyl alcohol, or methanol can be utilized to dissolve the PPPs prior LC analysis [9,13,15] (Figure 2). This step is critical because some PPPs, as EC, SC, ZC or WG, can only be dissolved in water, or in the case of SC and ZC also in DMSO, as it was previously indicated [22]. Therefore, the solubility of PPPs in the selected solvents should be carefully studied in order to check that PPP is properly dissolved prior to analysis.

that PPP is properly dissolved prior to analysis.

Other methods can also be employed for the extraction of polar analytes, e.g., pyrrolidone derivatives, as QuEChERS [16], which was applied for the analysis of co-formulants in plant-derived agro-products (apple, cabbage, tomato, cucumber, rice, and wheat), or solid-phase extraction (SPE) [21], which was used in liquid pesticide formulations as a clean-up step. These methods are more adequate when the determination of the active substance and the impurities and/or additives is performed in

matrices as food, water, soils and sediments, for which a exhaustive extraction is required to eliminate the matrix effect, and some information about them is known [10– 12]. Finally, for non-polar analytes, automatic extraction techniques including solidphase microextraction (SPME) and headspace (HS) (used together or separately), could be employed (Figure 2), taking into account that they are less time-consuming, allowing for the preconcentration of the compounds, and automatization. Moreover sample contamination can be reduced due to sample treatment is minimized, and higher repeatability can be achieved, since they reduce experimental errors [44]. In this case, samples can be easily dissolved in a suitable solvent as water, eliminating the dissolution problems indicated above, previous the extraction procedure based on HS-SPME is performed. For instance, HS was used for the determination of volatile compounds as toluene or benzene [18,19]. HS-SPME was used for the monitoring of co-formulants in a myclobutanil PPP (Mitrus) by GC-HRMS, allowing the detection of seven benzene derivate compounds [45]. In this work, HS-SPME was compared with liquid injection, demonstrating being more adequate for the analysis of volatile compounds, due to the low detection limits obtained compared to liquid injection.

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3.1. Sample treatment and quality control

It is important to note that external contamination could take place during sample preparation, or even during sample analysis, if the analytes are present in the used laboratory material or even in the analytical equipment. For that, as a QC measure, the employment of extraction blanks (followed the same dissolution/extraction as samples), can be useful and mandatory in the case of non-targeted analysis (Figure 2). If contamination was observed, the source of that contamination should be found monitoring the solvent in which samples are diluted (solvent blanks) as well as the equipment, injecting methanol (LC) or ethyl acetate (GC) to evaluate the mass analyzer and the chromatographic module. In addition, they must be injected throughout the batch to control external contamination or carryover effect. For example, glyceryl monostearate, a co-formulant identified in PPPs [9], is also used as an antistatic agent in centrifuge tubes [46] and might migrate to the sample during the extraction step. Another example is sodium lauryl sulfate, present in HRMS calibration solutions and PPPs, which would interfere unless the signal in the sample is noticeable higher compared to the signal in the blank, so that they can be properly distinguished. Additionally, caution should be taken when PPPs are being analyzed, as many additives

(co-formulants) have assorted industrial applications, such as the manufacture of 261 cleaning products or paints, so an extraction blank should always be useful, allowing the 262 263 distinction between compounds present in the samples and those from contamination source. Finally, washing laboratory material with no soap is also advised to avoid 264 265 contamination stemming from detergents, especially anionic surfactants, such as sodium lauryl sulfate, another co-formulant previously identified in PPPs [9]. 266 267 To avoid carry-over contamination between samples and detector saturation, PPPs samples should always be diluted before chromatographic-MS analysis [22], since they 268 usually contain over 100 g/L of active substance, which would surely leave residues in 269 270 both the chromatographic system, and the analyzer. Therefore, a dilution of at least 1:100,000 (v/v), or even 1:500,000 (v/v), is suggested, avoiding contamination in the 271 equipment (Figure 2). For example, in the analysis of Lexor 25, an EC PPP, dilutions 272 ranging from 1:1,000 (v/v) to 1:2,000,000 (v/v) were tested for GC-HRMS analysis, 273 selecting the dilution 1:500,000 as the optimum one, as it provided an acceptable 274 number of chromatographic peaks without signal saturation. By LC-HRMS, dilution 275 tested ranged from 1:1,000 (v/v) to 1:1,000,000 (v/v), choosing dilution 1:10,000 (v/v) 276 277 due to the high number of results obtained in unknown analysis compared to other ratio 278 dilutions. In addition, when non-targeted analysis is being carried out, sample replicates are 279 280 necessary to check whether the compounds detected are in all the replicates or not, that 281 can help distinguish between false positives or possible compounds present in the samples. For that, at least 3 replicates of each sample should be analyzed to obtain 282 283 reliable results. 284 Another additional QC activity is the addition of internal standards (ISs) to ensure the sample analysis is being properly performed, and to control compound ionization and 285 286 fragmentation throughout the batch (Figure 2). ISs should have similar properties to expected compounds and at least one IS for each compound group (i.e. pesticides, 287 plasticisers, etc) should be added, taking into account their commercial availability [47]. 288 Isotopically labelled ISs can be an alternative due to their properties and advantages, 289 since in unknown or suspect screening analysis the previous knowledge about the 290 compounds present in the samples is scarce. For example, in this case, the use of 291 isotopically labelled triphenylphosphate (TPP-d15), which can be detected in both LC-292

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HRMS and GC-HRMS could be a good option.

4. Separation and detection techniques for the analysis of PPPs

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In terms of separation, and due to the complexity of the compounds to be analysed, LC 296 and GC are necessary for a comprehensive analysis of PPPs. LC is indicated for 297 relatively polar, ionic, thermolabile or non-volatile compounds, such as alkyl 298 299 ethoxylates (e.g., nonaethylene glycol monododecyl ether), alkyl benzene sulfonates or alkyl naphthalene sulfonates. 300 301 For LC, common columns as C18 (Table 1) are employed, although specific stationary phases, as HILIC, were used for the determination of four adjuvants in PPPs [16]. 302 303 However, C18 columns do not provide optimal selectivity for the simultaneous analysis 304 of anionic, non-ionic, and cationic surfactants using the same mobile phase system. In those cases, other columns specially developed for the separation of surfactants as 305 ThermoFisher AcclaimTM Surfactant or Shodex ODP2 HP-2D, a polymer-based 306 reversed phase chromatography column, may improve the separation of these 307 compounds. 308 309 In addition, conventional mobile phases containing water, methanol or acetonitrile with 310 formic acid or acetic acid are used (Table 1). The elution time and gradient applied are 311 slightly longer than conventional LC methods due to the variability of the compounds 312 with different molecular formula and polarities. Some of them have long hydrocarbon or ethoxylates chains that are strongly retained in the analytical columns, so retention 313 314 time is higher. Therefore, run times of more than 30 min and keeping 100 % of organic solvent for 10 min (at least) is highly recommended to allow the complete elution of the 315 316 non-polar compounds. On the other hand, GC is suitable for volatile, thermally stable, and less polar 317 compounds, such as benzene or naphthalene derivatives, which can be found in most 318 319 PPPs [22]. For GC, 5MS ((5%-phenyl)-methylpolysiloxane) capillary columns are the 320 most employed (Table 1), although other stationary phases, as HP-1 column (100%) dimethylpolysiloxane) were used to determine volatile organic compounds [18]. 321 It is important to highlight that some additives are isomers which means similar 322 characteristics as the same theoretical mass and fragments. However, GC has the 323 324 advantage of separating them in the chromatographic run and providing different 325 retention times, involving one of the biggest challenges of this technique. For instance, 4-ethyltoluene and 1,3,5-trimethylbenzene co-formulants, whose molecular formula was 326 C₉H₁₂, have different retention times at 5.89 and 6.21 min, respectively, so they can be 327 determined individually when GC is used [48]. 328

Finally, for the detection of active substances, impurities and/or additives, MS is the 329 most suitable technique. LRMS analyzers were previously used, as simple quadrupole 330 (Q), triple quadrupole (QqQ) or Qtrap (Table 1). For the use of these analyzers involved 331 the performance of targeted analysis, as the mass of the analytes to be monitored should 332 333 be known prior analysis. When the active substance is being monitored, this is a simple step, but in terms of additives, it is a complex issue since they are normally not included 334 335 in the PPP label. Only a few of them are indicated in either the label or in the safety sheet, and for this reason, there is very little literature on this topic. To deal with this 336 337 problem, HRMS, as (Q)-Orbitrap or (Q)-TOF are the most suitable instruments. However, these tools are complex, and additional concern rises, as data analysis or data 338 processing is required, as it is shown in the next section. 339 In addition to MS, nuclear magnetic resonance spectroscopy (NMR) may seem as an 340 alternative to chromatographic and MS techniques, but it turns out not to be as helpful 341 as expected, due to the complexity of PPPs [9]. These formulations usually contain an 342 active substance at high concentrations, even up to 8.000 times more concentrated than 343 co-formulants, and therefore, their signals may be overlapped by those from the active 344 345 substance, low peak height may be obtained, or even they cannot be distinguished 346 among the baseline noise due to the low sensitivity of this technique to analyze compounds at low concentrations. Therefore, it is rather a supporting confirmation 347 348 technique, especially if information from several spectra (¹H, ¹³C, or bi-dimensional) can be evaluated. 349

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5. Data acquisition and data processing advances for the analysis of PPPs

Both data analysis and data processing are the most important stages in the analysis of suspect or unknown compounds when HRMS is used. The methodology involves the use of complex analytical software developed for that use and requires some previous knowledge about the techniques employed. For that, in this section a typical workflow for the analysis of PPPs has been proposed (Figure 3), taking into account the last studies focused on this problem [9,22].

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5.1. Data acquisition

The main issue regarding data acquisition in HRMS is the acquisition mode. When GC is coupled to HRMS, the acquisition mode is simple, being full scan in positive ionization mode. Due to the high hard ionization of electron impact ionization mode, a

subsequent fragmentation step is not required (Table 2). Otherwise, when LC-HRMS is used, full scan mode in electrospray (ESI), positive and negative ionization, is employed for the acquisition of the compounds, and in order to obtain the fragments, there are several fragmentation modes, being data independent analysis (DIA) the most useful one in this kind of analysis. It is similar than all-ion-fragmentation (AIF) mode but employing m/z ranges set by the user. For instance ranges of m/z 100, involves a fragmentation from 100 to 200, 200 to 300, 300 to 400, etc. It was really useful because spectra are simpler and contain less ions compared to AIF [49,50], and it is helpful when compounds presenting a low intensity in the sample are analyzed (i.e., pesticides present in fruit or vegetables) [51], improving the search possibilities for fragments of unknown compounds. The DIA acquisition mode, with a previous full scan step, was the best option for characterization of PPPs using non-targeted analysis, because no previous information about the sample is required and a total information about precursor ions and fragments is provided, reducing the number of undesirable fragment ions thanks to the selection of m/z ranges. The other parameters mentioned in the Table 2 are characteristics of Q-Orbitrap analysers, so they can only be replicated in the same type of instrument, but it can serve as a guide for HRMS users. The critical points related to GC-HRMS acquisition are the use of full scan acquisition in a wide m/z window, starting from the lowest m/z value detectable by the equipment until the maximum m/z according to the resolution power, so that it can be able to detect as many compounds as possible (i.e. at 60000 Full Width at Half Maximum (FWHM) of resolution, between m/z 50-500) (Table 2). For LC-HRMS, when full scan (positive and negative ionization mode to detect compounds at both polarities) is used, a wide m/zwindow from the lower m/z detectable until the maximum m/z allowed by resolution (i.e at 70000 FWHM, m/z 50-750) should be selected; however, in some cases, the compounds with a m/z higher than 750 cannot be detected at suitable resolution if Orbitrap is used as analyzer. For this reason, when compounds at higher m/z are expected, additional full scan can be added to the method introducing another m/z range (i.e. m/z 150-2000). Then, the fragmentation step is critical, because it is necessary to choose the correct range of m/z windows to ensure that MS/MS spectra are cleanest as possible, especially when DIA was selected. For the characterization of PPPs, m/z window is the best suitable choice (Table 2), even though a large number of fragmentation spectra for each sample are obtained, which makes raw data heavier. Nonetheless, current software tools

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are allowed to interpret each one and those MS/MS data can be linked to the full scan 397 spectra. If Orbitrap is used as analyzer, when resolution, an important parameter, is set, 398 it affects to the number of scans obtained, so, a compromise between resolution and 399 number of scans is necessary. Nevertheless we consider that the best option is a 400 401 resolution of 60000 FWHM (at m/z 200) in Full Scan for GC-HRMS and 70000 FWHM (at m/z 200) in Full Scan and 35000 FWHM (at m/z 200) when DIA was used in LC-402 403 HRMS. So once the acquisition mode had been defined, the next step was the analysis 404 of the sample followed by data processing.

Data processing can be carried out using various analytical software provided by the

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5.2. Data processing

commercial brands of the mass analyzers, as Metaboscape® by Bruker, MassHunter 408 409 Mass Profiler® and MassHunter® Unknowns Analysis by Agilent or Compound Discoverer® and TraceFinder® by ThermoFisher Scientific. Also, there are open-410 source programs as FOR-IDENT (https://water.for-ident.org/#!home), MS-FINDER 411 412 (http://prime.psc.riken.jp/compms/msfinder/main.html) or patRoon [52] All of them 413 allowed performing suspect screening or unknown analysis (Figure 3). 414 Before data analysis, raw data must be pre-processed to generate a practicable data matrix in a variety of ways, eliminating the noise and reducing data weight. The key 415 416 step is minimizing the variance and bias in the data analysis to reduce the complexity and to enhance significant signals of interest. Consequently, several algorithms have 417 been developed and implemented in the software mentioned above and multiple open-418 419 source programs have also been applied to process raw MS data acquired on LC-MS or 420 GC-MS [53]. **XCMS** (https://xcmsonline.scripps.edu/) [54], **MZmine** 421 (http://sourceforge.net/projects/mzmine/) (http://open-[55],OpenMS 422 ms.sourceforge.net/) [56], and MetAlign (http://www.metalign.nl) [57]. The preprocessing steps have drawn particular attention for their practicability and 423 effectiveness. The first step in LC-HRMS and GC-HRMS raw data pre-processing is the 424 normalization to remove confounding variations attributed to experimental sources, 425 such as analytical noise or experimental bias. The second step is RT alignment of 426 427 detected features in different samples, which aims to remove shifts among samples for a given signal, in order to guarantee downstream extraction of useful information (Figure 428 429 3). To make them applicable to the large amounts of two-dimensional data generated by 430 chromatographic systems coupled with HRMS instruments, the dimensionality must be

reduced [53,58]. Additionally, in pre-processing data, there is a third step, the 431 deconvolution that must be done to induce a high probability of obtaining a good match 432 in library search, as compared to non-deconvoluted spectra (Figure 3). The 433 434 deconvolution algorithm can generate hundreds or even thousands of detected peaks. 435 Therefore, it is important to appropriately select the deconvolution parameters to minimize the number of false positives and negatives [59]. This step is more useful in 436 437 GC-HRMS data than in LC-HRMS data, but it can be used for both. To sum up, the procedure consists of normalization, followed by RT alignment and deconvolution. 438

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5.2.1. Suspect screening analysis

Once the data is pre-processed, in the case of suspect screening analysis, a database is 441 usually employed (Figure 3). It can be either home-made, commercially available or 442 provided by a group of researchers, such as the NORMAN digital sample freezing 443 platform (DSFP). This last tool involves databases of a wide range of compounds, 444 445 created exclusively for retrospective suspect screening. The NORMAN DSFP combined information on (i) exact mass, (ii) predicted retention time window in the 446 447 chromatogram, (iii) isotopic fit and (iv) qualifier fragment ions [60]. 448 To build a home-made database, as Maldonado Reina et al. [22] and Hergueta-Castillo et al. [61] performed for co-formulants in PPPs, a bibliographic revision must be done, 449 450 to obtain the maximum numbers of potential compounds. In addition, information such as name, molecular formula, structure, precursor ion, fragment ions and ionization mode 451 452 must be collected in a table to complete the database (See Table S2 home-made 453 database of GC-HRMS additives of Maldonado-Reina et al [22] and Table S2 home-454 made database of LC-HRMS additives of Hergueta-Castillo et al. [61]). Once the 455 database is built, the samples are processed using analytical software mentioned above, as MS-FINDER, MassHunter® Unknowns Analysis, or TraceFinder. The criteria 456 selected for the identification of precursor ions and fragments are mass error lower than 457 5 ppm, monitoring at least one fragment ion, which must be detected at the same RT of 458 the precursor ion, and extraction blank subtraction in a ratio 5/1 sample area/blank area 459 460 [62]. Additionally, when compounds have a characteristic isotopic pattern because of the presence of Cl, Br o S, it is also evaluated, and a fit of at least 70% [63], compared 461 to the theoretical spectrum, must be achieved (Figure 3). 462

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5.2.2. Unknown analysis

For unknown analysis, the workflow is slightly different (Figure 3). Here, the compounds are "unknown" and the structure of the candidate needs to be elucidated. In that workflow, the software is used and characterized by a first step consisting in the annotation of a probable molecular formula and then suggesting a tentative structure [64]. Both steps rely on knowing the exact mass of the component and its fragments, which highlights the importance of HRMS and high quality of the fragment spectrum for structural elucidation. Tentative structure elucidation is complex and it is generally approached by searching in a database of chemical structures that can be provided by the analytical software as ChemSpider databases, NIST library or m/z cloud. However, this approach limits possible candidates to those listed in the chemical database, which are all known structures, and will cause problems if the true compound is not known. Compounds with unknown structure that are not listed in chemical databases, e.g., most transformation products, have been referred to as "unknown unknowns". In this case, orthogonal approaches, including analytical techniques like NMR or infrared spectroscopy (IR), are powerful tools for the improvement of the identification performance of "unknown unknowns", which, however, have limited application possibilities [64-66], as it has been previously mentioned in Section 4.2. For the characterization of PPPs, studied compounds must be "known" and be included in NIST library and some ChemSpider databases. However, some precautions must be adopted to obtain the data in a simple way to finally evaluate them. The data processing workflow performs unknown compounds detection, predicts elemental composition, fix a minimum threshold value, which is low in the case of PPPs characterization (1e4) due to some impurities and additives are present in a minor proportion, and removes background features using extraction blank samples in a ratio 5/1 sample area/blank area (Figure 3) [62]. In addition, as mentioned above, the processing software automatically identifies compounds using in the case of LC-HRMS data, m/z cloud, ChemSpider (exact mass and formula), and local database as Mass Lists searches [63]. For GC-HRMS data, the compounds are identified using Mass List searches and NIST spectral library. For that last one, it is important to mention that compounds identified by NIST are more reliable than results provided by m/z cloud or Chemspider database in LC. NIST library provided theoretical spectra of the precursor ion and the fragments, meanwhile in m/z cloud MS² spectra is not available for some compounds, and in the case of Chemspider, spectra are not available and only the precursor ion is provided. In addition, another criterion, that introduces more confidence

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in the case of GC-HRMS, is the tentative identification using Kovats Index (KI), by comparison of the experimental KI calculated for each tentative compound and the KI included in the NIST library, considering a maximum KI deviation of \pm 20 units for a reliable tentative identification [67] (Figure 3).

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5.2.3. Annotation, validation, and quantification

505 In both cases, either using LC or GC, the processing software allocates several potential features to the tentative compounds detected in the samples, which need to be analyzed 506 507 by the operator (Figure 3). Depending on the compliance of the identified substances with the identification criteria, novel levels of identification confidence were defined in 508 the present review considering previous works as Schymanski et al. [68] and Miralles et 509 al. [63,69] as well as our knowledge in this topic. These parameters are shown in Table 510 511 3 and indicate the criterion and threshold values to ensure a correct identification of the compound of interest. They range from just a preliminary tentative identification, based 512 on molecular formula and mass error lower than 5 ppm (level 5), levels 4a and 4b that 513 refer to tentative identification as they do not take MS² data into account, but more 514 515 reliable than level 5, since they include isotope pattern fit. Levels 3 and 2 includes a 516 confident confirmation based on a wide number of requirements, including molecular formula, mass error lower than 1 ppm, isotope pattern fit greater than 70 % and MS² m/z 517 cloud or MS² NIST fit greater than 50% or 90 %, and three matching fragments. 518 Finally, level 1 is the confirmation of the compound using analytical standards. 519 However, in some cases, the MS² match cannot be done because the potential 520 compound is not available in m/z cloud database or because the concentration of the 521 522 suspect compound is too low to clearly obtain identified fragments. If fragments are not 523 available in databases, the use of in-silico tools as MassFrontier (Thermo Fisher), CFM-524 ID or MetFrag [70] can be useful. That software gives a potential list of fragments generated using the precursor structure and a theoretical list of fragmentations. With 525 that list of in-silico fragments, the MS² spectra can be analysed in a qualitative way to 526 search the fragmentation of the tentative compound, which will ensure a correct 527 elucidation (Figure 3). 528 Finally, to confirm the tentative compounds by using the proposed methodology, 529 commercially available analytical standards can be purchased. They are used to confirm 530 their identity and they can be also used for validation and quantification purposes. 531 532 Standard solutions containing the tentative analytes will be prepared and analyzed under the same conditions of the samples. The tested compounds will be evaluated in terms of the acquired retention time, exact mass, and MS spectrum [69] to confirm their presence. However, a lot of compounds are not commercially available, so this step cannot always be performed (Figure 3). To validate the confirmed compounds, according to SANTE 11312/2021 [71], if the analytical method does not allow the determination of recoveries because the samples are directly analysed or because liquid samples are diluted with a suitable solvent, only linearity, limit of quantification (LOQ) and precision (intra- and inter-day) must be checked. To study linearity, calibration curves in the same solvent of the sample dilution could be prepared due to the high dilution of the samples restricts the matrix effect. If a high dilution is not required because sensitive issues, and if there are not matrix blanks, linearity should be studied by standard addition. Linearity is evaluated in terms of R², obtaining satisfactory results in the case of R² greater than 0.99 and also by the deviation of back-calculated concentration from true concentration $\leq \pm 20\%$. For precision, five samples fortified with the standard at a known concentration (lowest level of the calibration curve) can be tested in 5 different days (inter-day precision) and in the same day (intra-day precision). If all results fall under the threshold required for an acceptable precision (RSD \leq 20%), the method will be validated. Finally, LOQ is evaluated by calibration points in solvent at low concentrations, selecting as LOQ, the concentration that achieve acceptable results in terms of precision and linearity. To quantify the confirmed compounds, due to the high dilution of the samples and the absence of sample blanks, calibration curves in solvent can be used as it was indicated above. Therefore, the calibration curves in the PPPs solvent dilution should be performed in a wide range of concentrations i.e., from 1 µg/L to 250 µg/L, to ensure that analyte concentrations are within this range. However, in some cases compound concentrations are higher, and additional sample dilution is required to perform a correct quantification. Finally, when analytical standards of the detected compounds are not available a semiquantification using analytical standards from compounds of the same family can be carried out. For example, in the case of impurities, as pesticide metabolites present in the PPPs, their quantification could be carried out using the parent compound (pesticide) as standard and their concentrations were calculated and expressed as a function of the pesticide [72].

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As an example of the described methodology, the study of Lexor 25 PPP was described. 566 This PPP was analysed by LC-HRMS and raw data was processed by Compound 567 Discoverer® to perform a comprehensive unknown analysis through 14 ChemSpider 568 libraries, including FDA UNII-NLM, which encompasses a large number of co-569 570 formulants, and a list of compounds was obtained. Myreth-6, an alkyl ethoxylate also 571 known as hexaethylene glycol monotetradecyl ether, was one of the several co-572 formulants found. It was manually searched in extraction blanks in Xcalibur Qual Browser, which provided a negative result. Therefore, myreth-6 was subjected to in-573 574 silico fragmentation in MassFrontier, and generated theoretical fragments, which were searched in the experimental DIA MS² spectra. As Figure 4 shows, theoretical 575 fragments 269.24751, 261.13326 and 255.23186 were found in the m/z 350-400 DIA 576 MS² spectra, with a maximum mass error of 3.38 ppm (absolute value). 577 Analytical standard of myreth-6 was acquired, it was injected and the presence of this 578 co-formulant in Lexor 25 was confirmed (Figure 4), achieving a level of confidence 1 579 for the identification of unknown compounds, as reported in Table 3. Figure 4 depicts 580 confirmation of myreth-6 via matching retention time (0.02 min shift), similar peak 581 582 shape and concordant characteristic ion with both the analytical standard and the 583 predicted isotopic pattern, with a mass error of -3.83 ppm. Then, the method was successfully validated according to SANTE 11312/2021 [71]. A matrix effect lower 584 585 than 20 % (12 %), allowed the use of solvent calibration standards for quantitation purposes, and linearity and precision values were R²>0.99 and RSD < 8% respectively. 586 587 Finally, quantification was also performed obtaining a concentration value of 0.03 g/L 588 in the PPP.

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7. Conclusions and future outlooks

This study proposed a methodology to characterize PPPs using chromatographic techniques (GC and LC) coupled to HRMS. Despite the fact that conventional stages of the analytical method were described, as sample treatment, separation and detection, and data analysis, different tips were provided. Thus, a suitable dilution of the sample should be ensured to maximize the number of features avoiding saturation of the system. Whereas the separation of the compounds can be performed using well-known stationary phases, as C18 or HILIC, specific ones developed for surfactants as ThermoFisher AcclaimTM Surfactant or Shodex ODP2 HP-2D could be suitable alternatives. In addition to LC or GC, which have been commonly used so far, capillary

electrophoresis (CE) coupled to HRMS offers great promises for the analysis of highly charged and polar metabolites, and thus ensure a complete characterization of PPPs [73], combining the information provided by these separation techniques.

Regarding the detection techniques, a new dimension could be added to the information provided by HRMS, and ion mobility spectrometry (IMS) can be implemented since its main advantage is isomers or isobars resolution, improving the information provided by

provided by HRMS, and ion mobility spectrometry (IMS) can be implemented since its main advantage is isomers or isobars resolution, improving the information provided by current LC-HRMS equipment [74]. This is relevant in this field, since most of coformulants are isomers or compounds with a very similar structure, and the use of the collision cross section parameter would enhance the identification of detected compounds. Moreover, new advances in the data processing are required to solve the weakest points that they currently have, as processing time or human supervision. Furthermore, solution of bugs in the software development are needed and each year commercial brands introduce new changes in the software to improve them. In addition

commercial brands introduce new changes in the software to improve them. In addition, deconvolution should be improved, especially for overlapped peaks, that cannot be discriminated or a reliable blank subtraction, bearing in mind that sometimes

compounds present in blanks are not suppressed in the samples and a manual revision is

required to minimize the number of false positives.

Finally, this study enhances the need to control co-formulants in PPPs during pesticide monitoring and the need of improving the regulation for these substances, considering the wide variety of molecules present in this category, their properties and their toxicologic aspects.

Declaration of competing interest

The authors declare that they have no known competing financial interests that could have appeared to influence the work reported in this paper.

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634 JMS acknowledges the Ministry of Universities of Spain and European Union (Next Generation EU) for financial support from "Ayudas para la recualificación del sistema 635 universitario español 2021-2023 (Margarita Salas). 636 637 638 **Authorship contribution statement** Rosalía López-Ruiz: Methodology, Software, Data Curation, Writing – Original Draft, 639 640 Visualization, Editing. Antonio Jesús Maldonado-Reina: Methodology, Writing – Review and Editing. Jesús Marín-Saez: Methodology, Writing – Review and Editing. 641 Roberto Romero-Gonzalez: Methodology, Writing - Review and Editing, Supervision. 642 Jose Luis Martinez-Vidal: Methodology, Writing - Review and Editing, Supervision. 643 Antonia Garrido Frenich: Conceptualization, Resources, Writing - Review and 644 Editing, Project administration, Funding acquisition. 645

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Figure captions

- Figure 1. Plant Protection Products composition.
- Figure 2. Sample treatment steps for the characterization of PPPs.
- Figure 3. Workflow applied to characterize PPPs using HRMS.

Figure 4. Myreth-6 identification by LC-HRMS and confirmation in Lexor 25 when unknown analysis was performed: A) extracted ion chromatograms of myreth-6 in Lexor 25 and in methanol (100 μ g/L); B) experimental full scan spectra of Myreth-6 in Lexor 25, in methanol (100 μ g/L) and predicted isotopic pattern, and C) experimental MS² spectrum of myreth-6 in Lexor 25.

Table 1. PPPs analyzed in the last years using chromatographic and spectrometric techniques.*

Analytes	Activity/ Type of PPPs	Extraction	Separation	Detection	Reference
Piperonyl butoxide and N- octylbicycloheptene dicarboximide (MGK264)	Synergist/ NI	Dilute and shoot: Isopropyl alcohol contained 5 mL of the 0.8% of 2,2-dimethylpropiophenone	LC: Zorbax Eclipse XDB C_{18} (150 x 4.6 mm, 5 μ m). MP: Water/methanol/acetonitrile (35:10:55, $v/v/v$), where the water/methanol contained 1.0 mM formic acid	MS: Q	[13]
Non-ionic surfactants	Surfactants//NI	NI	LC: Grom Sil 120 Butyl-1 ST microbore cartridge (6 x 2 mm, 5 µm) MP: Methanol and water	MS: Qtrap	[14]
Adjuvants	Adjuvants/WP	Dilute and shoot: ethyl acetate	LC: Luna C18 (150 × 4.6 mm, 5.0 μm) MP: Methanol and water GC: PB-5 fused-silica (30 m×0.25 mm, 0.25 μm)	UVD MS: ion trap	[23]
Polyoxyethylene tallow amine	Surfactant/ Pesticide formulations of glyphosate	Dilute and shoot: acetonitrile: water (50:50, v/v)	LC: Shodex MSpak GF-310 4D (150 × 4.6 mm, 5.0 μm) Luna C18 (150 × 3 mm, 3.0 μm) Atlantis T3 (150 × 3 mm, 3.0 μm) Kinetex C18 (150 × 3 mm, 2.6 μm) XSelect HSS C18 (150 × 3 mm, 2.5 μm) BEH C18 (150 × 2.1 mm, 1.7 μm) HSS T3 (150 × 2.1 mm, 1.8 μm) MP: water 0.3% acetic acid and acetonitrile	MS: QqQ	[15]
2-pyrrolidone,N- methyl-2- pyrrolidone,and N- ethyl-2-pyrrolidone	Adjuvants/NI	Original QuEChERS	LC: XBridge HILIC (150 × 2.1mm, 5μm) MP: Water 0.1% formic acid and acetonitrile 0.2% formic acid	MS: QqQ	[16]
Surfactants and solvents	Adjuvants/ EC	Dilute and shoot: Methanol, acetonitrile, and water for LC	LC: Zorbax Eclipse Plus C18 (100 × 4 mm, 5 μm)	MS: Orbitrap	[9]

		Ethyl acetate, <i>n</i> -hexane and dichloromethane for GC	MP: water 0.1% formic acid, and methanol GC: VF-5 ms (30 m × 0.25 mm, 0.25 μm)	MS: Q- Orbitrap	
Volatile organic compounds	Co-formulants/ EC	HS-SPME: Fiber Carboxen TM /polydimethylsiloxa ne	GC: HP-1 (30 m, 0.32 mm, 1.0 μm)	MS: MSD	[18]
Toluene and benzene	Co-formulants/ EC	HS	GC: Rtx-5 capillary column (30 m×0.20 mm, 0.25 µm)	MS: Q	[19]
15 co-formulants and 8 impurities	Co-formulants and impurities/SC, EC, EW, ZC	1	GC: Zebron ZB (30 m × 0.32 mm, 0.25 μm)	FID	[20]
2-pyrrolidone, N-Methyl-2-pyrrolidone, and N-Ethyl-2-pyrrolidone	Adjuvants/EC, EW and SC	SPE: Oasis HLB	GC: DB5-MS (30 m × 0.25 mm, 0.25 µm)	MS: Q	[21]
naphthalene derived co-formulants	Co-formulants /EC, SC, DC and ZC	Dilute and shoot: ethyl acetate or dimethyl sulfoxide	GC: VF-5ms (30 m × 0.25 mm, 0.25 µm)	MS: Q- Orbitrap-MS	[22]

^{*}Abbreviations: DC, dispersible concentrates; EC, emulsifiable concentrates; EW, emulsion, oil in water FID, flame ionization detector; GC, gas chromatography; HS, head space; HS-SPME, head space solid phase microextraction; LC, liquid chromatography; MP, mobile phase; MS, mass spectrometry; MSD, mass single detector; NI, not indicated; Q, quadrupole; QqQ, triple quadrupole; Q-trap, quadrupole- ion trap; SC, soluble concentrates; SLE, solid-liquid extraction; SPE, solid phase extraction; WP, wettable powders; ZC, blend of a capsule suspension in an SC.

Table 2. Suitable acquisition parameters for the analysis of PPPs by GC and LC-Q-Orbitrap-MS*

Scan mode	Full Scan	DIA			
GC-HRMS					
Ionization mode	Electron ionization	NA			
Resolution (at m/z 200)	60000 FWHM	NA			
AGC target	1e6	NA			
Max IT	100 ms	NA			
Scan range (m/z)	50-500	NA			
LC-HRMS					
Ionization mode	Electrospray (Positive/ Negative)	Positive/ Negative			
Resolution (at m/z 200)	70000 FWHM	35000 FWHM			
AGC target (adimensional)	1e6	2e5			
Max IT (microscans)	250	Automatic			
Scan range (m/z)	50-750	Ranges of m/z 50 from m/z 50 to 750, i.e. m/z 50-100, m/z 100-150, etc.			
Isolation window (m/z)	NA	50			
Fixed first mass (m/z)	NA	50			
Collision energy (eV)	NA	30			

^{*} Abbreviations: AGC: automatic gain control; FWHM: Full Width at Half Maximum; IT: injection time; NA: Not applicable

Table 3. Criteria established and levels of confidence for the identification of unknown compounds (adapted from: [63,68]).

Parameter	Criteria	Level of confidence
Molecular formula Mass error lower than 5 ppm	Molecular formula proposal is consistent according to the exact mass. No compound identification was successful from ChemSpider and Mass List databases	Level 5
Molecular formula Mass error lower than 5 ppm Isotope pattern fit (%) >70 %	Molecular formula coincidence with a proposed feature from ChemSpider or Mass	Level 4b
Molecular formula Mass error lower than 1 ppm Isotope pattern fit (%) >90 %	List databases, including exact mass and isotope pattern	Level 4a
Molecular formula Mass error lower than 1 ppm Isotope pattern fit > 90 % $MS^2 m/z$ cloud fit // MS^2 NIST fit > 50 % In-silico match (at least one theoretical fragment)	Coincidence between acquired MS ² spectra and MS ² m/z cloud or MS ² NIST spectra.	Level 3
Molecular formula Mass error lower than 1 ppm Isotope pattern fit > 90 % MS ² m/z cloud fit // MS ² NIST fit > 90 % In-silico match (at least three theoretical fragment)	In-silico fragmentation is considered when <i>m/z</i> cloud does not provide good results	Level 2
Analytical standard confirmation	Coincidence between the RT of the analytical standard and the compound propose. Shift time allowed 0.1 min	Level 1

Highlights

- Development of a workflow for plant protection products characterization by HRMS
- A non-targeted methodology was proposed using chromatography-HRMS
- Sample treatment, separation, detection, and data analysis were discussed
- Different levels of confidence were described for unknown compound identification
- An overview of the current analytical methods was presented

(pesticide) PPPs

Active substance

Impurities

- Manufacturing process
- Transformation products (from pesticide)

Additives

- Safeners
- Synergists
- Co-formulants
- Adjuvants





